

Another Model of the Assembly Map II (Lecture 29)

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Let X be a simplicial set. In the previous lecture, we introduced the category \mathcal{C}_X whose objects are simplicial sets Y over and under X , which are obtained from X by adding finitely many simplices. We can regard \mathcal{C}_X as a category with cofibrations and weak equivalences (where the latter is given by the collection s of cell-like maps), and we proved that the construction

$$F(X) = \Omega^{-\infty} K(\mathcal{C}_X, s)$$

has the property that $\widehat{F}(X) = |F(X^{\Delta^\bullet})|$ is a homology theory (that is, it induces a colimit-preserving functor from spaces to spectra). There is a natural map $\widehat{F}(X) \rightarrow A(X)$; when X is finite and nonsingular, this comes from a composite map

$$F(X) \rightarrow \Omega^{-\infty} K(\mathrm{Shv}_{PL}(X)^{\mathrm{op}}) \rightarrow \Omega^{-\infty} K_{\Delta}(X) \rightarrow A(X)$$

where the first map is obtained from a functor

$$\lambda : \mathcal{C}_X \rightarrow \mathrm{Shv}_{PL}(|X|)^{\mathrm{op}}$$

which assigns to each retraction diagram

$$\begin{array}{ccc} & Y & \\ & \nearrow & \searrow r \\ X & \xrightarrow{\mathrm{id}} & X \end{array}$$

the constructible sheaf given by the cofiber of the unit map $\underline{S}_X \rightarrow r_* \underline{S}_Y$. To verify that this construction yields a well-defined map on K-theory, we observe that if $Y, Y' \in \mathcal{C}_X$ are related by a cell-like map $Y \rightarrow Y'$, then the constant sheaf $\underline{S}_{Y'}$ can be identified with the direct image of the constant sheaf \underline{S}_Y , so that the induced map $\lambda(Y') \rightarrow \lambda(Y)$ is an equivalence in $\mathrm{Shv}_{PL}(|X|)$.

Consider the functor $\mu : \mathrm{Shv}_{PL}(|X|)^{\mathrm{op}} \rightarrow (\mathrm{Sp}^X)^c$ (here $(\mathrm{Sp}^X)^c$ denotes the ∞ -category of compact objects of Sp^X) characterized by the formula

$$\mathrm{Map}_{\mathrm{Sp}^X}(\mu(\mathcal{F}), \mathcal{G}) = \Gamma(|X|, \mathcal{F} \wedge \mathcal{G}).$$

Unwinding the definitions, we see that $\mu \circ \lambda : \mathcal{C}_X \rightarrow (\mathrm{Sp}^X)^c$ is given by the formula $Y \mapsto r_! \underline{S}_Y$, where $r_! : \mathrm{Sp}^Y \rightarrow \mathrm{Sp}^X$ is the homological pushforward on local systems. Here we have a bit more flexibility: in order to ensure that a map $Y \rightarrow Y'$ in \mathcal{C}_X induces an equivalence $(\mu \circ \lambda)(Y) \rightarrow (\mu \circ \lambda)(Y')$ in Sp^X , it is sufficient to assume that $Y \rightarrow Y'$ is a weak homotopy equivalence.

Exercise 1. Let h be the collection of all weak homotopy equivalences in \mathcal{C}_X . Show that (\mathcal{C}_X, h) satisfies the axioms for a category with cofibrations and weak equivalences (where the cofibrations, as before, are given by the monomorphisms).

The above analysis supplies a diagram of infinite loop spaces

$$\begin{array}{ccc} K(\mathcal{C}_X, s) & \longrightarrow & K(\mathcal{C}_X, h) \\ \downarrow & & \downarrow \theta \\ K_{\Delta}(X) & \longrightarrow & \Omega^{\infty} A(X) \end{array}$$

which commutes up to canonical homotopy and depends functorially on X . Our goal in this lecture is to show that the right vertical map is close to being a homotopy equivalence. To this end, recall that $(\mathrm{Sp}^X)^c$ can be identified with the Spanier-Whitehead ∞ -category of the ∞ -category $(\mathcal{S}_*^X)^c \simeq \mathcal{S}_{X//X}^c$, where $\mathcal{S}_{X//X}$ denotes the ∞ -category of spaces over and under X and $\mathcal{S}_{X//X}^c$ is the full subcategory of $\mathcal{S}_{X//X}$ spanned by the compact objects. Let $\mathcal{S}_{X//X}^{\mathrm{fin}} \subseteq \mathcal{S}_{X//X}^c$ denote the full subcategory spanned by those objects Y which can be obtained from X by attaching finitely many cells. Then θ is the map on K -theory induced by the composition

$$\mathcal{C}_X \rightarrow \mathcal{S}_{X//X}^{\mathrm{fin}} \subseteq \mathcal{S}_{X//X}^c \rightarrow (\mathrm{Sp}^X)^c.$$

We have seen that the map $K(\mathcal{S}_{X//X}^c) \rightarrow K((\mathrm{Sp}^X)^c) \simeq \Omega^{\infty} A(X)$ is a homotopy equivalence, and that the map $K(\mathcal{S}_{X//X}^{\mathrm{fin}}) \rightarrow K(\mathcal{S}_{X//X}^c)$ exhibits the domain as a union of connected components of the target.

