

# Rounds in Communication Complexity Revisited

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**Abstract** The  $k$ -round two-party communication complexity was studied in the deterministic model by [14] and [4] and in the probabilistic model by [20] and [6]. We present new lower bounds that give (1) randomization is more powerful than determinism in  $k$ -round protocols, and (2) an *explicit* function which exhibits an exponential gap between its  $k$  and  $(k-1)$ -round randomized complexity.

We also study the three party communication model, and exhibit an exponential gap in 3-round protocols that differ in the starting player.

Finally, we show new connections of these questions to circuit complexity, that motivate further work in this direction.

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## 1 Introduction

### 1.1 The Two-Party Model

Papadimitriou and Sipser [14] initiated the study of how Yao's model <sup>1</sup> [19] of communication complexity is affected by limiting the two players to only  $k$  rounds of messages. They considered the following natural problem  $g_k$ : each of the players A and B is given a list of  $n$  pointers (each of  $\log n$  bits), each pointing to a pointer in the list of the other. Their task is to follow these pointers, starting at some fixed  $v_0 \in A$ , and find the  $k^{\text{th}}$  pointer. This can easily be done in  $k$  rounds and complexity  $O(k \log n)$ : A starts and the players alter-

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<sup>1</sup>In fact, they and [4] considered the stronger "arbitrary partition" model, but known simulation results of [4, 6, 9, 10] allow us to use Yao's standard "fixed partition" model without loss of generality

nately send the value of the next pointer. It is not clear how to use less than  $n \log n$  bits if only  $(k - 1)$  rounds are allowed or in fact with  $k$ -rounds but player B starts. Indeed, [14] conjectured that the complexity is exponentially higher (for fixed  $k$ ), namely that there is a strict hierarchy, and proved it for the case  $k = 2$ . The general case was resolved by Duris, Galil, and Schnitger [4] who gave an  $\Omega(n/k^2)$  lower bound on the  $(k - 1)$  round complexity of  $g_k$ .

It is not difficult to see that allowing randomness  $g_k$  can be solved with high probability in  $(k - 1)$  rounds using only  $O((n/k) \log n)$  communication bits. Another  $\log n$  factor in the complexity can make this a Las Vegas (errorless) algorithm. This raises the question: *what is the relative power of randomness over determinism in  $k$ -round protocols?* Without limiting the number of rounds [12] showed a quadratic gap between Las Vegas and Determinism, and allowing error, the gap can be exponential.

We use simple information theoretic and

probabilistic arguments to strengthen the lower bound of [4] in two ways. First we improve their  $(k - 1)$ -round deterministic lower bound on  $g_k$  to  $\Omega(n)$  (regardless of  $k$ ), thus showing that randomness can be cheaper by a factor of  $k/\log^2 n$  for  $k$ -round protocols. This result also provides the largest gap known for  $k > \log n$  in the deterministic model - the previous one was obtained in [4] via counting arguments. The fact that the simulation on [10] is constructive, gives the same gap in the arbitrary partition model for an explicit function, resolving an open question of [4].

Second, we prove that the probabilistic upper bound above is not very far from optimal - we give an  $\Omega(n/k^2)$  lower bound, establishing an exponential gap in the probabilistic setting between  $k$  and  $(k - 1)$ -round protocols for an explicitly given function. The existence of such functions (with somewhat larger gap) was proved by Halstenberg and Reischuk [6], via complicated counting arguments. The only previous exponential gap for an ex-

explicit function was shown for  $k = 2$  by Yao [20]. We stress the simplicity of our proof technique, in contrast to that of [6]. We have recently learned that similar techniques were used by Smirnov [16] to obtain an  $\Omega(n/(k(\log n)^k))$  lower bound on  $g_k$ , which is much weaker than our bound, but gives the exponential gap.

