

# Lecture 25-Functions on $Y_E^\circ$

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Throughout this lecture, we fix an algebraically closed perfectoid field  $C^b$  of characteristic  $p$ . Let  $E$  be a finite extension of  $\mathbf{Q}_p$ , so that  $\mathbf{Q}_p \subseteq E_0 \subseteq E$  where  $\mathbf{Q}_p \hookrightarrow E_0$  is an unramified extension of degree  $d$  and  $E_0 \hookrightarrow E$  is a totally ramified extension of degree  $e$ . We let  $\mathbf{F}_q$  denote the residue field of  $E$  (so  $q = p^d$ ) and  $\pi$  a uniformizer of the ring of integers  $\mathcal{O}_E$ . Fix an embedding  $\mathbf{F}_q \hookrightarrow C^b$ , which determines a map  $\mathcal{O}_{E_0} \rightarrow \mathbf{A}_{\text{inf}}$ , hence  $E_0 \rightarrow B$ . Our first goal is to prove the following:

**Proposition 1.** *The ring  $B \otimes_{E_0} E$  is an integral domain.*

*Proof.* We already know that  $B$  is an integral domain; let  $K(B)$  denote its fraction field. We first note that  $B$  is integrally closed in  $K(B)$ . Given elements  $f, g \in B$  such that  $g \neq 0$  and  $\frac{f}{g}$  is integral over  $B$ , it follows that for each point  $y \in Y$  the image of  $\frac{f}{g}$  in the field  $B_{\text{dR}}(y)$  is integral over  $B_{\text{dR}}^+(y)$ . We therefore have  $\frac{f}{g} \in B_{\text{dR}}^+(y)$ : that is,  $\text{ord}_y(f) \geq \text{ord}_y(g)$ . It follows that  $f$  is divisible by  $g$  in the ring  $B$ , so that  $\frac{f}{g}$  belongs to  $B_i$ .

Then  $B \otimes_{E_0} E$  embeds in  $K(B) \otimes_{E_0} E$ . It will therefore suffice to show that the tensor product  $K(B) \otimes_{E_0} E$  is a field. Enlarging  $E$  if necessary, we may assume that it is a Galois extension of  $E_0$ . Note that  $K(B) \otimes_{E_0} E$  is an étale  $K(B)$ -algebra, so it is automatically a finite product of fields  $K_1 \times \cdots \times K_m$ . Then the subring  $E \times \cdots \times E \subseteq K_1 \times \cdots \times K_m = K(B) \otimes_{E_0} E$  is invariant under the action of  $\text{Gal}(E/E_0)$ , and can therefore be written as  $L \otimes_{E_0} E$  for some  $E_0$ -subalgebra  $L \subseteq K(B)$ . Then  $L$  is an integral domain, so it is a field extension of  $E_0$  (of degree  $m$ ). We will complete the proof by showing that  $L \otimes_{E_0} E$  is a field: that is,  $L$  and  $E$  are linearly disjoint over  $E_0$ . Since  $E$  is a totally ramified extension of  $E_0$ , it will suffice to show that  $L$  is an unramified extension of  $E_0$ . Let  $e'$  be the ramification degree of  $L$  over  $E_0$  and let  $f \in \mathcal{O}_L$  be a uniformizer. Then  $f$  is integral over  $\mathcal{O}_{E_0} \subseteq B$ , and therefore belongs to  $B$  (since  $B$  is integrally closed in  $K(B)$ ). Note that, for each point  $y \in Y$ , we can identify  $f(y)$  with the image of  $f$  under a continuous map of valued fields  $L \rightarrow K_y$  (where  $K_y$  is the untilt of  $C^b$  corresponding to  $y$ ), and therefore have  $|f(y)|_{K_y} = |p|_{K_y}^{1/e'}$ . It follows that  $|f|_\rho = \rho^{1/e'}$  for each  $\rho \in (0, 1)$ : that is, we have  $v_s(f) = \frac{s}{e'}$  for  $s \in \mathbf{R}_{>0}$ . Since the function  $v_\bullet(f)$  is piecewise linear with *integer* slopes, we must have  $e' = 1$  as desired.  $\square$

**Corollary 2.** *Let  $f$  be a nonzero element of  $B \otimes_{E_0} E$  and let  $N_{E/E_0}(f)$  denote its norm (along the finite flat map  $\text{Spec}(B) \rightarrow \text{Spec}(B \otimes_{E_0} E)$ ). Then  $N_{E/E_0}(f) \neq 0$ .*

**Corollary 3.** *Let  $f$  and  $g$  be elements of  $B \otimes_{E_0} E$ , where  $g \neq 0$ . The following conditions are equivalent:*

