On the derived category of perverse sheaves

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Appendix. Filtered categories and realisation functor.

Let $D = D^{b}(X, \mathbf{0}_{e})$ be the usual derived category of $\mathbf{0}_{e}$ -sheaves on a certain scheme X, and $M = M(X) \subset D$ be the category of perverse sheaves for middle perversity. Now consider the derived category $D^{b}(M)$ of an abelian category M; we have the natural exact functor $D^{b}(M) \rightarrow$ D. The aim of this note is to show that this functor is an equivalence of categories. The same result result holds for M = the category of algebraic holonomic \mathfrak{D} -modules and D = the derived category of complexes of \mathfrak{D} -modules with holonomic cohomology.

One may look at this from two complementary points of view. First we see that Yoneda-type Ext's in M are computable by easy topological means (since they coincide with Ext's in D). Secondly, the hiche D where M dwells, may be recovered from M (note, that a priori D is quite transcendental with respect to M); this may be of use in a future motivic sheaf theory.

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§1. Notation and statement of the main theorem

1.1. Fix a base field k; in what follows the base schemes will be separated of finite type over k. For a scheme X denote $D(X)^{(i)}$, i = 1,...,5, the following triangulated categories:-

(i) $D(X)^{(1)} := D_c^b(X,R) =$ the derived category of complexes of étale R-sheaves having bounded constructible cohomology; here R is a finite ring of characteristic prime to char k.

(ii) $D(X)^{\binom{2}{2}} := D_c^b(X, \vec{v}_l)$. Here $l \neq$ chark and k is assumed to be algebraically closed; see e.g. [1] (2.2.18).

(iii) $D(X)^{(3)} := D_c^b(X(\mathbb{C}), R) =$ the derived category of complexes of R-sheaves on the classical topology of X, having algebraically constructible cohomology; here $k = \mathbb{C}$ and R is any field, see e.g. [1] (2.2.1.). (iv) $D(X)^{(4)} = D_m^b(X, \mathbf{Q}) =$ the derived category of mixed sheaves, see e.g. [1] (5.1.5.).

 $(v) D(X)^{(5)} = D_{Hol}(X) =$ the derived category of complexes of \mathfrak{D} -modules having bounded holonomic cohomology, see e.g. [3] §4 (here char k= 0).

Each of these triangulated categories $D(X)^{(i)}$ has a canonical filtered counterpart - the f-category $DF(X)^{(i)}$ over $D(X)^{(i)}$ (for f-categories see the appendix). In the cases i = 1,3 this is the derived category of complexes of sheaves with finite decreasing filtration such that each graded quotient belongs to $D(X)^{(i)}$; in the other cases $D(X)^{(i)}$ is the corresponding Q_p - or \mathfrak{D} -module analogue.

There are various standard functors between $D(X)^{(i)}$ such as \emptyset , Hom, the direct and inverse image functors f_1 , f_* , f', f'', f''' (more precisely, in case i = 1 \otimes and Hom may take values in unbounded complexes); all these functors have a canonical f-lifting to $DF(X)^{(i)}$. We will consider $D(X)^{(i)}$ as t-categories with a t-structure defined by the middle perversity for i = 1,...,4 and with the obvious t-structure for i = 5. The hearts $M(X)^{(i)}$ of this t-structures are categories of constructible perverse sheaves in the cases i = 1,...,4 and the category of holonomic modules $M_{Hol}(X)$ in the case i = 5.

