

An Order-Theoretic Analysis of

Universe

Polymorphism

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Id Function

$id : \forall a . a \rightarrow a$

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with U , the universe, the type of types

Id Function in Dependent Type Theory

$id : (A:U) \rightarrow A \rightarrow A$
any type

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Id Function in Dependent Type Theory

$id : (A:U) \rightarrow A \rightarrow A$ $id(U)$ can't work because U can't be in U
any type

Id Function

$id : \forall a . a \rightarrow a$

with U , the universe, the type of types

Id Function in Dependent Type Theory

$id : (A:U) \rightarrow A \rightarrow A$ $id(U)$ can't work because U can't be in U
any type

$id^+ : (A:U^+) \rightarrow A \rightarrow A$ $id^+(U^+)$ can't work because U^+ can't be in U^+
any type...
including U

Agda, Lean

$$\text{id} : (l : \text{Level}) \longrightarrow (A : U_l) \longrightarrow A \longrightarrow A$$

any level any type
 at level l

Agda, Lean

$id : (l : \text{Level}) \longrightarrow (A : U_l) \longrightarrow A \longrightarrow A$
any level any type
 at level l

Coq, LEGO, Idris 1

$id : (A : U_\eta) \longrightarrow A \longrightarrow A$
system figuring levels out

Agda, Lean

$id : (l : Level) \longrightarrow (A : U_l) \longrightarrow A \longrightarrow A$
any level any type
 at level l

Coq, LEGO, Idris 1

$id : (A : U_?) \longrightarrow A \longrightarrow A$
system figuring levels out

Matita

universe constraint $U_a < U_b$
 $id : (A : U_b) \longrightarrow A \longrightarrow A$

Crude but Effective Stratification

by **Conor McBride**

So trivial to implement

Works well in practice

Theorem: This is also the most general*****

Crude but Effective Stratification

by Conor McBride

$$\text{id} : (A:U_0) \longrightarrow A \longrightarrow A$$

$$\text{id}^{\uparrow n} : (A:U_n) \longrightarrow A \longrightarrow A$$

$\text{id}^{\uparrow 1}(U_0)$ works!

Crude but Effective Stratification

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$$\text{id} : (A:U_0) \longrightarrow A \longrightarrow A$$

$$\text{id}^{\uparrow n} : (A:U_n) \longrightarrow A \longrightarrow A$$

$\text{id}^{\uparrow 1}(U_0)$ works!

This design is also the most general*****

Universes in Type Theory

$A : U \iff A$ is a type

If $A : U$ and $B : U$, then $A \times B : U$, $A + B : U$, ...

$U_0 : U_1 : U_2 \dots$

If $A : U_i$ then $A : U_{i+1}$

Universes in **Cool** Type Theory

$A : U \iff A$ is a type

If $A : U$ and $B : U$, then $A \times B : U$, $A + B : U$, ...

~~$U_0 : U_1 : U_2 \dots$~~ $U_i : U_j$ whenever $i < j$

~~If $A : U_i$ then $A : U_{i+1}$~~ If $A : U_i$ then $A : U_j$ whenever $i \leq j$

Levels can be any partially-ordered set

Cool Universe Levels

Natural numbers (boring)

Integers

$\dots < -2 < -1 < 0 < 1 < 2 < \dots$

$\text{id}(U_{-1})$ already worked
without polymorphism

Rational numbers

$\dots < 0 < \dots < 1 < \dots$

“(A : U_a) \rightarrow (B : U_b) \rightarrow ... whenever $a < b$ ”
is equivalent to “(A : U_0) \rightarrow (B : U_1) \rightarrow ...”

L-type theory

well-typed terms e



L*-type theory

*well-typed terms e^**

L-type theory

well-typed terms e



preserving \prec

L*-type theory

well-typed terms e^*

L-type theory

well-typed terms e



preserving \leftarrow

L*-type theory

well-typed terms e^*

category

StrictOrder

posets with

\leftarrow -preserving maps

Universe Polymorphism

Levels with variables

= **Monads** on **StrictOrder**

posets with
←-preserving maps

Universe Polymorphism

Levels with variables

= **Monads** on **StrictOrder**

Theorem: You can embed any monad
on **StrictOrder** into McBride's scheme***

Crude but Effective Stratification

As a Monad

$$\text{id}^a : (A:U_a) \longrightarrow A \longrightarrow A$$

Crude but Effective Stratification

As a Monad

$$\text{id}^a : (A:U_a) \longrightarrow A \longrightarrow A$$

$$\text{id}^{a+n} : (A:U_{a+n}) \longrightarrow A \longrightarrow A$$

Crude but Effective Stratification

As a Monad

$$\text{id}^a : (A:U_a) \longrightarrow A \longrightarrow A$$

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every level can be represented by (a,n)

The McBride Monad

$$M(\Delta) = \Delta \text{ "x" } \mathbb{N}$$

$$\text{return}(a) = (a, 0)$$

$$\text{join}((a, n_1), n_2) = (a, n_1 + n_2)$$

every level can be represented by (a, n)

The **Generalized** McBride Monad

$$\left[\begin{array}{l} M(\Delta) = \Delta \text{ “x” } D \\ \text{return}(a) = (a, \star) \\ \text{join}((a, n_1), n_2) = (a, n_1 \cdot n_2) \end{array} \right]$$

Works for any monoid (D, \star, \cdot) with a partial order $<$ such that $x < y$ implies $z \cdot x < z \cdot y$

Universality Theorem

You can embed* any monad on `StrictOrder`
into the (generalized) McBride monad
by choosing good $(D, <, \star, \cdot)$

Crude but Effective and Universal

Reusable OCaml Library

github.com/RedPRL/mugen

with many cool (D, <, ☆, •) like “fractals”

Partial Agda Mechanization

github.com/RedPRL/agda-mugen

“無限 mugen” means “infinity” in Japanese

Reusable OCaml Library

github.com/RedPRL/mugen

with many cool (D, \leftarrow , \star , \bullet) like “fractals”

Demo: `algaett`

github.com/RedPRL/algaett

“algae” for algebraic effects