

# Sur les groupes d'homotopie des sphères en théorie des types homotopiques

## On the homotopy groups of spheres in homotopy type theory

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- ① Homotopy type theory
- ② The circle and the Hopf fibration
- ③ The James construction
- ④ Cohomology and the Gysin sequence

Homotopy type theory (HoTT) is

- A foundation of mathematics, based on the principle of univalence: isomorphic structures are equal
- An understanding of the identity types in Martin–Löf type theory
- An internal language for  $(\infty, 1)$ -toposes
- A framework in which we can do synthetic homotopy theory

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## Intuition

Homotopy theory is the study of spaces up to homotopy.

A space-up-to-homotopy is

- a topological space up to weak homotopy equivalence, or
- a CW-complex up to homotopy equivalence, or
- a simplicial set up to weak equivalence, or
- an  $\infty$ -groupoid up to weak equivalence, or
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# Synthetic homotopy theory

Homotopy theory in a **purely homotopy-invariant way** and **constructively**.  
Basic concepts are primitive instead of being defined.

- spaces (types)
- points (elements of types)
- fibrations
  
- function spaces
- product spaces
- path spaces
- the space of all small spaces
- many “cell complexes”

# Path spaces

## Formation and introduction rules

Given a type  $A$  and two points  $u, v : A$ , there is a type

$$\text{Path}_A(u, v).$$

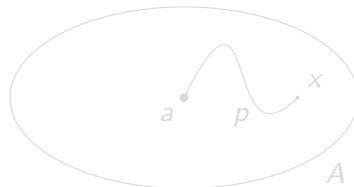
Given a point  $a : A$ , there is a path

$$\text{idp}_a : \text{Path}_A(a, a).$$

## Contractibility of singletons

Given  $a : A$ , for every  $x : A$  and  $p : \text{Path}_A(a, x)$  there is a path

$$s_{a,x,p} : \text{Path}((x, p), (a, \text{idp}_a)).$$





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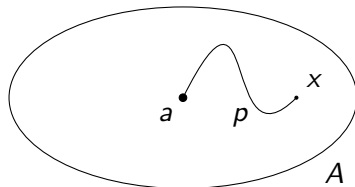
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# Transport

## Intuition

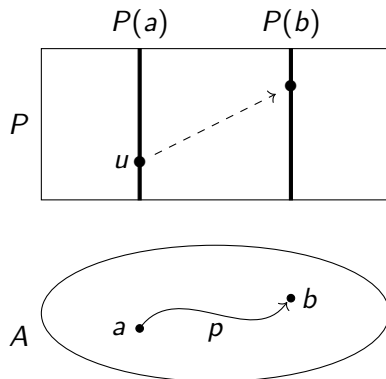
The type `Type` is the type of all small types.

A function  $P : A \rightarrow \text{Type}$  corresponds to a fibration.

## Transport

Given  $P : A \rightarrow \text{Type}$ ,  
 $p : \text{Path}_A(a, b)$  and  $u : P(a)$ ,  
there is an element

$$\text{transport}^P(p, u) : P(b).$$



## Definition

An **equivalence** between two types  $A$  and  $B$  is a pair of functions  $f : A \rightarrow B$  and  $g : B \rightarrow A$  such that the composites are homotopic to the identity functions.

## Univalence axiom

Given two types  $A$  and  $B$ , the canonical map

$$\text{Path}_{\text{Type}}(A, B) \rightarrow (A \simeq B)$$

is an equivalence, where  $A \simeq B$  is the type of equivalences from  $A$  to  $B$ .