Finally, we use the communication complexity characterization of circuit depth of [8] to establish  $g_k$  as a “complete” problem for monotone depth- $k$  Boolean circuits. (This result was independently discovered by Yanakakis [18]). Thus a simple deterministic reduction enables to derive the monotone constant-depth hierarchy of [7] from the constant-round hierarchy of [4]. (The reverse direction was proven in [7]). We speculate that our new probabilistic lower bound may serve to extend the monotone circuit hierarchy result to depth above  $\log n$ , via probabilistic reductions (as was done in [15]).

## 1.2 The Multi-Party Model

Chandra, Furst and Lipton [2] devised the multi-party communication complex-

ity model. Here  $t$  players  $P_1, P_2, \dots, P_t$  are trying to compute a Boolean function  $g(x_1, x_2, \dots, x_t)$ , where  $x_i \in \{0, 1\}^{n_i}$ . (Until now all work in this model considered equal length inputs, i.e.  $n_i = n$  for all  $i$ ). The twist is that every player  $P_i$  sees *all* values  $x_j$  for  $j \neq i$ . This model turns out to capture diverse computational models. [2] used it to prove that majority requires superlinear length constant width branching programs. Babai, Nisan and Szegedy [1] gave  $\Omega(n/2^t)$  lower bounds for explicit functions  $g$ , and used it for Turing machine, branching program and formulae lower bounds, as well as efficient pseudorandom generator for small space. Recently, Goldman and Hastad [5] used the results in [1] to prove lower bounds on constant-depth threshold circuits.

We consider only the 3-player model, and within it allow three rounds of communication: one per player. We exhibit a function  $u$  whose complexity is  $\Omega(\sqrt{n})$  if  $P_3$  is the first to speak, but  $O(\log n)$  otherwise. The proof uses properties of universal hash functions developed in [13, 11].

It is interesting that  $u$  acts on different size arguments;  $u : \{0, 1\}^n \times \{0, 1\}^n \times \{0, 1\}^{\log n} \rightarrow \{0, 1\}$  so  $n_1 = n_2 = n$ , but  $n_3 = \log n$ . The following connection to circuit complexity makes such functions important. We show that improving our lower bound to  $\Omega(n)$  for some explicit function  $g$  of this form gives the following size-depth trade-off: the function  $f : \{0, 1\}^{2n} \rightarrow \{0, 1\}^n$  defined by  $f(x_1, x_2)_{x_3} = g(x_1, x_2, x_3)$  cannot be computed by Boolean circuits of size  $O(n)$  and depth  $O(\log n)$  simultaneously. This result is obtained via Valiant's [17] method of depth-reduction in circuits.

## 2 The Two-Party Model

The four subsections of this section give the definitions, results, technical lemmas and some proofs, respectively in the two-party communication complexity model.

### 2.1 Definitions

Let  $g : X_A \times X_B \rightarrow \{0, 1\}$  be a function. The players  $A, B$  receive respectively inputs  $x_A \in X_A, x_B \in X_B$ . A  $k$ -round pro-

ocol specifies for each input a sequence of  $k$  messages,  $m_1, m_2, \dots, m_k$  sent alternately between the players such that at the end both know  $g(x_A, x_B)$ . The cost of a  $k$ -round protocol is  $\sum_{i=1}^k |m_i|$  (where  $|m_i|$  is the binary length of  $m_i$ ), maximized over all inputs  $(x_A, x_B)$ . Denote by  $C^{A,k}(g)$  (resp.  $C^{B,k}(g)$ ) the cost of the best protocol in which player A (resp. B) sends the first message, and  $C^k(g) = \min\{C^{A,k}(g), C^{B,k}(g)\}$ .