1.2. Assume that for any scheme X over k we are given a strictly full t-subcategory D(X) in $D(X)^{(i)}$ above (i = 1,...,5 is fixed) closed under \bigotimes , <u>Hom</u> and f_* , $f_!$, f^* , $f_!$ (i.e. for any morphism $f : X \rightarrow Y$ of schemes one should have f_* , $f_! : D(X) \rightarrow D(Y)$; f^* , $f_! : D(Y) \rightarrow D(X)$). <u>Examples</u>. Clearly, we may take $D(X) \equiv D(X)^{(i)}$ or $D(X) \equiv 0$. In

<u>Examples</u>. Clearly, we may take $D(X) \neq D(X)^{(1)}$ or $D(X) \neq 0$. In the case i = 1 this are the only possibilities. In the cases i = 2,3 we may take for D(X) the subcategories generated by quasiunipotent local systems (according to Kashiwara and Gabber, see [5]), or by local systems having geometric origin ([1] (6.2.4.)). In the case i = 5 we may take $D(X) = D_{RS}(X) =$ the subcategory generated by lisse holonomic modules having regular singularities at \clubsuit (see [3] §4), or, more generally, $D(X) = D_{RSA}(X)$ ([3] (4.8)). In what follows assume that D(X) is not identically zero.

<u>Remark 1.2.1. a)</u>. In case $k = \mathbb{C}$ we have the canonical t-exact functor DR : $D(X)^{(5)} = D_{HO1}(X) \longrightarrow D(X)^{(3)} = D_{e}^{b}(X(\mathbb{C}), \mathbb{C})$, whose restriction on $D_{RS}(X) \subset D_{HO1}(X)$ is an equivalence of categories commuting with any standard functor (see [3] §5).

b) clearly D (spec k) contains all the Tate modules R(j) (cases i = 1,3,5), or $\mathbf{\tilde{Q}}_{p}$ (j) (cases i = 2,4); hence the functors $\boldsymbol{\Phi}_{p}$, $\boldsymbol{\Psi}_{p}$,

 Ξ_{f} (see [2])preserve D(X).

1.3. Let $M(X) \in D(X)$ be the heart of D(X). Clearly, D(X) coincides with the full subcategory in $D(X)^{(i)}$ of complexes having all t-cohomology in M(X). Let $DF(X) \in DF(X)^{(i)}$ be the full subcategory of objects having each a graded quotient in D(X). Clearly, DF(X) is an f-category over D(X). It defines a canonical t-exact functor real χ : $D^{b}(M(X)) \rightarrow D(X)$ that induces the identity functor between hearts M(X) (see appendix; in holonomic case real is obvious functor). Now we may formulate

<u>Main theorem 1.3</u>. This functor is an equivalence of categories. <u>Remarks</u>. a) The corresponding statement for the category of sheaves lisse along a fixed stratification is usually false.

b) I don't know whether the analogous fact remains true for perverse sheaves of other perversities different from the middle one, say, for ordinary constructible $\mathbf{0}_{g}$ -sheaves. Also I am ignorant of the analytic cases, both constructible and holonomic.

1.4. Note that the main theorem just claims that Yoneda-type Ext's between the objects of M(X) (i.e. Ext's computed in $D^{b}(M(X))$ coincide with usual Ext's computed in D(X). Namely, the following simple general lemma holds (proof is similar to [1] (3.1.16)).

Lemma 1.4. Let $F : D_1 \rightarrow D_2$ be a t-exact functor between t-categories. D_i with hearts $C_i \leftarrow D_i$. Assume that $F/_{C_i} : C_1 \rightarrow C_2$ is an equivalence of categories, and $D_2 = D_2^b$. Then the following statements are equivalent:

(i) F is an equivalence of categories;

(ii) For any M,N ϵ Ob C₁ and i > 0 the map $Hom_{D_4}^i$ (M,N) \longrightarrow $Hom_{D_4}^i$ (F(M), F(N)) is an isomorphism;

(iii) Assume that $D_1 = D^b(C_1)$. For any $M, N \in Ob C_1$, i > 0 and $x \in Hom_{D_2}^i$ (F(M), F(N)) there exists an injection $N \leftrightarrow N'$ in C_1 such that the image of x in $Hom_{D_2}^i$ (F(M), F(N')) is zero.

