

# The Local Calculation: Outline (Lecture 25)

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Let  $k$  be an algebraically closed field, let  $X$  be an algebraic curve over  $k$ , and let  $G$  be a smooth affine group scheme over  $X$ .

In the last lecture, we introduced a family of prestacks  $\{\mathrm{Ran}_G^\dagger(X)_S\}_{S \in \mathrm{Fin}^s}$  equipped with maps  $\phi_S : \mathrm{Ran}_G^\dagger(X)_S \rightarrow \mathrm{Ran}(X)$ , and a family of !-sheaves  $\mathcal{B}_S$  given informally by the formulae

$$\mathcal{B}_S = [\mathrm{Ran}_G^\dagger(X)_S]_{\mathrm{Ran}(X)} = \phi_{S*} \phi_S^* \omega_{\mathrm{Ran}(X)}.$$

Our goal for the next several lectures is to prove the following:

**Proposition 1.** *Assume that the generic fiber of  $G$  is semisimple and simply connected. Then the canonical maps  $\{\mathrm{Ran}_G^\dagger(X)_S \rightarrow \mathrm{Ran}^G(X)\}_{S \in \mathrm{Fin}^s}$  induce an equivalence*

$$\mathcal{B} \rightarrow \varprojlim_{S \in \mathrm{Fin}^s} \mathcal{B}_S$$

in the  $\infty$ -category  $\mathrm{Shv}^!(\mathrm{Ran}(X))$ .

By its nature, Proposition 1 is “local” on  $\mathrm{Ran}(X)$ . To prove it, it will suffice to show that for every nonempty finite set  $T$ , the underlying map

$$\theta_T : [\mathrm{Ran}^G(X)^T]_{X^T} \rightarrow \varprojlim_S [\mathrm{Ran}_G^\dagger(X)_S^T]_{X^T}$$

is an equivalence in  $\mathrm{Shv}_\ell(X^T)$ , where  $\mathrm{Ran}^G(X)^T$  denotes the fiber product  $\mathrm{Ran}^G(X) \times_{\mathrm{Ran}(X)} X^T$ , and  $\mathrm{Ran}_G^\dagger(X)_S^T$  is defined similarly. In fact, we will prove the following stronger assertion:

**Proposition 2.** *Let  $T$  be a nonempty finite set, fixed throughout this lecture. Let  $Y$  be a quasi-projective  $k$ -scheme equipped with a map  $Y \rightarrow X^T$ . Then the canonical map*

$$\theta_Y : [\mathrm{Ran}^G(X)^T \times_{X^T} Y]_Y \rightarrow \varprojlim_S [\mathrm{Ran}_G^\dagger(X)_S^T \times_{X^T} Y]_Y$$

is an equivalence in  $\mathrm{Shv}_\ell(Y)$ .

The virtue the formulation given in Proposition 2 is that it will allow us to apply a devissage to the scheme  $Y$ . Suppose we are given a pullback diagram of  $k$ -schemes

$$\begin{array}{ccc} U' & \longrightarrow & U \\ g' \downarrow & & \downarrow g \\ Y' & \xrightarrow{f} & Y, \end{array}$$

where the horizontal maps are proper. We have seen that this diagram induces a map  $[U']_{Y'} = g'_* g'^* \omega_{Y'} \rightarrow f^! g_* g^* \omega_Y = f^! [U]_Y$ . If the vertical maps are smooth, then the smooth base change theorem implies that this

map is invertible: that is, we can identify  $[U']_{Y'}$  with  $f^![U]_{Y'}$ . One can show that this holds more generally for commutative diagrams of prestacks

$$\begin{array}{ccc} \mathcal{C}' & \longrightarrow & \mathcal{C} \\ g' \downarrow & & \downarrow g \\ Y' & \xrightarrow{f} & Y, \end{array}$$

provided satisfying one of the following conditions:

- (a) The map  $g$  exhibits  $\mathcal{C}$  as an Artin stack which is smooth over  $Y$  (this condition is satisfied by the morphisms  $\mathrm{Ran}^G(X)^T \times_{X^T} Y \rightarrow Y$ ).
- (b) The prestack  $\mathcal{C}$  admits an open immersion into a product  $\mathcal{C}_0 \times_{\mathrm{Spec} k} Y$ . (This condition is satisfied by the morphisms  $\mathrm{Ran}_G^\dagger(X)_S^T \times_{X^T} Y \rightarrow Y$ .)

It follows that for any proper map  $f : Y' \rightarrow Y$  of  $X^T$ -schemes, we can identify  $\theta_{Y'}$  with the image of  $\theta_Y$  under the functor  $f^! : \mathrm{Shv}_\ell(Y) \rightarrow \mathrm{Shv}_\ell(Y')$ .