Notation 2. Let X be a space. We let $A^{\mathrm{free}}(X)$ denote the spectrum given by $\Omega^{-\infty} K(\mathcal{S}_{X//X}^{\mathrm{fin}})$. Then $A^{\mathrm{free}}(X)$ is a connective spectrum whose homotopy groups are given by

$$\pi_i A^{\mathrm{free}}(X) = \begin{cases} \pi_i A(X) & \text{if } i > 0 \\ \mathrm{H}_0(X; \mathbf{Z}) & \text{if } i = 0. \end{cases}$$

(note: this is the definition of $A(X)$ that appears in Waldhausen's paper).

Our next goal is to prove:

Proposition 3. *Let X be a simplicial set. Then the natural map $\mathcal{C}_X \rightarrow \mathcal{S}_{X//X}^{\mathrm{fin}}$ induces homotopy equivalences*

$$\begin{aligned} K(\mathcal{C}_X, h) &\rightarrow K(\mathcal{S}_{X//X}^{\mathrm{fin}}) \\ \Omega^{-\infty} K(\mathcal{C}_X, h) &\rightarrow A^{\mathrm{free}}(X). \end{aligned}$$

Note that the domain and codomain of the map appearing in the statement of Proposition 3 can be identified with the geometric realization of simplicial spaces obtained from Waldhausen's construction. It will therefore suffice to show that the map $\mathcal{C}_X \rightarrow \mathcal{S}_{X//X}^{\mathrm{fin}}$ induces an equivalence in each simplicial degree. In other words, Proposition 3 is a consequence of the following more precise assertion:

Proposition 4. *Let X be a simplicial set and let $n \geq 0$ be an integer. Then the natural map*

$$hS_n \mathcal{C}_X \rightarrow S_n \mathcal{S}_{X//X}^{\mathrm{fin}}$$

is a weak homotopy equivalence (here we regard the left hand side as the nerve of a category and the right hand side as a Kan complex).

The proof of Proposition 4 will proceed by induction on n . The case $n = 0$ is trivial (since both sides are contractible), so let us assume $n > 0$. Let us identify the objects of $hS_n \mathcal{C}_X$ with chains of cofibrations

$$Y_1 \hookrightarrow Y_2 \hookrightarrow \cdots \hookrightarrow Y_n$$

of simplicial sets over and under X . There is a canonical map $\tau : hS_n \mathcal{C}_X \rightarrow hS_{n-1} \mathcal{C}_X$ obtained by “forgetting” Y_n (one of the face maps appearing in the simplicial category $hS_\bullet \mathcal{C}_X$). Similarly, we have a forgetful map $S_n \mathcal{S}_{X//X}^{\text{fin}} \rightarrow S_{n-1} \mathcal{S}_{X//X}^{\text{fin}}$ which is a Kan fibration. These maps fit into a commutative diagram

$$\begin{array}{ccc} hS_n \mathcal{C}_X & \longrightarrow & S_n \mathcal{S}_{X//X}^{\text{fin}} \\ \downarrow \tau & & \downarrow \\ hS_{n-1} \mathcal{C}_X & \longrightarrow & S_{n-1} \mathcal{S}_{X//X}^{\text{fin}}. \end{array}$$

The inductive hypothesis implies that the lower horizontal map is a weak homotopy equivalence. Consequently, to prove Proposition 4, it will suffice to show that the diagram induces a weak homotopy equivalence after taking homotopy fibers in the vertical direction. Fix an object $\vec{Y} = (Y_1 \rightarrow \cdots \rightarrow Y_{n-1})$ in $hS_{n-1} \mathcal{C}_X$. We will show that the category

$$(hS_n \mathcal{C}_X) \times_{(hS_{n-1} \mathcal{C}_X)} \{\vec{Y}\}$$

is weakly homotopy equivalent to the Kan complex

$$S_n \mathcal{S}_{X//X}^{\text{fin}} \times_{S_{n-1} \mathcal{S}_{X//X}^{\text{fin}}} \{\vec{Y}\}.$$

It will then follow that every map $\vec{Y} \rightarrow \vec{Y}'$ in $hS_{n-1} \mathcal{C}_X$ induces a weak homotopy equivalence

$$(hS_n \mathcal{C}_X) \times_{(hS_{n-1} \mathcal{C}_X)} \{\vec{Y}\} \rightarrow (hS_n \mathcal{C}_X) \times_{(hS_{n-1} \mathcal{C}_X)} \{\vec{Y}'\}.$$

