

# Localization (Lecture 8)

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Let  $\mathcal{C}$  be a stable  $\infty$ -category equipped with a nondegenerate quadratic functor  $Q : \mathcal{C}^{op} \rightarrow \mathbf{Sp}$ . In the last lecture, we asserted without proof that the simplicial space  $\mathrm{Poinc}(\mathcal{C}, Q)_\bullet$  satisfies the Kan condition. Our goal in this lecture is to formulate a generalization of this assertion, which we will prove in the next lecture.

We begin with some generalities. Let  $\mathcal{J}$  be an  $\infty$ -category. We say that  $\mathcal{J}$  is *filtered* if it satisfies the following conditions:

- $\mathcal{J}$  is nonempty.
- For every pair of objects  $X, Y \in \mathcal{J}$ , there is a third object  $Z \in \mathcal{J}$  and a pair of maps  $X \rightarrow Z \leftarrow Y$ .
- For every pair of objects  $X, Y \in \mathcal{J}$  and every map of spaces  $S^n \rightarrow \mathrm{Map}_{\mathcal{J}}(X, Y)$ , there is a map  $g : Y \rightarrow Z$  such that the composite map  $S^n \rightarrow \mathrm{Map}_{\mathcal{J}}(X, Y) \rightarrow \mathrm{Map}_{\mathcal{J}}(X, Z)$  is nullhomotopic.

Let  $\mathcal{C}$  be an  $\infty$ -category. By a *filtered diagram* in  $\mathcal{C}$  we will refer to a functor  $\mathcal{J}^{op} \rightarrow \mathcal{C}$ , where  $\mathcal{J}$  is a filtered  $\infty$ -category. We will denote a filtered diagram in  $\mathcal{C}$  by  $(X_\alpha)$ , where each  $X_\alpha$  is an object of  $\mathcal{C}$ . The collection of filtered diagrams in  $\mathcal{C}$  can be organized into an  $\infty$ -category  $\mathrm{Pro}(\mathcal{C})$ , where morphism spaces are given by

$$\mathrm{Map}_{\mathrm{Pro}(\mathcal{C})}((X_\alpha), (Y_\beta)) = \varprojlim_{\beta} \varinjlim_{\alpha} \mathrm{Map}_{\mathcal{C}}(X_\alpha, Y_\beta).$$

We refer to the objects of  $\mathrm{Pro}(\mathcal{C})$  as *Pro-objects* of  $\mathcal{C}$ .

We will identify  $\mathcal{C}$  with a full subcategory of  $\mathrm{Pro}(\mathcal{C})$  (each object  $X \in \mathcal{C}$  determines a filtered diagram  $(X)$  indexed by the one-point  $\infty$ -category  $*$ ). For every filtered diagram  $(X_\alpha)$ , we can identify the corresponding object of  $\mathrm{Pro}(\mathcal{C})$  with the (homotopy) limit  $\varprojlim X_\alpha$  in  $\mathrm{Pro}(\mathcal{C})$ . We can think of  $\mathrm{Pro}(\mathcal{C})$  as the  $\infty$ -category obtained from  $\mathcal{C}$  by formally adjoining limits of filtered diagrams. In fact,  $\mathrm{Pro}(\mathcal{C})$  has the following universal property: if  $\mathcal{D}$  is an  $\infty$ -category which admits filtered limits, then the  $\infty$ -category of functors  $\mathrm{Pro}(\mathcal{C}) \rightarrow \mathcal{D}$  which preserve filtered limits is equivalent to the  $\infty$ -category of functors  $\mathcal{C} \rightarrow \mathcal{D}$ .

**Remark 1.** It is not necessary to allow arbitrary filtered  $\infty$ -categories in the definition of  $\mathrm{Pro}(\mathcal{C})$ . One can show that every filtered diagram is equivalent (in the  $\infty$ -category  $\mathrm{Pro}(\mathcal{C})$ ) to a diagram indexed by a filtered partially ordered set.

**Remark 2.** If  $\mathcal{C}$  is a stable  $\infty$ -category, then the  $\infty$ -category  $\mathrm{Pro}(\mathcal{C})$  is also stable.