**Remark 3.** Suppose that  $i : Y' \rightarrow Y$  is a closed immersion, with complementary open immersion  $j : U \rightarrow Y$ . For any object  $\mathcal{F} \in \mathrm{Shv}_\ell(Y)$ , we have a canonical fiber sequence

$$i_* i^! \mathcal{F} \rightarrow \mathcal{F} \rightarrow j_* j^* \mathcal{F}.$$

In particular,  $\mathcal{F} \simeq 0$  if and only if both  $i^! \mathcal{F}$  and  $j^* \mathcal{F}$  vanish. It follows that  $\theta_Y$  is an equivalence if and only if  $i^!(\theta_Y) \simeq \theta_{Y'}$  and  $j^*(\theta_Y) \simeq \theta_U$  are equivalences.

The proof of Proposition 2 will proceed by Noetherian induction on  $Y$ . That is, to prove that  $\theta_Y$  is an equivalence, we may assume without loss of generality that  $\theta_{Y'}$  is an equivalence for every closed subscheme  $Y' \subsetneq Y$ . If  $Y$  is non-reduced, we can complete the proof by taking  $Y' = Y_{\mathrm{red}}$ . Let us assume that  $Y$  is nonempty (otherwise, there is nothing to prove). By virtue of Remark 3, it will suffice to prove Proposition 2 after replacing  $Y$  by an arbitrary nonempty open subset of  $Y$ . We may therefore assume without loss of generality that  $Y = \mathrm{Spec} R$  is smooth and affine. In this case, the map  $Y \rightarrow X^T$  corresponds to a map  $\nu : T \rightarrow X(R)$ .

**Remark 4.** In class, we will eventually specialize to the case where the group scheme  $G$  is split reductive (in which case the proof becomes dramatically simpler). If this condition were not satisfied, it would be convenient at this point to assume in addition that the map  $Y \rightarrow X^T$  is “transverse” to  $G$ : that is, that each of the maps  $\nu(t) : \mathrm{Spec} R \rightarrow X$  is either constant or has image disjoint from the locus where  $G$  is not reductive.

Let us say that an object  $\mathcal{F} \in \mathrm{Shv}_\ell(Y)$  is  $\ell$ -adically complete if limit of the tower

$$\dots \rightarrow \mathcal{F} \xrightarrow{\ell} \mathcal{F} \xrightarrow{\ell} \mathcal{F}$$

vanishes. Equivalently,  $\mathcal{F}$  is  $\ell$ -adically complete if it can be recovered as the limit of the tower

$$\dots \rightarrow (\mathbf{Z}/\ell^3 \mathbf{Z}) \otimes_{\mathbf{Z}_\ell} \mathcal{F} \rightarrow (\mathbf{Z}/\ell^2 \mathbf{Z}) \otimes_{\mathbf{Z}_\ell} \mathcal{F} \rightarrow (\mathbf{Z}/\ell \mathbf{Z}) \otimes_{\mathbf{Z}_\ell} \mathcal{F}.$$

Any constructible sheaf is  $\ell$ -adically complete, and the collection of  $\ell$ -adically complete objects of  $\mathrm{Shv}_\ell(Y)$  is closed under limits. It follows that for every map of prestacks  $\mathcal{C} \rightarrow Y$ , the sheaf  $[\mathcal{C}]_Y \in \mathrm{Shv}_\ell(Y)$  is  $\ell$ -adically complete. In particular,  $\theta_Y$  is a morphism between  $\ell$ -adically complete objects of  $\mathrm{Shv}_\ell(Y)$ . Consequently, to prove that  $\theta_Y$  is an equivalence, it will suffice to show that  $\theta_Y$  induces an equivalence after tensoring with  $\mathbf{Z}/\ell^d \mathbf{Z}$ , for every integer  $d \geq 0$ . In other words, it will suffice to prove the analogue of Proposition 2 after

replacing  $\mathbf{Z}_\ell$  by  $\mathbf{Z}/\ell^d\mathbf{Z}$ . Note that we can detect equivalences in  $\mathrm{Shv}(Y; \mathbf{Z}/\ell^d\mathbf{Z})$  by passing to global sections over étale  $Y$ -schemes  $V$ . Replacing  $Y$  by  $V$ , we are reduced to proving that the canonical map

$$[\mathrm{Ran}^G(X)^T \times_{X^T} Y]_Y(Y) \rightarrow \varprojlim_S [\mathrm{Ran}_G^\dagger(X)_S^T \times_{X^T} Y]_Y(Y)$$

is a quasi-isomorphism. Since  $Y$  is smooth, the dualizing complex  $\omega_Y$  agrees with the constant sheaf on  $Y$  up to a shift, so that we identify  $[\mathcal{C}]_Y(Y)$  with a shift of  $C^*(\mathcal{C}; \mathbf{Z}/\ell^d\mathbf{Z})$  for any prestack  $\mathcal{C}$  over  $Y$ . We are therefore reduced to proving that the canonical map

$$C^*(\mathrm{Ran}^G(X)^T \times_{X^T} Y; \mathbf{Z}/\ell^d\mathbf{Z}) \rightarrow \varprojlim_S C^*(\mathrm{Ran}_G^\dagger(X)_S^T \times_{X^T} Y; \mathbf{Z}/\ell^d\mathbf{Z})$$

is an equivalence in  $\mathrm{Mod}_{\mathbf{Z}/\ell^d\mathbf{Z}}$ . In fact, we will prove a stronger assertion at the level of homology. For simplicity, let us henceforth assume that the group scheme  $G$  is *constant*.