Clearly 1.3. falls into this situation, so it suffices to prove for F = real either 1.4. (ii) or 1.4 (iii). For $M, N \in M(X)$ put $\operatorname{Ext}^{i}_{M(X)}(M, N) := \operatorname{Hom}^{i}_{D^{i}(M(X)}(M, N), \operatorname{Ext}^{i}_{D(X)}(M, N) := \operatorname{Hom}^{i}_{D(X)}(M, N)$. So to prove 1.3. we have to show that these Ext's coincide.

§2. Proofs

The proof of the theorem 1.3 is divided into two steps: first we show that it is valid at the generic point of X (lemma 2.1.1.), and

then by means of glueing (see [2]) we use this to reduce the problem to lower dimensions.

2.1. Let $\gamma \in X$ be a generic point; $D(\gamma) = 2 - \lim_{\gamma \in U} D(U)$ the 2limit of t-categories D(U), U runs the Zarisk open sets containing γ . Clearly $D(\gamma)$ is t-category with the heart $M(\gamma) =$ 2-lim M(U); we also have our t-exact functor real γ : $D^{b}(M(\gamma)) =$ 2-lim $D^{b}(M(U)) \rightarrow D(\gamma)$.

Lemma 2.1.1. real p: $D^{b}(M(p)) \rightarrow D(p)$ is an equivalence of categories.

<u>Proof</u>. First notice that in case $D(X) = D(X)^{(1)}$ (see 1.1.) the lemma is trivial since here M(?) = finite Galois R-modules, D(?)= derived category of complexes of arbitrary Galois R-modules with finite bounded cohomology groups. Therefore we assume that we are in one of the situations (ii)-(v) of 1.1; in particular the coefficient ring is a field. Assume also that X is reduced; this changes nothing.

According to 1.4. (iii) it suffices to show the following. Let $U \subset X$ be a Zariski open set, $\mathcal{P} \in U$, and M_U , N_U are in $\mathcal{M}(U)$. Then for some open set $V \subset U$, $\mathcal{P} \in V$, there exists O_V in $\mathcal{M}(V)$ and an injection $N_V N_U | V \to O_V$ such that for any i > 0 the induced arrow $\operatorname{Ext}^i_{D(U)}(M_U, N_U) \longrightarrow \operatorname{Ext}^i_{D(V)}(M_V, O_V)$ is zero.

The proof will be carried by induction in dim X; clearly 2.1.1. holds for X of dimension zero, so assume that we have 2.1.1. for any Y of dimension less then X.

Shrinking U if necessary we may assume that M_U , N_U are lisse, that U is irreducible and there exists a smooth affine $\P : U \rightarrow Z$ with 1-dimensional fibers such that Z is regular and $L^q = U$

 $R^{q} \P_{*} \underbrace{\operatorname{Hom}}_{Hom}(M_{U}, N_{U}) = R^{q} \P_{*}(M_{U}^{*} \otimes N_{U}) \text{ are lisse sheaves on Z. Clearly}$ $L^{q} = 0 \quad \text{unless } q = 0, 1, \text{ so the Leray spectral sequence } E_{2}^{pq} =$ $H^{p}(Z, L^{q}) \implies \operatorname{Ext}_{D(U)}^{p+q}(M_{U}, N_{U}) \text{ becomes degenerate at } E_{3}.$

<u>Remark</u>. Certainly, L^{q} are usual lisse constructible sheaves in constructible situation; in **\vartheta**-module situation they are lisse holono-mic modules placed in degree dim Z in D(Z).