Suppose that  $\mathcal{C}$  is a stable  $\infty$ -category and let  $\mathcal{C}_0$  be a stable subcategory of  $\mathcal{C}$  (that is, a subcategory closed under the formation of fibers and cofibers). We will say that a map  $f : X' \rightarrow X$  in  $\mathcal{C}$  is a  $\mathcal{C}_0$ -*equivalence* if the cofiber  $\mathrm{cofib}(f)$  belongs to  $\mathcal{C}_0$ . If we regard  $X$  as fixed, then the collection of all  $\mathcal{C}_0$ -equivalences  $f_\alpha : X_\alpha \rightarrow X$  forms a filtered  $\infty$ -category (in fact, it is an  $\infty$ -category which admits finite limits). Consequently, we can regard  $(X_\alpha)$  as a Pro-object of  $\mathcal{C}$ . We will denote this pro-object by  $I(X) \in \mathrm{Pro}(\mathcal{C})$ .

**Definition 3.** Let  $\mathcal{C}$  be a (small) stable  $\infty$ -category and let  $\mathcal{C}_0$  be a stable subcategory of  $\mathcal{C}$ . We let  $\mathcal{C}/\mathcal{C}_0$  denote the full subcategory of  $\mathrm{Pro}(\mathcal{C})$  spanned by objects of the form  $I(X)$ , where  $X \in \mathcal{C}$ .

It is not difficult to see that the construction  $X \mapsto I(X)$  commutes with finite limits. From this, one can deduce that  $\mathcal{C}/\mathcal{C}_0$  is closed under passing to fibers in  $\text{Pro}(\mathcal{C})$ . It follows that  $\mathcal{C}/\mathcal{C}_0$  is a stable subcategory of  $\text{Pro}(\mathcal{C})$ .

The following result justifies our notation:

**Proposition 4.** *Let  $\mathcal{D}$  be a stable  $\infty$ -category. Then composition with the functor  $I : \mathcal{C} \rightarrow \mathcal{C}/\mathcal{C}_0$  induces an equivalence from the  $\infty$ -category of exact functors  $f : \mathcal{C}/\mathcal{C}_0 \rightarrow \mathcal{D}$ , to the  $\infty$ -category of exact functors  $F : \mathcal{C} \rightarrow \mathcal{D}$  such that  $F|_{\mathcal{C}_0}$  is trivial.*

*Proof.* Note that if  $X \in \mathcal{C}_0$ , then the filtered  $\infty$ -category of  $\mathcal{C}_0$ -equivalences  $X' \rightarrow X$  has an initial object (namely, the map  $0 \rightarrow X$ ), so that  $I(X) \simeq 0$ . It follows that for any exact functor  $f : \mathcal{C}/\mathcal{C}_0 \rightarrow \mathcal{D}$ , the composition  $F = f \circ I$  is an exact functor from  $\mathcal{C}$  to  $\mathcal{D}$  which annihilates  $\mathcal{C}_0$ .

We now produce an inverse to the preceding construction. Embed  $\mathcal{D}$  in a stable  $\infty$ -category  $\overline{\mathcal{D}}$  which admits filtered limits (for example, we can take  $\overline{\mathcal{D}} = \text{Pro}(\mathcal{D})$ ). Let  $F : \mathcal{C} \rightarrow \mathcal{D}$  be an exact functor which annihilates  $\mathcal{C}_0$ . Then  $F$  extends to a functor  $\overline{F} : \text{Pro}(\mathcal{C}) \rightarrow \overline{\mathcal{D}}$  which commutes with filtered limits. For each  $X \in \mathcal{C}$ , we have a canonical map  $I(X) \rightarrow X$  in  $\text{Pro}(\mathcal{C})$ , hence a map  $u : \overline{F}(I(X)) \rightarrow F(X)$  in  $\overline{\mathcal{D}}$ . The cofiber of the map  $I(X) \rightarrow X$  is a filtered limit of objects of  $\mathcal{C}_0$ . Since  $F$  annihilates  $\mathcal{C}_0$  and  $\overline{F}$  commutes with filtered limits, we deduce that  $u$  is invertible: that is, we can write  $F = \overline{F} \circ I$ . In particular,  $\overline{F}$  carries  $I(\mathcal{C}) = \mathcal{C}/\mathcal{C}_0$  into the subcategory  $\mathcal{D} \subseteq \overline{\mathcal{D}}$ . Let us denote this restricted functor by  $f : \mathcal{C}/\mathcal{C}_0 \rightarrow \mathcal{D}$ ; then  $F = f \circ I$  as desired.  $\square$

Now suppose that  $\mathcal{C}$  is equipped with a nondegenerate quadratic functor  $Q$ . We can extend  $Q$  to a functor  $\widehat{Q} : \text{Pro}(\mathcal{C})^{op} \rightarrow \text{Sp}$  by the formula

$$\widehat{Q}((X_\alpha)) = \varinjlim_\alpha Q(X_\alpha).$$

It is easy to see that  $\widehat{Q}$  is a quadratic functor on  $\text{Pro}(\mathcal{C})$ , whose polarization  $\widehat{B}$  is given by the formula

$$\widehat{B}((X_\alpha), (Y_\beta)) = \varinjlim_{\alpha, \beta} B(X_\alpha, Y_\beta)$$

where  $B$  denotes the polarization of  $Q$ .