# Higher inductive types

## Definition

The **pushout** of a diagram

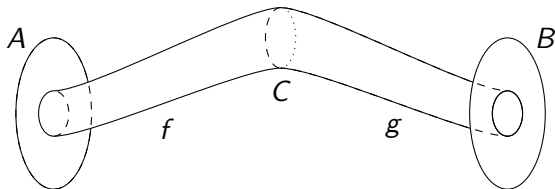
$$A \xleftarrow{f} C \xrightarrow{g} B$$

is the higher inductive type  $A \sqcup^C B$  generated by

$$\text{inl} : A \rightarrow A \sqcup^C B$$

$$\text{inr} : B \rightarrow A \sqcup^C B$$

$$\text{push} : (c : C) \rightarrow \text{Path}_{A \sqcup^C B}(\text{inl}(f(c)), \text{inr}(g(c)))$$



# Homotopy groups

## Definition

Given a type  $A$  and  $n : \mathbb{N}$ , there is a universal  $n$ -truncated type  $\|A\|_n$  called the  $n$ -truncation of  $A$ , together with a map

$$|-|_n : A \rightarrow \|A\|_n.$$

## Definition

The  $n^{\text{th}}$  homotopy group of a pointed type  $A$  is

$$\pi_n(A) := \|\Omega^n A\|_0.$$

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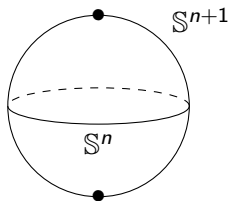
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# Statement of the problem

## Definition

The **sphere**  $\mathbb{S}^{n+1}$  is the pushout of

$$\mathbf{1} \longleftarrow \mathbb{S}^n \longrightarrow \mathbf{1}.$$



## General goal

Compute the  $\pi_k(\mathbb{S}^n)$  in homotopy type theory.

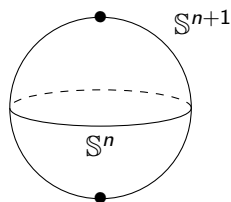
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# Table of homotopy groups of spheres

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# Contents

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- ② The circle and the Hopf fibration
- ③ The James construction
- ④ Cohomology and the Gysin sequence

## Intuition

A **fibration** is a family of spaces parametrized by another space.

### In classical mathematics:

- We define  $E$ ,  $B$  and  $p : E \rightarrow B$
- We prove that  $p$  is a fibration

$$\begin{array}{c} F \\ \downarrow \\ E \\ \downarrow p \\ B \end{array}$$

### In HoTT:

- We define  $B$  and  $P : B \rightarrow \text{Type}$
- We figure out what the total space  $E$  looks like

# The universal cover of the circle

## Definition

The **circle**  $\mathbb{S}^1$  is generated by

$\text{base} : \mathbb{S}^1,$

$\text{loop} : \text{Path}_{\mathbb{S}^1}(\text{base}, \text{base}).$

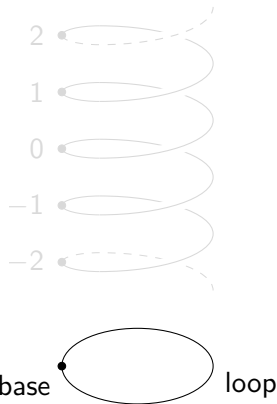
## Definition

The **universal cover of the circle** is defined by

$U : \mathbb{S}^1 \rightarrow \text{Type},$

$U(\text{base}) := \mathbb{Z},$

$\text{ap}_U(\text{loop}) := \text{ua}(n \mapsto n + 1).$



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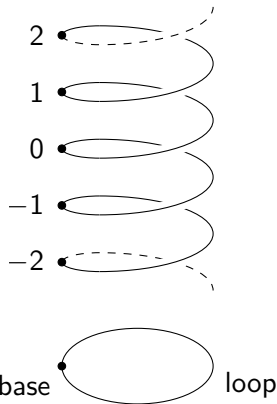
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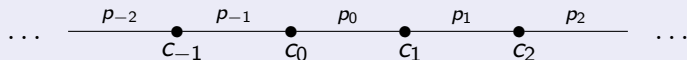
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# The universal cover of the circle

## Proposition (flattening lemma)

The total space of  $U$  is equivalent to



Moreover one can prove that this type is contractible.