Let  $T : X_A \times X_B \rightarrow \{0, 1\}$  be the function computed by the two players following a protocol  $T$ . We introduce randomization by allowing  $T$  to be a random variable distributed over deterministic protocols. The cost is simply the expectation of the associated random variable. We say that randomized protocol makes  $\epsilon$ -error if  $\Pr[T(x_A, x_B) \neq g(x_A, x_B)] \leq \epsilon$  for every input  $(x_A, x_B) \in X_A \times X_B$ . Denote by  $C_\epsilon^k(g)$  the cost of the best  $k$ -round  $\epsilon$ -error protocol for  $g$ , and similarly define  $C_\epsilon^{A,k}, C_\epsilon^{B,k}$ . The case  $\epsilon = 0$  (e.g.  $C_0^k(g)$ ) denotes Las Vegas (errorless) protocols.

Finally, if we leave  $T$  a deterministic

protocol, and choose the input uniformly at random, we can define the  $\epsilon$ -error distributional complexity  $D_\epsilon^k(g)$  to be the cost of the best  $k$ -round protocol for which  $\Pr[T(x_A, x_B) \neq g(x_A, x_B)] \leq \epsilon$ , under this distribution. The following lemmas are useful.

**Lemma 1** [20] For every  $g, \epsilon > 0$   
 $D_{2\epsilon}^k(g) \leq 2C_\epsilon^k(g)$ .

**Lemma 2** For every  $\frac{1}{3} \geq \epsilon > \epsilon' > 0$   
 $C_{\epsilon'}^k(g) = O(C_\epsilon^k(g))$ .

## 2.2 Results

Let  $V_A, V_B$  be two disjoint sets (of vertices) with  $|V_A| = |V_B| = n$  and  $V = V_A \cup V_B$ . Let  $F_A = \{f_A : V_A \rightarrow V_B\}, F_B = \{f_B : V_B \rightarrow V_A\}$  and  $f = (f_A, f_B) : V \rightarrow V$  defined by  $f(v) = \begin{cases} f_A(v) & v \in V_A \\ f_B(v) & v \in V_B \end{cases}$ . For each  $k \geq 0$  define  $f^{(k)}(v)$  by  $f^{(0)}(v) = v, f^{(k+1)}(v) = f(f^{(k)}(v))$ .

Let  $v_0 \in V_A$ . The functions we will be interested in computing is  $g_k : F_A \times F_B \rightarrow V$  defined by  $g_k(f_A, f_B) = f^{(k)}(v_0)$ .

**Remarks:** In the following theorems note that the number of input bits to each player is  $n \log n$ , and that they hold for

every value of  $k$ . We also note that one can make  $g_k$  a Boolean function by taking (say) the parity of the output vertex. All our upper and lower bounds apply to this Boolean function as well.

**Theorem 1** [14]  $C^{A,k}(g_k) = O(k \log n)$ .

**Theorem 2**  $C^{B,k}(g_k) = \Omega(n)$ .

**Theorem 3**  $C_{1/3}^{B,k}(g_k) = O((n/k) \log n)$   
 $C_0^{B,k}(g_k) = O((n/k) \log^2 n)$ .

**Theorem 4**  $C_{1/3}^{B,k}(g_k) = \Omega(\frac{n}{k^2})$ .

In the remainder we show the “completeness” of  $g_k$  for monotone depth  $k$  circuits. Let  $g_k = g_{k,n}$  to stress that each player gets  $n$  vertices.

**Definition:** For a boolean function  $h$  define  $L^d(h)$  to be the size of the minimal *monotone formula* of depth  $d$  and unbounded fanin that computes  $h$ . Define  $LS^d(k, n)$  to be the maximum of  $L^d(h)$  over all functions  $h$  that can be computed by monotone circuits of unbounded fanin depth  $k$  and total size  $n$ . Define  $LF^d(k, n)$  to be the maximum of  $L^d(h)$  over all functions  $h$  that can be computed by a formula of depth  $k$  and fanin  $n$  at each gate.