We will need the following lemma (here for an open Y < Z we put $\P_{\mathbf{v}} := \P^{-1}(Y) \longrightarrow Y$):

Lemma 2.1.2. a) There exists an open Y \subset Z, a lisse P_{U_Y} in $M(U_Y)$ and an injection $N_{U_Y} \longrightarrow P_{U_Y}$ such that the corresponding arrow $R^1 \P_{Y*} \operatorname{Hom}(M_{U_Y}, N_{U_Y}) \longrightarrow R^1 \P_{Y*} \operatorname{Hom}(M_{U_Y}, \mathbf{P}_{U_Y})$ is zero. b) There exists an open $Y' \leq 2$, a lisse Q_{U_Y} in $M(U_{Y'})$ and an injection $N_{U_{Y'}} \longleftrightarrow Q_{U_{Y'}}$ such that the corresponding arrows $H^P(Z, R^O \eta_* Hom(M_U, N_U)) \longrightarrow H^P(Y', R^O \eta_{Y'}, (Hom(M_{U_Y'}, Q_{U_{Y'}}))$ are zero for p > 0.

Lemma 2.1.2. \Longrightarrow Lemma 2.1.1: first choose $N_{U_Y} \hookrightarrow P_{U_Y}$ as in 2.1.2. a). Then the Leray spectral sequence shows that the image of $\operatorname{Ext}_{D(U)}^{i}(M_U, N_U)$ in $\operatorname{Ext}_{D(U_Y}^{i}(M_{U_Y}, P_{U_Y})$ is contained in the image of $H^{i}(Y, R^{O}_{*} \operatorname{Hom}(M_{U_Y}, P_{U_Y}))$. Now apply 2.1.2. b) to Z replaced by Y, and sheaves M_{U_Y} and P_{U_Y} . We get Y'C Y and $P_{U_{Y'}} \hookrightarrow Q_{U_{Y'}}$ such that $H^{i}(Y, R^{O}_{*} \operatorname{Hom}(M_{U_Y}, P_{U_Y})) \longrightarrow H^{i}(Y', R^{O}_{*} \operatorname{Hom}(M_{U_{Y'}}, Q_{U_{Y'}}))$ is zero for i > 0. This shows that for the composite map $N_{U_{Y'}} \hookrightarrow Q_{U_{Y'}}$ on $V = U_{Y'}$, all the arrows $\operatorname{Ext}_{D(U)}^{i}(M_U, N_U) \longrightarrow \operatorname{Ext}_{D(V)}^{i}(M_V, O_V)$ are zero for i > 0, Q.E.D. \Box

<u>Proof of 2.1.2.</u> a) First notice that we may easily construct such P along each closed fiber. Namely, consider the canonical element $\not{a} \in H^{O}(Z, L^{1*} \bigotimes L^{1}) = H^{O}(Z, R^{1} \P_{*} Hom(\P^{*}L^{1} \bigotimes M, N))$. If this \not{a} came from the global extension $\overbrace{a}^{\prime} \in Ext^{1}(\P^{*}L^{1} \bigotimes M, N)$ we are done: just take Y = Z and define $P_{U_{Y}}$ from the extension $0 \longrightarrow N \longrightarrow P_{U_{Y}} \longrightarrow \P^{*}L^{1}M \longrightarrow 0$ of class \overbrace{a}^{\prime} . If not, consider the obstruction to existense of \overbrace{a}^{\prime} : the Leray spectral sequence defines the exact sequence

so the obstruction is $\partial(\alpha)$. To kill this obstruction we replace L^1 by a certain extension. To construct this extension we will use the inductive hypothesis applied to L^{O^*} and L^{1^*} . They say that there exist an open set $Y \in Z$, a lisse sheaf K_Y on Y and an injective arrow $\varphi: L^{1^*}_Y \hookrightarrow K_Y$ such that the induced arrow $H^2(Z, L^{1^*} \otimes L^O) \xrightarrow{\varphi}$ $H^2(\Upsilon, K_Y \otimes L_{\Upsilon}^O)$ is zero. In particular $\varphi(\partial \alpha)$ is zero. Now consider the element $\varphi(\alpha) \in H^O(Y, K_Y \otimes L_Y^1) = H^O(Y, R^1 \P_{Y^*} Hom(\P^* K_Y \otimes M_Y, N_Y))$. This element comes from certain global $\varphi(\alpha) \in Ext^1(\P^* K_Y \otimes M_{U_Y}, N_{U_Y})$, since the corresponding obstruction is $\partial \varphi(\alpha) = \varphi(\partial \alpha) = 0$. Now define P_{U_Y} from the extension $0 \longrightarrow N_{U_Y} \longrightarrow P_{U_Y} \longrightarrow \P_* K_Y \otimes M_{U_Y} \longrightarrow 0$ of class $\varphi(\alpha)$. It satisfies all the needed properties, since fiberwise it came from the class of <code><</code> . \square