## Corollary (Shulman 2011)

We have a fibration  $\mathbb{Z} \hookrightarrow \mathbf{1} \rightarrow \mathbb{S}^1$  and

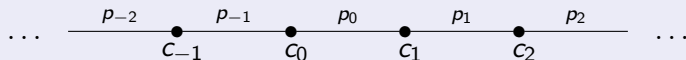
$$\pi_1(\mathbb{S}^1) \simeq \mathbb{Z},$$

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# The Hopf fibration

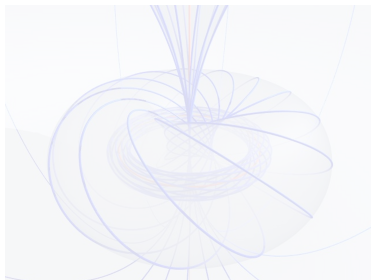
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The **sphere**  $\mathbb{S}^2$  is generated by

north :  $\mathbb{S}^2$ ,

south :  $\mathbb{S}^2$ ,

merid :  $\mathbb{S}^1 \rightarrow \text{Path}_{\mathbb{S}^2}(\text{north}, \text{south})$ .



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## Definition

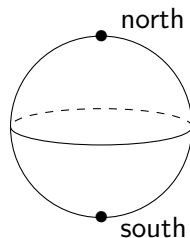
The **Hopf fibration** is defined by

Hopf :  $\mathbb{S}^2 \rightarrow \text{Type}$ ,

Hopf(north) :=  $\mathbb{S}^1$ ,

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# The Hopf fibration

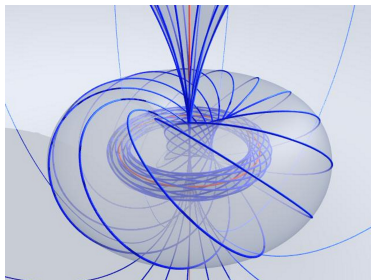
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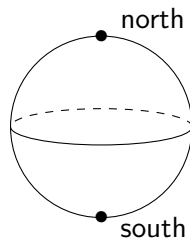
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The total space of Hopf is equivalent to the pushout of

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Moreover one can prove that this type is equivalent to  $\mathbb{S}^3$ .

## Corollary

We have a fibration  $\mathbb{S}^1 \hookrightarrow \mathbb{S}^3 \twoheadrightarrow \mathbb{S}^2$  and

$$\begin{aligned}\pi_2(\mathbb{S}^2) &\simeq \pi_1(\mathbb{S}^1) \simeq \mathbb{Z}, \\ \pi_k(\mathbb{S}^2) &\simeq \pi_k(\mathbb{S}^3) \quad (k \geq 3).\end{aligned}$$

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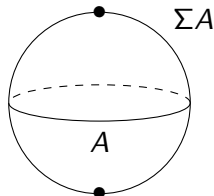
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# The James construction

## Definition

The **suspension** of a type  $A$  is the pushout  $\Sigma A$  of

$$\mathbf{1} \longleftarrow A \longrightarrow \mathbf{1}$$



## Goal

Given a pointed type  $A$ , we want to approximate  $\Omega\Sigma A$ .

For instance if  $A = \mathbb{S}^n$ , understanding  $\Omega\Sigma A$  helps understanding  $\mathbb{S}^{n+1}$ .

# The James construction

## The James construction

If  $A$  is a  $k$ -connected pointed type, for  $k \geq 0$ , we have

$$\begin{array}{ccccccc} J_0 A & \xrightarrow[(k-1)]{i_0} & J_1 A & \xrightarrow[(2k)]{i_1} & J_2 A & \xrightarrow[(3k+1)]{i_2} & \dots & J A \\ \parallel & & \parallel & & \parallel & & & \parallel \\ \mathbf{1} & & A & & (A \times A) \sqcup^{A \vee A} A & & \dots & \Omega \Sigma A \end{array}$$

where the maps  $i_n$  are more and more connected.

# Freudenthal suspension theorem

## Freudenthal suspension theorem (Lumsdaine, 2013)

If  $A$  is  $k$ -connected, then the map  $A \rightarrow \Omega\Sigma A$  is  $2k$ -connected.

### Corollary

$$\begin{aligned}\pi_2(\mathbb{S}^2) &\simeq \pi_3(\mathbb{S}^3) \simeq \cdots \simeq \pi_n(\mathbb{S}^n) \simeq \cdots \\ \pi_4(\mathbb{S}^3) &\simeq \pi_5(\mathbb{S}^4) \simeq \cdots \simeq \pi_{n+1}(\mathbb{S}^n) \simeq \cdots \\ &\vdots\end{aligned}$$



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# Second approximation

## Second approximation of $\Omega\Sigma A$

If  $A$  is  $k$ -connected, then the map  $(A \times A) \sqcup^{A \vee A} A \rightarrow \Omega\Sigma A$  is  $(3k + 1)$ -connected.