**Theorem 5:**

$$\log LS^d(k, n) \leq C^d(g_{k,n}) \leq \log LF^d(k, n)$$

The left inequality was proven in [7], and allowed them to deduce a lower bound on  $g_k$  from their circuit lower bound. The right inequality was independently discovered by Yanakakis [18]. It allows to recover the tight hierarchy theorem of [7] from the lower bound on  $g_k$ .

Let  $h_k$  be the complete function for depth  $k$ -circuits, i.e. an alternating and-or tree of depth  $k$  and fanin  $n^{1/k}$  at each gate.

**Corollary [7]:** Any monotone circuit of depth  $k - 1$  for  $h_k$  requires size  $2^{\Omega(n^{1/k}/k)}$ .

### 2.3 Probability, Measure and Information Theory

Let  $\Omega$  be a finite set (universe),  $X \subseteq \Omega$ . Denote by  $\mu(X)$  the *density* of  $X$  in  $\Omega$ ,  $\mu(X) = \frac{|X|}{|\Omega|}$ . Let  $P : X \rightarrow [0, 1]$  a probability distribution on  $X$ , and  $x \in X$  a random variable distributed according to  $P$ . The probability of any event  $Y \subseteq X$  is denoted  $\Pr_P[Y]$ , and the subscript  $P$  is usually omitted. For  $y \in X$ , we

write  $\Pr[\{y\}] = P_y$ . Then the *entropy*  $H(P) = H(x) = \sum_{y \in X} P_y \log P_y$ . The *information* on  $X$  (relative to  $\Omega$ ), is  $I(x) = \log |\Omega| - H(x)$ . If  $P$  is the uniform distribution  $U$  on  $X$ , then  $H(x) = \log |X|$ , and  $I(x) = -\log \mu(X)$ .

The following lemmas will be useful to us.

**Lemma 3** For every  $P$

$$\Pr_P[\{y : P_y \leq \alpha\}] \leq \alpha |X|.$$

**Lemma 4** For every  $P$  and if  $x = (x_1, x_2, \dots, x_m)$ , (so  $\Omega = \Omega_1 \times \Omega_2 \times \dots \times \Omega_m$  and  $x_i$  distributed over  $\Omega_i$ ), then  $I(x) \geq \sum_{i=1}^m I(x_m)$ .

The next lemma (from [15]) shows that if  $I(x)$  is very small, one can get good bounds on the probability of any event under  $P$  in terms of its probability under the uniform distribution  $U$ .

**Lemma 5** [15] For  $Y \subseteq X$ , let  $q = \Pr_U[Y]$ . Assume  $\Delta = \sqrt{\frac{4I(x)}{q}} \leq \frac{1}{10}$ . Then  $|\Pr_P[Y] - q| \leq q\Delta$ .

**Lemma 6** If  $X = \Omega = \{0, 1\}$ ,  $I(x) \leq \delta \leq \frac{1}{4}$ , then  $|P_0 - \frac{1}{2}|, |P_1 - \frac{1}{2}| \leq 2\sqrt{\delta}$ .

## 2.4 Proofs

### Proof of Theorems 1 and 3.

$C^{A,k}(g_k) \leq k \log n$  follows easily, since in round  $t$  the right player knows  $f(v_{t-1}) = v_t$  and can send these  $\log n$  bits to the second player.

The idea in beating the deterministic  $\Omega(n)$  lower bound when the wrong player  $B$  starts is as follows: First  $B$  chooses a random subset  $U \subseteq V_B$  with  $|U| = 10n/k$ , and sends to  $A$   $\{f_B(u) : u \in U\}$ . Now it is  $A$ 's turn and they start sending each other  $v_1, v_2, \dots$  as above, but lagging one round "behind schedule". However, with probability  $\geq 2/3$ , one of the  $v_i$ 's will be in  $U$ , which allows them to save two rounds, and "finish on time". This gives  $C_\epsilon^{B,k}(g_k) = O((k + n/k) \log n)$ . This algorithm can be made Las-Vegas with an extra factor of  $O(\log n)$  in the complexity.