<u>Proof of 2.1.2. b.</u> Apply the inductive hypothesis to Z, a constant sheaf and L° . We get Y' \leq Z, a lisse Q_{Y} , on Y' and an injection $L_{Y}^{\circ}, \hookrightarrow Q_{Y}$, such that the corresponding arrow $H^{i}(Z, L^{\circ}) \longrightarrow H^{i}(Y', Q_{Y})$ is zero for i > 0. Define O_{Y} , by means of the cocartesian square



where $\P^* L_Y^0$, $\bigotimes M_{U_Y}$, $\longrightarrow N_{U_Y}$, is the canonical arrow. The obvious commutative diagram

shows that our $\mathbb{N}_{U_{Y'}} \longleftrightarrow \mathbb{O}_{U_{Y'}}$ is what we need. \square

So 2.1.1. is proven, and we may pass to the

2.2. <u>Proof of Theorem 1.3</u>. We will also use the induction in dim X; so assume that we have 1.3. for any variety of dimension less then dim X.

First note that the statement of 1.3. is Zaris ki local: let us consider 1.3. in the form 1.4. (iii); assume that M,N are in M(X) and we have found an affine Zariski covering $\{U_i\}$ of X together with injections $N_{U_i} \longrightarrow N_{U_i}$, such that all the maps $\operatorname{Ext}_{D(U_i)}^j(M_{U_i}, N_{U_i}) \longrightarrow \operatorname{Ext}_{D(U_i)}^j(M_{U_i}, N_{U_i})$ are zero for any j > 0; then the injection $N \longleftrightarrow N' = \bigoplus_i j_i * N_{U_i}$ kills all $\operatorname{Ext}_{D(X)}^j(M, N)$ (note that $j_i * N_{U_i} \in \operatorname{Ob} M(X)$ since $j_i: U_i \hookrightarrow X$ is affine).

So we may assume X to be affine. Let us prove 1.3. in the form 1.4. (ii): we have to show that for any $M, N \in M(X)$ one has $Ext_{M(X)}^{i}(M,N) = Ext_{D(M)}^{i}(M,N)$.

2.2.1. First assume that the dimensions of supports of M,N are less than dim X. Then for certain $f \in \mathcal{O}(X)$ this supports lie in $Y = f^{-1}(0)$, dim Y < dim X. We have $\operatorname{Ext}^{i}_{D(X)}(M,N) = \operatorname{Ext}^{i}_{D(Y)}(M,N) =$

= $\operatorname{Ext}_{M(Y)}^{i}(M,N)$ (the first equality follows from adjunction of i_{*} and i^{*} , and the second one is the inductive hypothesis). So it remains to prove that the embedding $M(Y) \hookrightarrow M(X)$ induces the isomorphism I: $\operatorname{Ext}^{i}(M,N) \xrightarrow{\sim} \operatorname{Ext}^{i}(M,N)$. Let us construct the inverse map to $M(Y) \xrightarrow{M(X)} M(X)$ I. Consider the vanishing cycles functor $\Phi_{f}: M(X) \longrightarrow M(Y)$ (see 1.2.1. b). Since Φ_{f} is exact, it defines the map $\Phi_{f_{*}}: \operatorname{Ext}_{M(X)}^{i}(P, Q) \longrightarrow$ $\operatorname{Ext}_{M(Y)}^{i}(\Phi_{f}(P), \Phi_{f}(Q))$. But $\Phi_{f}|_{M(Y)}$ is identity functor, so for $M, N \in M(Y)$ we get the arrow $\Phi_{f_{*}}: \operatorname{Ext}_{M(X)}^{i}(M,N) \longrightarrow \operatorname{Ext}_{M(Y)}^{i}(M,N)$ left-inverse to I. It remains to show that it is also right-inverse, i.e. that $I \Phi_{f_{*}} = \operatorname{id}_{\operatorname{Ext}_{M(Y)}^{i}}$