- (1) *The element  $f$  is divisible by  $g$ .*
- (2) *For each point  $\tilde{y} \in Y_E^\circ$ , we have  $\text{ord}_{\tilde{y}}(f) \geq \text{ord}_{\tilde{y}}(g)$ .*

*Proof.* The implication (1)  $\Rightarrow$  (2) is trivial. For the converse, assume that (2) is satisfied; we wish to show that  $g$  divides  $f$ . Write  $N_{E/E_0}(g) = g \cdot h$ . Replacing  $f$  by  $f \cdot h$  and  $g$  by  $g \cdot h = N_{E/E_0}(g)$ , we can reduce to the case where  $g$  belongs to  $B$ . We can write  $f$  uniquely as a sum  $f_0 + f_1\pi + \cdots + f_{e-1}\pi^{e-1}$ , where

each  $f_i$  belongs to  $B$ . It will therefore suffice to show that each  $f_i$  is divisible by  $g$ : that is, that we have  $\text{ord}_y(f_i) \geq \text{ord}_y(g)$  for each point  $y \in Y$ . Let  $\xi$  be a uniformizer of the valuation ring  $B_{\text{dR}}^+(y)$  and set  $n = \text{ord}_y(g)$ . Our hypothesis that  $\text{ord}_{\tilde{y}}(f) \geq \text{ord}_{\tilde{y}}(g) = n$  for each point  $\tilde{y} \in Y_E^\circ$  lying over  $Y$  guarantees that the image of  $f$  vanishes in

$$\prod_{\tilde{y} \rightarrow y} B_{\text{dR}}^+(y)/(\xi^n) = (B_{\text{dR}}^+(y)/(\xi^n)) \otimes_{E_0} E \simeq B_{\text{dR}}^+(y)/(\xi^n) + \pi B_{\text{dR}}^+(y)/(\xi^n) + \cdots + \pi^{e-1} B_{\text{dR}}^+(y)/(\xi^n),$$

so that we have  $\text{ord}_y(f_i) \geq n$  for each  $i$ , as desired.  $\square$

**Remark 4.** Let  $f$  be a nonzero element of  $B \otimes_{E_0} E$ . Then the vanishing locus of  $f$  (as a subset of  $Y_E^\circ$ ) has at most countably many points. To see this, we observe that  $N_{E/E_0}(f)$  is a nonzero element of  $B$ , and therefore vanishes on at most finitely many points of each annulus  $Y_{[a,b]}$ , and therefore at most countably many points of  $Y$ .

**Corollary 5.** *Let  $f$  be a nonzero element of  $(B \otimes_{E_0} E)^{\varphi^d = \pi}$ . Then the vanishing locus of  $f$  (as a subset of  $Y_E^\circ$ ) consists of a single orbit of  $\varphi^{d\mathbf{Z}}$ . Moreover,  $f$  has a simple zero at each point of this vanishing locus.*

*Proof.* Set  $\pi' = N_{E/E_0}(\pi) \in \mathcal{O}_{E_0}$  and  $f' = N_{E/E_0}(f)$ , so that  $f$  belongs to  $B^{\varphi^d = \pi'}$ . Then the vanishing locus of  $f'$  is the image in  $Y$  of the vanishing locus of  $f$ , and the order of vanishing of  $f'$  at each point  $y \in Y$  is the sum of the order of vanishing of  $f$  at each point of  $Y_E^\circ$  lying over  $y$ . It will therefore suffice to show that  $f'$  vanishes on a single  $\varphi^{d\mathbf{Z}}$ -orbit (and with multiplicity 1 at each point of this orbit).

For each  $\rho \in (0, 1)$ , we have

$$\rho^{p^d} \cdot |f'|_{\rho^{p^d}} = |\pi' f'|_{\rho^{p^d}} = |f'^{\varphi^d}|_{\rho^{p^d}} = |f'|_{\rho^{p^d}}^{p^d}$$

or, setting  $s = -\log(\rho)$ ,

$$p^d s + v_{p^d s}(f') = p^d v_s(f).$$

Differentiating with respect to  $s$  and dividing by  $p^d$ , this gives

$$1 + \partial_- v_{p^d s}(f') = \partial_- v_s(f')$$

which implies that  $f'$  has exactly one zero (counted with multiplicity) on the half-open annulus  $Y_{(\rho^p, \rho]} = \{y \in Y : \rho^p < d(y, 0) \leq \rho\}$ . Since the vanishing locus of  $f'$  is a union of  $\varphi^{d\mathbf{Z}}$  orbits, it must consist of a single such orbit (with multiplicity 1).  $\square$

Let  $\mathbf{G}_{\text{LT}}$  denote the Lubin-Tate group of the previous lecture, and let  $\sigma : \mathbf{G}_{\text{LT}}(\mathcal{O}_C^b) \rightarrow \mathbf{G}(\mathbf{A}_{\text{inf}} \otimes_{\mathcal{O}_{E_0}} \mathcal{O}_E)$  be the section of the projection map constructed in the previous lecture (which reduces to the usual Teichmüller section in the case  $E = \mathbf{Q}_p$  and  $\mathbf{G}_{\text{LT}} = \widehat{\mathbf{G}}_m$ ).