### Corollary

For  $A = \mathbb{S}^2$ , the map  $(\mathbb{S}^2 \times \mathbb{S}^2) \sqcup^{\mathbb{S}^2 \vee \mathbb{S}^2} \mathbb{S}^2 \rightarrow \Omega\mathbb{S}^3$  is 4-connected. In particular,

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## Proposition

There is a natural number  $n$  such that

$$\pi_4(\mathbb{S}^3) \simeq \mathbb{Z}/n\mathbb{Z}.$$

**Proof:** There is a map  $W_{2,2} : \mathbb{S}^3 \rightarrow \mathbb{S}^2 \vee \mathbb{S}^2$ , such that

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We then get

$$(\mathbb{S}^2 \times \mathbb{S}^2) \sqcup^{\mathbb{S}^2 \vee \mathbb{S}^2} \mathbb{S}^2 \simeq \mathbf{1} \sqcup^{\mathbb{S}^3} \mathbb{S}^2,$$

for the map  $\nabla \circ W_{2,2} : \mathbb{S}^3 \rightarrow \mathbb{S}^2$ . It follows (not directly) that

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$$(\mathbb{S}^2 \times \mathbb{S}^2) \simeq (\mathbf{1} \sqcup^{\mathbb{S}^3} (\mathbb{S}^2 \vee \mathbb{S}^2)).$$

We then get

$$(\mathbb{S}^2 \times \mathbb{S}^2) \sqcup^{\mathbb{S}^2 \vee \mathbb{S}^2} \mathbb{S}^2 \simeq \mathbf{1} \sqcup^{\mathbb{S}^3} \mathbb{S}^2,$$

for the map  $\nabla \circ W_{2,2} : \mathbb{S}^3 \rightarrow \mathbb{S}^2$ . It follows (not directly) that

$$\pi_4(\mathbb{S}^3) \simeq \mathbb{Z}/n\mathbb{Z},$$

where  $n$  is the image of  $|\nabla \circ W_{2,2}|$  by  $\pi_3(\mathbb{S}^2) \xrightarrow{\sim} \mathbb{Z}$ . □



# Constructivity of $n$

- The proof is constructive (no use of the axiom of choice or excluded middle)
- There is an algorithm computing the actual value of  $n$  (implemented in `cubicaltt` by Coquand et al.)
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- ① Homotopy type theory
- ② The circle and the Hopf fibration
- ③ The James construction
- ④ Cohomology and the Gysin sequence

# Eilenberg–MacLane spaces

## Definition

We define the **Eilenberg–MacLane spaces** by

$$K_0 := \mathbb{Z},$$

$$K_n := \|\mathbb{S}^n\|_n \quad \text{for } n \geq 1.$$

## Proposition

For every  $n \in \mathbb{N}$  we have

$$K_n \simeq \Omega K_{n+1}.$$

**Proof:** Uses the universal cover of the circle for  $n = 0$ , the Hopf fibration for  $n = 1$ , and the Freudenthal suspension theorem for  $n \geq 2$ .  $\square$

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## Definition

The  $n^{\text{th}}$  cohomology group of  $X$  is

$$H^n(X) := \|X \rightarrow K_n\|_0.$$

## Proposition

The cohomology groups of  $S^n$  are

$$H^k(S^n) \simeq \begin{cases} \mathbb{Z} & \text{if } k = 0 \text{ or } k = n, \\ 0 & \text{otherwise.} \end{cases}$$



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# Smash product

## Definition

Given two pointed types  $A$  and  $B$ , the **smash product** of  $A$  and  $B$  is the pushout of

$$A \times B \longleftarrow A \vee B \longrightarrow \mathbf{1}$$

## Proposition

We have a family of equivalences

$$\wedge_{n,m} : \mathbb{S}^n \wedge \mathbb{S}^m \xrightarrow{\sim} \mathbb{S}^{n+m}.$$