### Proof of theorems 2,4

Let  $f = (f_A, f_B) \in F_A \times F_B$  be the input. Let  $T'$  be a deterministic  $k$ -round protocol for  $g_k$  in which  $B$  sends the first message. Note that at any round  $t \geq 1$ , if it is  $B$ 's turn to speak, then  $v_{t-1} =$

$f^{(t-1)}(v_0) \in V_A$ , and vice versa. It will be convenient to replace  $T'$  by a protocol  $T$  in which in any round  $t \geq 1$ , we replace the message  $m$  by the message  $(m, v_{t-1})$ . By induction on  $t$ , this is always possible for the player whose turn it is. In particular, it implies that  $\geq \log n$  bits are sent per round. Thus if  $T'$  used  $C$  bits,  $T$  uses  $\leq C + k \log n$  bits. We will assume  $T$  uses  $\frac{\epsilon n}{2}$  bits, ( $\epsilon$  will be chosen later), and obtain a contradiction.

Every node  $z$  of the protocol tree  $T$  can be labeled by the rectangle  $F_A^z \times F_B^z$  of inputs arriving at  $z$ . By the structure of  $T$ , if  $z$  is at level  $t \geq 1$  (the root is at level 0), then  $v_0, v_1, \dots, v_{t-1}$  are determined in  $F_A^z \times F_B^z$ .

We shall assume the input is chosen uniformly at random from  $F_A \times F_B$ , so in fact we shall bound from below the distributional complexity. Thus the probability of arriving at  $z$  is  $\mu(F_A^z \times F_B^z)$ , and given that the input arrived at  $z$ , it is uniformly distributed in  $F_A^z \times F_B^z$ . The main lemma below intuitively shows that if the input arrived at  $z$  and the rectangle at  $z$  has nice

properties, then with high (enough) probability the input will proceed to a child  $w$  of  $z$  which is equally nice. Nice means that both  $F_A^z, F_B^z$  are large enough, and that the player *not* holding  $v_{t-1}$  has very little information on  $v_t = f(v_{t-1})$ .

Denote by  $c_z$  the total number of bits sent by the players before arriving at  $z$ . Assume without loss of generality that  $A$  speaks at  $z$ . Let  $f_A^z(f_B^z)$  be random variables uniformly distributed over  $F_A^z(F_B^z)$ . Recall that  $T$  uses  $\leq \frac{\epsilon}{2}n$  bits, and let  $\delta$  satisfy  $\delta = \text{Max } 4\sqrt{\epsilon}, 400\epsilon$ . Define  $z$  to be *nice* if it satisfies:

1.  $I(f_A^z) \leq 2c_z$
2.  $I(f_B^z) \leq 2c_z$
3.  $I(f_B^z(v_{t-1})) \leq \delta$

**Main Lemma:**

If  $z$  is nice, and  $w$  a random child of  $z$ , then  $\Pr[w \text{ not nice}] \leq 4\sqrt{\epsilon} + \frac{1}{n}$ .

**Proof:** Assume  $A$  sends  $c(\geq \log n)$  bits at  $z$ . (In general the possible messages in a particular step may differ in length. For simplicity, we assume here they don't. Handling the general case requires only a

slight changes in the proof of claim 2 below). Thus  $c_w = c_z + c$  for all children  $w$  of  $z$ . We will now give upper bounds separately on the probability of each of the three properties defining nice being false at a random child  $w$ .