**Proposition 6.** *The map*

$$\log_F(\sigma(\bullet)) : \mathbf{G}_{\text{LT}}(\mathcal{O}_C^b) \rightarrow (B \otimes_{E_0} E)^{\varphi^d = \pi}$$

*is an isomorphism.*

*Proof.* We first prove injectivity. Let  $\tilde{y}$  be any point of  $Y_E^\circ$  having image  $y \in Y$  and let  $K_y$  denote the corresponding untilt of  $C^b$ , so that  $\tilde{y}$  equips  $\mathcal{O}_{K_y}$  with the structure of a  $\mathcal{O}_E$ -algebra. Note that, on a sufficiently small ball around the origin in  $K_y$ , the construction  $t \mapsto \log_F(t)$  is bijective (this happens already for the open ball of radius  $|p|_{K_y}^{1/(p-1)}$ ). For any  $t \in \mathbf{G}_{\text{LT}}(K_y)$ , the image  $[\pi^m](t)$  will belong to this ball for  $m \gg 0$ . It follows that

$$(\log_F(t) = 0) \Leftrightarrow (\log_F([\pi^m](t)) = 0) \Leftrightarrow ([\pi^m](t) = 0 \text{ for } m \gg 0).$$

Let  $u$  be any nonzero element of  $\mathbf{GLT}(\mathcal{O}_C^b)$ . The above reasoning shows that  $\log_F(\sigma(u))$  vanishes at a point  $\tilde{y} \in Y_E^\circ$  if and only if  $[\pi^m]\sigma(u) = \sigma(\varphi^{md}(u))$  vanishes at  $\tilde{y}$  for some  $m \gg 0$ . Since each  $\sigma(\varphi^{md}(u))$  is a nonzero element of

$$\mathbf{GLT}(\mathbf{A}_{\text{inf}} \otimes_{\mathcal{O}_{E_0}} \mathcal{O}_E) \simeq \mathfrak{m}_{\mathbf{A}_{\text{inf}} \otimes_{\mathcal{O}_{E_0}} \mathcal{O}_E},$$

there are at most countably many points  $\tilde{y} \in Y_E^\circ$  which satisfy this condition (Remark 4). It follows that  $\log_F(\sigma(u))$  cannot be identically zero.

We now prove surjectivity. Let  $f$  be a nonzero element of  $(B \otimes_{E_0} E)^{\varphi^d = \pi}$ . Then the vanishing locus of  $f$  consists of a single  $\varphi^{d\mathbf{Z}}$ -orbit on  $Y_E^\circ$  (Corollary 5). In Lecture 24, we constructed a nonzero element  $u \in \mathbf{GLT}(\mathcal{O}_C^b)$  such that  $\log_F(\sigma(u))$  vanishes on the same locus. Since  $\log_F(\sigma(u))$  is also nonzero (by the first part of the proof), it follows that  $\lambda \log_F(\sigma(u)) = f$ , where  $\lambda$  is a unit in the ring  $B \otimes_{E_0} E$ . It then follows that  $\lambda$  is a nonzero element of

$$(B \otimes_{E_0} E)^{\varphi^d = 1} \simeq (B \otimes_{\mathbf{Q}_p} E)^{\varphi = 1} \simeq B^{\varphi = 1} \otimes_{\mathbf{Q}_p} E \simeq E.$$

Replacing  $u$  by  $\lambda u$  (using the structure of  $\mathbf{GLT}(\mathcal{O}_C^b)$  as a vector space over  $E$ ), we can arrange that  $\log_F(\sigma(u)) = f$ .  $\square$

It follows from the preceding discussion that we have canonical bijections

$$\begin{aligned} \{\text{Closed points of } X_E\} &\simeq \{\varphi^{d\mathbf{Z}}\text{-orbits on } Y_E^\circ\} \\ &\simeq ((B \otimes_{E_0} E)^{\varphi^d = \pi} - \{0\})/E^\times \\ &\simeq (\mathbf{GLT}(\mathcal{O}_C^b) - \{0\})/E^\times. \end{aligned}$$