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# Cup product

## Definition

We define

$$\begin{array}{ccc} S^i \wedge S^j & \xrightarrow{\wedge_{i,j}} & S^{i+j} \\ \downarrow | - |_i \wedge | - |_j & & \downarrow | - |_{i+j} \\ K_i \wedge K_j & \xrightarrow{\smile} & K_{i+j} \end{array}$$

It gives the **cup product**

$$\smile : H^i(X) \times H^j(X) \rightarrow H^{i+j}(X).$$

## Proposition

The cup product is distributive, associative, and graded-commutative.

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## Proposition

The cohomology of  $\mathbb{S}^n \times \mathbb{S}^m$  is generated by

$$1 : H^0(\mathbb{S}^n \times \mathbb{S}^m),$$

$$\mathbf{x} : H^n(\mathbb{S}^n \times \mathbb{S}^m),$$

$$\mathbf{y} : H^m(\mathbb{S}^n \times \mathbb{S}^m),$$

$$\mathbf{z} : H^{n+m}(\mathbb{S}^n \times \mathbb{S}^m)$$

and we have

$$\mathbf{x} \smile \mathbf{y} = \mathbf{z},$$

$$\mathbf{x} \smile \mathbf{x} = 0,$$

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# The Hopf invariant

## Definition

Given  $f : \mathbb{S}^{2n-1} \rightarrow \mathbb{S}^n$ , we define

$$\begin{aligned}C_f &:= \mathbf{1} \sqcup^{\mathbb{S}^{2n-1}} \mathbb{S}^n, \\ \alpha_f &: H^n(C_f) \quad (\text{generator}), \\ \beta_f &: H^{2n}(C_f) \quad (\text{generator}).\end{aligned}$$

The **Hopf invariant** of  $f$  is  $H(f) : \mathbb{Z}$  such that

$$\alpha_f \smile \alpha_f = H(f)\beta_f.$$

## Proposition

The Hopf invariant  $H : \pi_{2n-1}(\mathbb{S}^n) \rightarrow \mathbb{Z}$  is a group homomorphism.

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# Hopf invariant of $\nabla \circ W_{n,n} : \mathbb{S}^{2n-1} \rightarrow \mathbb{S}^n$

## Proposition

If  $n$  is even, we have  $H(\nabla \circ W_{n,n}) = 2$ .

**Proof:** There is a map  $q : \mathbb{S}^n \times \mathbb{S}^n \rightarrow C_{\nabla \circ W_{n,n}}$  such that

$$q^*(\alpha) = \mathbf{x} + \mathbf{y},$$

$$q^*(\beta) = \mathbf{z}.$$

We have

$$\begin{aligned} q^*(\alpha \smile \alpha) &= q^*(\alpha) \smile q^*(\alpha) \\ &= (\mathbf{x} + \mathbf{y}) \smile (\mathbf{x} + \mathbf{y}) \\ &= \cancel{(\mathbf{x} \smile \mathbf{x})} + (\mathbf{x} \smile \mathbf{y}) + (\mathbf{y} \smile \mathbf{x}) + \cancel{(\mathbf{y} \smile \mathbf{y})} \\ &= 2\mathbf{z} \quad (\text{because } n \text{ is even}) \\ &= q^*(2\beta). \end{aligned}$$

□

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# Consequences for the homotopy groups of spheres

## Proposition

For every  $n$ , the group  $\pi_{4n-1}(\mathbb{S}^{2n})$  is infinite.

**Proof:** All the multiples of  $|\nabla \circ W_{2n,2n}|$  are different elements of  $\pi_{4n-1}(\mathbb{S}^{2n})$ . □

## Proposition

The group  $\pi_4(\mathbb{S}^3)$  is equivalent to

- $\mathbb{Z}/2\mathbb{Z}$  if there exists a map  $f : \mathbb{S}^3 \rightarrow \mathbb{S}^2$  such that  $H(f) = \pm 1$ ,
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# The Gysin sequence

## Proposition

The map

$$g_i : K_i \rightarrow (\mathbb{S}^n \rightarrow_* K_{i+n}),$$
$$g_i(x) := (y \mapsto (x \cup \mathbf{c}_n(y)))$$

is an equivalence.