**Claim 1:**  $\Pr[I(f_B^w) > 2c_w] = 0$ .

**Proof:**  $B$  sent nothing, so  $\forall w F_B^w = F_B^z$  and

$$I(f_B^w) = I(f_B^z) \leq 2c_z < 2c_w. \quad \square$$

**Claim 2:**  $\Pr[I(f_A^w) > 2c_w] \leq \frac{1}{n}$ .

**Proof:**  $Z$  has  $2^c$  children, and child  $w$  is chosen with probability  $\mu(F_A^w)/\mu(F_A^z)$ . Thus by Lemma 3  $\Pr[I(f_A^w) > 2c_w] \leq \Pr[\mu(F_A^w)/\mu(F_A^z) < 2^{-2c}] \leq 2^{-c} \leq 1/n$ .  $\square$

**Claim 3:**  $\Pr[I(f_A^w(v_t)) > \delta] \leq 4\sqrt{\epsilon}$ .

**Proof:** We may assume now that  $I(f_A^w) \leq 2c_w \leq \epsilon n$ . The random variable  $f_A^w$  is a vector of random variables  $f_A^w(v)$  for all  $v \in V_A$ . Thus by Lemma 4,  $\sum_{v \in V} I(f_A^w(v)) \leq I(f_A^w) \leq \epsilon n$ . So if  $v_t$  was chosen *uniformly* from  $v_A$ ,  $\Pr_U[I(\delta_A^w(v_t)) > \delta] \leq \frac{\epsilon}{\delta}$  by Markov's inequality. But  $v_t = f_B^z(v_{t-1})$ , so  $v_t$  is distributed with  $I(v_t) = I(f_B^z(v_{t-1})) \leq \delta$  as

we assumed  $z$  was nice. By Lemma 5 (and our choice of  $\delta$ ),

$$\Pr[I(f_A^w(v_t)) > \delta] \leq \frac{\epsilon}{\delta} \left(1 + \sqrt{\frac{4\delta}{\epsilon/\delta}}\right) \leq 4\sqrt{\epsilon}. \quad \square$$

Now we can conclude the proofs of Theorems 2 and 4 from the main lemma. Consider any *nice* leaf  $\ell$  of the protocol tree  $T$ , labeled by an answer (0 or 1). Say  $A$  spoke on the last round  $k$ . Then  $I(v_k) = I(f_B^\ell(v_{k-1})) \leq \delta$ . So by Lemma 6, even if the algorithm gives one bit (say parity) of the answer, it is correct with probability  $\leq \frac{1}{2} + 2\sqrt{\delta}$ .

**Conclusion of Theorem 2** Take  $\epsilon = 10^{-4}$ . The root of  $T$  is nice, so by the main lemma and induction we have a positive probability ( $\geq 2^{-k}$ ) of reaching a nice leaf, contradicting the fact that the protocol never errs. This proves only  $C^{B,k}(g_k) = \Omega(n - k \log n)$ , since we augmented an arbitrary  $T'$  to a nice protocol  $T$ .

The lower bound  $C^{B,k}(g_k) = \Omega(n)$  (which is stronger when  $k \geq \frac{n}{\log n}$ ) requires a more delicate argument that we sketch below. The idea is to follow the same steps of the proof with the following changes.

(1) We stay with the original protocol  $T'$ , as we cannot afford the players sending  $\log n$  bits per round as in the nice protocol  $T$ . (2) We still fix the vertex  $v_{t-1}$  by the player sending the message at round  $t$ , but avoid paying  $\log n$  bits for this information by removing this vertex from our universe. Thus the information  $I$  is measured relative to a smaller set of pointers at every round. (3) We prove a weaker main lemma, which is clearly sufficient in the deterministic case, namely that every nice node  $z$  has at least one nice child  $w$ . The details are left to the interested reader.

**Conclusion of Theorem 4.** Pick  $\epsilon = 10^{-4} \cdot k^{-2}$ . Thus the probability of not reaching a nice leaf is  $\leq k \frac{1}{25k} = \frac{1}{25}$ , and the probability that the protocol answers correctly is less than  $\frac{1}{25} + (\frac{1}{2} + \frac{2}{5\sqrt{k}}) < 0.95$ . Thus we get  $D_{1/20}^{B,k}(g_k) = \Omega(\frac{n}{k^2} - k \log n)$ , or  $\Omega(\frac{n}{k^2})$  for all  $k < (\frac{n}{\log n})^{1/3}$ .