At this point it is convenient to use Yoneda's construction of Extⁱ. Let me recall it briefly. Namely, let E^{i} (M,N) be the cate- M(x)gory of acyclic complexes in M of type $0 \rightarrow N \rightarrow C^{1} \rightarrow \ldots \rightarrow C^{i} \rightarrow \cdots \rightarrow C^{i}$ $M \rightarrow 0$, the morphisms in E^{i} (M,N) being morphisms of complexes M(x)that induce identity maps on the ends M,N. Then Ext^{i} (M,N) is just the set of connected components of E^{i} (M,N), i.e. Ext^{i} is the set of equivalence classes of objects of E^{i} , where two objects are equivalent if you may connect them by a sequence of morphisms.

So for M,N $\in M(Y)$ let C' = (N \rightarrow C¹ $\rightarrow \ldots \rightarrow$ Cⁱ \rightarrow M) be an object in $E^{i}(M,N)$. We have to show that C' is equivalent to $\Phi_{f}(C') = M(M)$ = (N $\rightarrow \Phi_{f}(C^{1}) \rightarrow \ldots \rightarrow \Phi_{f}(C^{i}) \rightarrow M$). Here is the sequence of morphisms in $E^{i}(M,N)$ that connects them (it comes from [2]n°3(*)): M(X)

$$\mathbf{c}^{\bullet} \to \mathbf{c}^{\bullet} \oplus \Xi_{\mathbf{f}}(\mathbf{c}^{\bullet}_{\mathbf{f}}) \to \mathbf{c}^{\bullet} \oplus \Xi_{\mathbf{f}}(\mathbf{c}^{\bullet}_{\mathbf{f}})/\mathbf{j}_{\mathbf{i}}(\mathbf{c}^{\bullet}_{\mathbf{f}}) \leftarrow \mathbf{P}_{\mathbf{f}}(\mathbf{c}^{\bullet}).$$

2.2.2. We will use the following remark. Let $j : U \longleftrightarrow X$ be an affine embedding. Then the functors j^* , j_1 and j_* between M(U) and M(X) are exact and pairs (j_1, j^*) , (j^*, j_*) are adjoint. This implies that for any $A \in M(V)$, $B \in M(X)$ one has

2.2.3. Consider now the case when dim supp N < dim X. Choose an

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open affine $j: U \hookrightarrow X$ such that $\dim(X-U) < \dim X$ and $X-U > \sup PN$. The exact sequence of Ext's shows that it suffices to consider the case when M is irreducible. We may also assume that $\sup PM \cap U \neq \emptyset$ (otherwise see 2.2.1). Then the canonical map $j_{ij}M \longrightarrow M$ is epimorphism; let K be its kernel. We have $\sup PK \subset X-U$, Hence Ext (K,N) = M(X)= $\operatorname{Ext}_{D(X)}(K,N)$ by 2.2.1. Also $\operatorname{Ext}_{M(X)}(j_{i}M_{UV}N) = \operatorname{Ext}_{D(X)}(j_{i}M_{UV}N)$ since by 2.2.2. both parts are zero. Now the exact sequence of Ext's shows that $\operatorname{Ext}_{M(N)}(M,N) = \operatorname{Ext}_{D(X)}(M,N)$.