**Definition 5.** Let  $\mathcal{C}$  be a stable  $\infty$ -category equipped with a nondegenerate quadratic functor  $Q : \mathcal{C}^{op} \rightarrow \text{Sp}$ . Let  $B$  be the polarization of  $Q$  and let  $\mathbb{D}$  denote the corresponding duality functor. We will say that  $Q$  is *compatible* with a stable subcategory  $\mathcal{C}_0$  if the duality functor  $\mathbb{D}$  carries  $\mathcal{C}_0$  to itself. In this case,  $Q' = Q|_{\mathcal{C}_0^{op}}$  is a nondegenerate quadratic functor on  $\mathcal{C}_0$ , having polarization  $B' = B|_{\mathcal{C}_0^{op} \times \mathcal{C}_0^{op}}$  and duality functor  $\mathbb{D}' = \mathbb{D}|_{\mathcal{C}_0}$ .

In the above situation, the composition

$$\mathcal{C} \xrightarrow{\mathbb{D}} \mathcal{C}^{op} \rightarrow (\mathcal{C}/\mathcal{C}_0)^{op}$$

annihilates the subcategory  $\mathcal{C}_0$ , and therefore factors (in an essentially unique way) as a composition

$$\mathcal{C} \rightarrow \mathcal{C}/\mathcal{C}_0 \xrightarrow{\mathbb{D}''} (\mathcal{C}/\mathcal{C}_0)^{op}.$$

Using the fact that  $\mathbb{D}$  has order 2, we deduce easily that  $\mathbb{D}''$  also has order 2; in particular, it is a contravariant equivalence of  $\mathcal{C}/\mathcal{C}_0$  with itself.

**Proposition 6.** *In the above situation, the quadratic functor  $\widehat{Q}$  on  $\text{Pro}(\mathcal{C})$  restricts to a nondegenerate quadratic functor  $Q''$  on  $\mathcal{C}/\mathcal{C}_0$ , whose duality functor is given by  $\mathbb{D}''$ .*

*Proof.* Let  $X$  be an object of  $\mathcal{C}$  and write  $I(X) = (X_\alpha)$ . Note that if  $Z \in \mathcal{C}_0$ , then any map  $I(X) \rightarrow Z$  in  $\text{Pro}(\mathcal{C})$  is nullhomotopic: such a map must factor through some  $X_\alpha$ , but the fiber  $F$  of the induced map  $X_\alpha \rightarrow Z$  also belongs to the filtered system  $(X_\alpha)$  (and composition  $F \rightarrow X_\alpha \rightarrow Z$  is nullhomotopic).

Let  $Y \in \mathcal{C}$  and write  $I(Y) = (Y_\beta)$ . The above argument shows that

$$\text{Mor}_{\text{Pro}(\mathcal{C})}(I(X), Y_\beta) \rightarrow \text{Mor}_{\text{Pro}(\mathcal{C})}(I(X), Y)$$

for each index  $\beta$ , so that  $\text{Mor}_{\text{Pro}(\mathcal{C})}(I(X), I(Y)) \simeq \text{Mor}_{\text{Pro}(\mathcal{C})}(I(X), Y)$ . We therefore obtain a canonical homotopy equivalence

$$\begin{aligned} \text{Mor}_{\text{Pro}(\mathcal{C})}(I(X), \mathbb{D}''I(Y)) &\simeq \text{Mor}_{\text{Pro}(\mathcal{C})}(I(X), I(\mathbb{D}Y)) \\ &\simeq \text{Mor}_{\text{Pro}(\mathcal{C})}(I(X), \mathbb{D}Y) \\ &\simeq \varinjlim_{\alpha} \text{Mor}_{\text{Pro}(\mathcal{C})}(X_\alpha, \mathbb{D}Y) \\ &\simeq \varinjlim_{\alpha} B(X_\alpha, Y). \end{aligned}$$