The theorem for this range of  $k$  follows from Lemmas 1 and 2. The higher range of values for  $k$  is handled by the trivial  $\Omega(k)$  lower bound for  $k$ -round protocols, which is stronger in this range.

**Proof of theorem 5:** As mentioned above, the left inequality was proven in [7], so we prove only the right inequality. The proof is based on the Karchmer-Wigderson characterization of circuit depth in terms of communication complexity, which can be stated as follows. For every monotone function  $h$  on  $n$  variables with minterms  $Min(h)$  and maxterms  $Max(h)$  define a communication search problem  $R_h^m \subset Min(h) \times Max(h) \times [n]$  in which player  $A$  gets a minterm  $S \in Min(h)$ , player  $B$  gets a maxterm  $T \in Max(h)$ , and their task is to find an element in  $S \cap T$ . Then monotone formulae for  $h$  and protocols for  $R_h^m$  are in 1-1 correspondence via the simple syntactic identification of  $\vee$  gates with player  $A$ 's moves and  $\wedge$  gates with player  $B$ 's moves. In particular, depth corresponds to the number of rounds, and logarithm of the size to the communication complexity.

In view of the above, all we need to give now is a reduction from computing  $g_{k,n}$  to the computation of  $R_h^m$  for some function  $h$  which has a depth  $k$  formula of fanin  $n$  at each gate. Once this is done the players

can solve  $R_h^m$  and hence  $g_{k,n}$  in  $d$  rounds and  $\log LF^d(k, n)$  communication by simulating the guaranteed depth  $d$  circuit for  $h$ .

Let  $h$  be defined by a formula that is a complete  $n$ -ary tree of depth  $k$ , alternating levels of  $\vee$  and  $\wedge$  gates (say with  $\vee$  at the root), and distinct  $n^k$  variables at the leaves. The players agree on a fixed labeling of the nodes of this tree in which the root is labeled  $v_0$ , the children of every  $\vee$  gates labeled by  $V_B$ , and children of every  $\wedge$  gate labeled by  $V_A$ . Let  $f_A$  and  $f_B$  be the inputs to players  $A, B$  respectively. Player  $A$  constructs sets  $S_i$  of nodes from the  $i$ th level inductively as follows.  $S_0$  contains the root. If level  $i$  contains  $\vee$  gates, then for every gate in  $S_i$  labeled  $v$  he adds to  $S_{i+1}$  the unique child of this gate labeled  $f_A(v)$ . If level  $i$  contains  $\wedge$  gates, then for every gate in  $S_i$  he adds all its children to  $S_{i+1}$ . In a similar way (exchanging the roles of gates) player  $B$  constructs his sets  $T_i$ . It is easy to verify that  $S_k$  is a minterm of  $h$ ,  $T_k$  is a maxterm of  $h$ , and that they intersect at a unique leaf, whose

label is  $f^{(k)}(v_0)$ . This completes the reduction, and hence the proof.

### 3 The Three-Party Model

Let  $g : \{0, 1\}^{n_1} \times \{0, 1\}^{n_2} \times \{0, 1\}^{n_3} \rightarrow \{0, 1\}$  be a function. Players  $P_1, P_2, P_3$  are given  $(x_2, x_3), (x_1, x_3), (x_1, x_2)$  respectively with  $x_i \in \{0, 1\}^{n_i}$  and compute  $g$  from this information by exchanging messages according to a predetermined protocol. We consider only 3-round protocols in which each player speaks once. Let  $M^i(g)$  denote the communication complexity when player  $P_i$  speaks first (and then the other two in arbitrary order), and  $M^s(g)$  the complexity when they all speak simultaneously (an oblivious protocol). Clearly, for all  $i \in \{1, 2, 3\}$   $M^i(g) \leq M^s(g)$ .