Applying Quillen’s Theorem B (and the observation that τ is a coCartesian fibration), it follows that $(hS_n \mathcal{C}_X) \times_{(hS_{n-1} \mathcal{C}_X)} \{\vec{Y}\}$ can be identified with the homotopy fiber of τ over \vec{Y} , thereby completing the proof of the inductive step. We are therefore reduced to proving the following lemma (applied in the case $Z = Y_{n-1}$):

Lemma 5. *Let $f : Z \rightarrow X$ be a map of simplicial sets. Let \mathcal{C}_f denote the category whose objects are diagrams of simplicial sets*

$$\begin{array}{ccc} & Y & \\ j \nearrow & & \searrow \\ Z & \xrightarrow{f} & X \end{array}$$

where j is a cofibration and Y is obtained from Z by adding only finitely many simplices, and whose morphisms are weak homotopy equivalences. Let $\mathcal{S}_{Z//X}^{\text{fin}}$ denote the ∞ -category given by the full subcategory of $\mathcal{S}_{Z//X}$ spanned by those objects Y which can be obtained from Z by attaching finitely many cells. Then the canonical map

$$\nu : \mathcal{C}_f \rightarrow (\mathcal{S}_{Z//X}^{\text{fin}})^{\simeq}$$

is a weak homotopy equivalence of simplicial sets.

Proof. Let us compute the homotopy fiber of ν over a point $\eta \in (\mathcal{S}_{Z//X}^{\text{fin}})^{\simeq}$. Let us represent η by a diagram of simplicial sets

$$\begin{array}{ccc} & W & \\ j \nearrow & & \searrow q \\ Z & \xrightarrow{f} & X \end{array}$$

where j is a cofibration and q is a Kan fibration. Then the homotopy fiber $\nu^{-1}\{\eta\}$ can be identified with the homotopy colimit

$$\varinjlim_{Y \in \mathcal{C}_f} \underline{\text{Hom}}(Y, W),$$

where $\underline{\text{Hom}}(Y, W)$ denotes the Kan complex parametrizing maps from Y to W in $(\text{Set}_\Delta)_{Z//X}$ which are weak homotopy equivalences. It follows that $\nu^{-1}\{\eta\}$ can be identified with the geometric realization of a simplicial space which is given in degree m by the homotopy colimit

$$\varinjlim_{Y \in \mathcal{C}_f} \underline{\text{Hom}}(Y, W)_m.$$

It will therefore suffice to show that this homotopy colimit is contractible for each m . Replacing W by $W^{\Delta^m} \times_{X^{\Delta^m}} X$, we can reduce to the case where $m = 0$. In this case, the homotopy colimit can be identified with the nerve of the category \mathcal{D} whose objects are commutative diagrams

$$\begin{array}{ccc} & Y & \\ & \nearrow & \searrow f \\ Z & \longrightarrow & W \end{array}$$

where Y is obtained from Z by adjoining finitely many simplices and the map f is a homotopy equivalence. It will therefore suffice to show that \mathcal{D} is weakly contractible. In fact, we claim that \mathcal{D} is filtered. Note that \mathcal{D} is a full subcategory of a filtered category \mathcal{D}^+ , where we drop the requirement that the map f be a weak homotopy equivalence. To prove that \mathcal{D} is also filtered, it will suffice to verify that for every object $Y \in \mathcal{D}^+$ there exists a morphism $Y \rightarrow Y'$ where $Y' \in \mathcal{D}$. We are therefore reduced to proving the following general assertion about simplicial sets:

Lemma 6. *Let $g : Y \rightarrow W$ be a map of simplicial sets. Suppose that $|W|$ is homotopy equivalent to a space obtained from $|Y|$ by attaching finitely many cells. Then g factors as a composition*

$$Y \rightarrow Y' \xrightarrow{f} W$$

where f is a weak homotopy equivalence and Y' is obtained from Y by adding finitely many simplices.

Proof. For simplicity, let us assume that $|W|$ is homotopy equivalent to a space obtained from $|Y|$ by attaching a single n -cell (the proof in the general case is similar). This n -cell is attached via a map $S^{n-1} \rightarrow |Y|$, which can be obtained as the geometric realization of a map of simplicial sets $A \rightarrow Y$ where A is some subdivision $\partial \Delta^n$. The map $|Y| \amalg_{S^{n-1}} D^n \rightarrow |Z|$ determines a nullhomotopy h of the composite map

$$A \rightarrow Y \rightarrow Z$$

after geometric realization. Replacing A by a subdivision if necessary, we may assume that the nullhomotopy h arises from a nullhomotopy in the category of simplicial sets. For $n \gg 0$, we may assume that h arises from a simplicial nullhomotopy of the composite map

$$A \rightarrow Y \rightarrow Z \rightarrow \text{Ex}^n Z.$$

We can then take

$$Y' = Y \amalg_{\text{Sd}^n A} \text{Sd}^n(A \times \Delta^1) \amalg_{\text{Sd}^n A} \Delta^0.$$

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References

- [1] Waldhausen, F. *The Algebraic K-Theory of Spaces*.