For every index  $\beta$ , the cofiber  $Z$  of the map  $Y_\beta \rightarrow Y$  belongs to  $\mathcal{C}_0$ , so that  $\mathbb{D}(Z) \in \mathcal{C}_0$ . It follows that

$$\varinjlim_{\alpha} B(X_\alpha, Z) \simeq \text{Mor}_{\text{Pro}(\mathcal{C})}(I(X), \mathbb{D}(Z)) \simeq 0,$$

so that

$$\varinjlim_{\alpha} B(X_\alpha, Y) \simeq \varinjlim_{\alpha} B(X_\alpha, Y_\beta).$$

Passing to the limit over  $\beta$ , we get

$$\text{Mor}_{\text{Pro}(\mathcal{C})}(I(X), \mathbb{D}''I(Y)) \simeq \varinjlim_{\alpha} B(X_\alpha, Y) \simeq \varinjlim_{\alpha, \beta} B(X_\alpha, Y_\beta) = \widehat{B}(I(X), I(Y)),$$

so that  $\mathbb{D}''$  is the duality functor associated to the bilinear pairing  $\widehat{B}$  restricted to  $\mathcal{C}/\mathcal{C}_0$ .  $\square$

Let  $(X, q)$  be a quadratic object of  $\mathcal{C}$ . Then  $q$  determines a point  $q'' \in \Omega^\infty \widehat{Q}(I(X))$ , so that  $(I(X), q'')$  can be viewed as a quadratic object of  $(\mathcal{C}/\mathcal{C}_0, Q'')$ . We have just verified that the functor  $I : \mathcal{C} \rightarrow \mathcal{C}/\mathcal{C}_0$  interchanges the duality functors induced by  $Q$  and  $Q''$  respectively. It follows that if  $(X, q)$  is a Poincare object of  $(\mathcal{C}, Q)$ , then  $(I(X), q'')$  is a Poincare object of  $(\mathcal{C}/\mathcal{C}_0, Q'')$ . This construction determines a map of classifying spaces

$$\text{Poinc}(\mathcal{C}, Q) \rightarrow \text{Poinc}(\mathcal{C}/\mathcal{C}_0, Q'').$$

Suppose that  $\mathcal{C}_0$  is closed under the formation of direct summands in  $\mathcal{C}$ . Then the fiber of this map (over the zero object) can be identified with  $\text{Poinc}(\mathcal{C}_0, Q')$ . Applying the same reasoning to the  $\infty$ -categories  $\mathcal{C}_{[n]}$  for  $n \geq 0$ , we obtain a fiber sequence of simplicial spaces

$$\text{Poinc}(\mathcal{C}_0, Q')_\bullet \rightarrow \text{Poinc}(\mathcal{C}, Q)_\bullet \xrightarrow{\phi} \text{Poinc}(\mathcal{C}/\mathcal{C}_0, Q'')_\bullet.$$

We will prove the following result in the next lecture:

**Theorem 7.** *Let  $\mathcal{C}$  be a stable  $\infty$ -category equipped with a nondegenerate quadratic functor  $Q$ , and let  $\mathcal{C}_0$  be a stable subcategory of  $\mathcal{C}$  which is closed under duality. Then the map  $\phi : \text{Poinc}(\mathcal{C}, Q)_\bullet \rightarrow \text{Poinc}(\mathcal{C}/\mathcal{C}_0, Q'')_\bullet$  is a Kan fibration of simplicial spaces.*

**Corollary 8.** *Let  $\mathcal{C}$  be a stable  $\infty$ -category equipped with a nondegenerate quadratic functor  $Q$ . Then the simplicial space  $\text{Poinc}(\mathcal{C}, Q)_\bullet$  satisfies the Kan condition.*

*Proof.* Apply Theorem 7 in the special case  $\mathcal{C}_0 = \mathcal{C}$ .  $\square$

**Corollary 9.** *In the situation of Theorem 7, suppose that every direct summand of an object of  $\mathcal{C}_0$  also lies in  $\mathcal{C}_0$ . Then we have a fiber sequence of L-theory spaces*

$$L(\mathcal{C}_0, Q') \rightarrow L(\mathcal{C}, Q) \rightarrow L(\mathcal{C} / \mathcal{C}_0, Q''),$$

*and therefore a long exact sequence of abelian groups*

$$\cdots \rightarrow L_1(\mathcal{C} / \mathcal{C}_0, Q'') \rightarrow L_0(\mathcal{C}_0, Q') \rightarrow L_0(\mathcal{C}, Q) \rightarrow L_0(\mathcal{C} / \mathcal{C}_0, Q'').$$

*Proof.* Combine Theorem 7 with the result stated at the end of the previous lecture. □