**Proof:** By induction on  $i$ . It's immediate for  $i = 0$ , and for  $i + 1$  the map  $g_{i+1}$  is an equivalence iff  $\Omega g_{i+1}$  is, and  $\Omega g_{i+1} \approx g_i$ .  $\square$

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## Proposition

Given a 1-connected type  $B$  and a fibration

$$\mathbb{S}^{n-1} \hookrightarrow E \xrightarrow{p} B,$$

there is an element  $e : H^n(B)$  and a long exact sequence

$$\dots \longrightarrow H^{i-1}(E) \longrightarrow H^{i-n}(B) \xrightarrow{\smile e} H^i(B) \longrightarrow H^i(E) \longrightarrow \dots$$

**Proof:** It follows from the previous proposition applied pointwise that  $H^{i-n}(B)$  is isomorphic to  $\tilde{H}^i(\tilde{E})$  via the cup product, where

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# The complex projective plane

## Definition

We define

$$\mathbb{C}P^2 := \mathbf{1} \sqcup^{\mathbb{S}^3} \mathbb{S}^2$$

for the map  $\eta : \mathbb{S}^3 \rightarrow \mathbb{S}^2$  coming from the Hopf fibration.

## Proposition

There is a fibration  $P : \mathbb{C}P^2 \rightarrow \text{Type}$  with fiber  $\mathbb{S}^1$  and total space  $\mathbb{S}^5$ .

**Proof:** The construction is similar to the construction of the Hopf fibration and uses the fact that the multiplication on  $\mathbb{S}^1$  is associative.  $\square$

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# Cohomology of $\mathbb{C}P^2$

## Proposition

The type  $\mathbb{C}P^2$  satisfies  $\alpha \smile \alpha = \pm\beta$ . In particular,  $H(\eta) = \pm 1$ .

**Proof:** We have two short exact sequences:

$$0 \simeq H^1(\mathbb{S}^5) \longrightarrow H^0(\mathbb{C}P^2) \xrightarrow{\smile e} H^2(\mathbb{C}P^2) \longrightarrow H^2(\mathbb{S}^5) \simeq 0,$$

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Therefore  $e$  is a generator of  $H^2(\mathbb{C}P^2)$  and  $e \smile e$  is a generator of  $H^4(\mathbb{C}P^2)$ . □

## Corollary

We have  $\pi_4(\mathbb{S}^3) \simeq \mathbb{Z}/2\mathbb{Z}$ .

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# Table

	$S^1$	$S^2$	$S^3$	$S^4$	$S^5$	$S^6$	$S^7$
$\pi_1$	$\mathbb{Z}$	0	0	0	0	0	0
$\pi_2$	0	$\mathbb{Z}$	0	0	0	0	0
$\pi_3$	0	$\mathbb{Z}$	$\mathbb{Z}$	0	0	0	0
$\pi_4$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0
$\pi_5$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0
$\pi_6$	0	$\mathbb{Z}_{12}$	$\mathbb{Z}_{12}$	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0
$\pi_7$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z} \times \mathbb{Z}_{12}$	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$
$\pi_8$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}_2^2$	$\mathbb{Z}_{24}$	$\mathbb{Z}_2$	$\mathbb{Z}_2$
$\pi_9$	0	$\mathbb{Z}_3$	$\mathbb{Z}_3$	$\mathbb{Z}_2^2$	$\mathbb{Z}_2$	$\mathbb{Z}_{24}$	$\mathbb{Z}_2$



## Main result

We obtained a **constructive** and **purely homotopy-theoretic** proof in homotopy type theory of

$$\pi_4(\mathbb{S}^3) \simeq \mathbb{Z}/2\mathbb{Z},$$

and  $\pi_{n+1}(\mathbb{S}^n) \simeq \mathbb{Z}/2\mathbb{Z}$  for  $n \geq 3$ .

This proof required new homotopy-theoretic formulations of

- the Hopf fibration
- the James construction
- the cup product
- the Gysin sequence