**Proposition 5.** *Suppose we are given a map  $Y = \mathrm{Spec} R \rightarrow X^T$ , corresponding to a map  $\nu : T \rightarrow X(R)$  which is in general position. Then the canonical map*

$$\varinjlim_S C_*(\mathrm{Ran}_G^\dagger(X)_S^T \times_{X^T} Y; \mathbf{Z}_\ell) \rightarrow C_*(\mathrm{Ran}^G(X)^T \times_{X^T} Y; \mathbf{Z}_\ell)$$

is an equivalence in  $\mathrm{Mod}_{\mathbf{Z}_\ell}$ .

**Remark 6.** Proposition 5 can be generalized to the case of a non-constant group scheme  $G$ , but the notion of “general position” needs to be slightly modified.

Let us now outline our strategy for proving Proposition 5. First,  $\mathrm{Ran}_G^\dagger(X)^T$  denote the prestack obtained by applying the Grothendieck construction to the functor  $S \mapsto \mathrm{Ran}_G^\dagger(X)_S^T$ . More precisely,  $\mathrm{Ran}_G^\dagger(X)^T$  denotes the category whose objects are tuples  $(A, S, K_-, K_+, \mu, \nu, \mathcal{P}, \gamma)$  where  $A$  is a finitely generated  $k$ -algebra,  $S$  is a nonempty finite set,  $K_-$  and  $K_+$  are subsets of  $S$  with  $K_- \subseteq K_+$ ,  $\mu : S \rightarrow X(A)$  and  $\nu : T \rightarrow X(A)$  are maps such that  $|\mu(K_+)|$  and  $|\nu(T)|$  do not intersect,  $\mathcal{P}$  is a  $G$ -bundle on  $X_A - |\mu(K_-)|$  which can be extended to a  $G$ -bundle on  $X_A$ , and  $\gamma$  is a trivialization of  $\mathcal{P}$  over  $X_A - |\mu(S)|$ . Then we can identify the direct limit  $\varinjlim_S C_*(\mathrm{Ran}_G^\dagger(X)_S^T \times_{X^T} Y; \mathbf{Z}_\ell)$  with  $C_*(\mathrm{Ran}_G^\dagger(X)^T \times_{X^T} Y; \mathbf{Z}_\ell)$ . It will therefore suffice to show that the forgetful functor

$$\mathrm{Ran}_G^\dagger(X)^T \times_{X^T} Y \rightarrow \mathrm{Ran}^G(X)^T \times_{X^T} Y$$

induces an isomorphism on homology. To prove this, we will need an auxiliary constructions:

**Definition 7.** We define a category  $\mathrm{Ran}_{\mathrm{germ}}^G(X)^T$  as follows:

- (a) The objects of  $\mathrm{Ran}_{\mathrm{germ}}^G(X)^T$  are triples  $(A, \nu, \mathcal{P})$  where  $A$  is a finitely generated  $k$ -algebra,  $\nu : T \rightarrow X(A)$  is a map, and  $\mathcal{P}$  is a  $G$ -bundle on  $X_A$ .
- (b) A morphism from  $(A, \nu, \mathcal{P})$  to  $(A', \nu', \mathcal{P}')$  is a  $k$ -algebra homomorphism  $A \rightarrow A'$  such that  $\nu'$  coincides with the composite map  $T \xrightarrow{\nu} X(A) \rightarrow X(A')$ , together with a germ of  $G$ -bundle isomorphisms of  $X_{A'} \times_{X_A} \mathcal{P}$  with  $\mathcal{P}'$  around the divisor  $|\nu'| \subseteq X_{A'}$  (that is, we require an isomorphism which is defined on some open subset of  $X_{A'}$  which contains  $|\nu'|$ ).

We have evident forgetful functors

$$\mathrm{Ran}_G^\dagger(X)^T \rightarrow \mathrm{Ran}_{\mathrm{germ}}^G(X)^T \rightarrow \mathrm{Ran}^G(X)^T.$$

To prove Proposition 5, it will suffice to show that for every map  $Y = \mathrm{Spec} R \rightarrow X^T$ , the maps

$$\mathrm{Ran}_G^\dagger(X)^T \times_{X^T} Y \xrightarrow{\rho_0} \mathrm{Ran}_{\mathrm{germ}}^G(X)^T \times_{X^T} Y \xrightarrow{\rho_1} \mathrm{Ran}^G(X)^T \times_{X^T} Y$$

induce isomorphisms on  $\mathbf{Z}_\ell$ -homology. We will take this up in the next lecture.