Let  $u : \{0, 1\}^{2n} \times \{0, 1\}^n \times \{0, 1\}^{\log n} \rightarrow \{0, 1\}$  be the following function. Interpret the first string  $x_1$  as a 2-universal hash function ([3])  $h$ , mapping  $\{0, 1\}^n$  to itself, the second string  $x_2$  as an argument  $y$  to  $h$ , and the third  $x_3$  as an index  $j \in [n]$ . Then  $u(h, y, j) = h(y)_j$ . The next two

theorems exhibit an exponential gap between 3-round protocols that differ in the order in which players speak.

**Theorem 6:**  $M^1(u) = M^2(u) = O(\log n)$

**Theorem 7:**  $M^3(u) = \Omega(\sqrt{n})$ .

Let  $f : \{0, 1\}^m \rightarrow \{0, 1\}^n$  be an arbitrary function, and for any  $m' < m$  define  $g_f : \{0, 1\}^{m'} \times \{0, 1\}^{m-m'} \times \{0, 1\}^{\log n} \rightarrow \{0, 1\}$  by  $g_f(x_1, x_2, x_3) = f(x_1 \circ x_2)_{x_3}$ , where  $\circ$  denotes concatenation. The next theorem gives the relationship of size-depth trade-offs in circuits to 3-round oblivious protocols.

**Theorem 8:** If  $f$  above can be computed by a circuit of size  $O(n)$  and depth  $O(\log n)$ , then  $M^s(g_f) = O(n/\log \log n)$ .

**Proof of Theorem 7**

Restrict the value of  $j$  to be  $j \in [\sqrt{n}]$ . Thus we consider  $h : \{0, 1\}^n \rightarrow \{0, 1\}^{\sqrt{n}}$  which is still a universal hash function. Assume  $M^3(u) \leq \sqrt{n}/4$ . This means that there is a new protocol to compute  $z = h(y)$  in which  $P_3$  sends  $\sqrt{n}/4$  bits, and then players  $P_1$  and  $P_2$  can compute each bit of  $z$  separately, using altogether  $n/4$  bits.

Pick values  $m_1, m_2, m_3$  to the messages of  $P_1, P_2, P_3$  in this new protocol with the largest “support”, and take  $(h, y)$  uniformly at random. As  $|m_3| \leq \sqrt{n}/4$ , and  $|m_1|, |m_2| \leq n/4$  we have

$$\begin{aligned} \Pr[h(y) = z \mid m_1, m_2, m_3] &\leq \\ 2^{\sqrt{n}/4} \Pr[h(y) = z \mid m_1, m_2] &\stackrel{(*)}{\leq} \\ 2^{\sqrt{n}/4} \cdot 2^{-\sqrt{n}/2} = 2^{-\sqrt{n}/4} &< 1. \end{aligned}$$

The inequality (\*) follows from Lemma 10 of [11] regarding the distribution of hash values when little information is given on each of  $h, y$ .

### Proof of Theorem 8

Let  $f : \{0, 1\}^m \rightarrow \{0, 1\}^n$  be computed by a circuit  $C$  of size  $O(n)$  and depth  $O(\log n)$ . By a result of Valiant [17], there are  $s = O(n/\log \log n)$  wires in  $C$ ,  $e_1, e_2, \dots, e_s$  with the following property. For every input  $x \in \{0, 1\}^m$ , and every  $j \in [n]$ ,  $f(x)_j$  is determined by the values  $e_1(x), \dots, e_s(x)$  on these wires, together with the values of  $x_i, i \in S_j$  with  $|S_j| \leq n^\epsilon$ . To compute  $g_f$ , note that  $P_3$  has access to  $x = (x_1 \circ x_2)$  (which is the input to  $f$ ) can compute the values on the wires.  $P_2$  and  $P_3$ , now knowing  $j = x_3$ ,

exchange the necessary bits in  $S_j$  to complete the computation of  $f(x)$ .

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