2.2.4. Now let we show that $\operatorname{Ext}^{\bullet} = \operatorname{Ext}^{\bullet}$ for an irreducible N $M(X) \quad D(X)$ supported at a generic point ; then the exact sequence of Ext's plus 2.2.3. prove the result for general N. For any open affine $j_U : U \hookrightarrow X$, $\gamma \in U$, we have canonical injection $N \hookrightarrow j_*(N_U)$; put $L^U = j_*(N_U)/N$, clearly supp $L^U \subset \overline{U} - U$. Consider the morphism between the long exact sequences of Ext and $\operatorname{Ext}_{D(U)}^{\bullet}$ for $0 \longrightarrow N \longrightarrow j_*(N_U) \longrightarrow L^U \longrightarrow 0$. By 2.2.3. this M(X)morphism is an isomorphism on $\operatorname{Ext}^{\bullet}(M, L^U)$, hence $\operatorname{Ker}^{\bullet}(M,N) :=$ Ker (Ext $(M,N) \longrightarrow \operatorname{Ext}^{\bullet}(M,N)$) = Ker (Ext $(M,j_*N_U) \longrightarrow \operatorname{Ext}_{D(M)}^{\bullet}(M, j_*N_U))$, $M(X) \qquad D(U) \qquad M(X)$ the same with Coker. According to 2.2.2., one has $\operatorname{Ext}^{\bullet}(M,j_*N_U) =$ $= \operatorname{Ext}^{\bullet}(M_U,N_U)$ for both $\operatorname{Ext}_{M(X)}^{\bullet}$, $\operatorname{Ext}_{D(M)}^{\bullet}$, therefore $\operatorname{Ker}^{\bullet}(M,N) =$ Ker (M_U, N_U) . Shrinking U to γ we get $\operatorname{Ker}^{\bullet}(M,N) = \operatorname{Ker}^{\bullet}(M_{\gamma}, N_{\gamma}) =$ 0 by 2.1.; the same with Coker. This proves Theorem 1.3. \square

§3. Direct images as derived functors

3.1. Recall that all the standard functors between categories D(X) come naturally together with their fliftings. So we may use the construction from A 7. In particular, A 7.1 implies

Lemma 3.1. Let F be a t-exact standard functor between D(X), say, F is Verdier's duality, or F is the near g cycles functor, or F is the vanishing cycles functor; let $F_M = HF|_M$ be corresponding exact functor between hearts M(X) and \widetilde{F}_M the functor between $D^b(M(X))$ induced by F_M . Then $\widetilde{F}_M = F$ via the identification established by the main theorem.

3.2. Now let us pass to the direct image functors. Let $f : X \rightarrow Y$ be a morphism of schemes. It determines, under the identification of the main theorem, the exact functors f_* , $f_! : D^b(M(X)) \rightarrow D^b(M(Y))$. Assume that f is affine (for a non-affine case, see n° 3.4). Then $f_!$ is left t-exact, and f_* is right t-exact; let $f_{IM} = Hf_! |_{M(X)}$,

 $f_{*M} : M(X) \longrightarrow M(Y)$ be corresponding functors between Mg. According to A 7. we have natural morphisms $Rf_{1M} \longrightarrow f_1, \quad f_* \longrightarrow Lf_{*M}$.

Theorem 3.2. This morphisms are isomorphisms.

<u>Proof</u>. It suffices to treat the case of f_* , the case of $f_!$ follows by duality. The theorem would follow if we show that for any $M \in M(X)$ there exists $N \longrightarrow M$ such that $H^i f_*(N) = 0$ for any i < 0 (hence for any $i \neq 0$). This fact is a particular case of the following more general lemma, valid for arbitrary f (not necessary affine; in full generality this will be needed later; for the aimes of 3.2. you may assume that $U_i = X$)

Lemma 3.3. Let $f: X \to Y$ be any morphism of schemes, $r_{\ell}: U_{\ell} \leftarrow X$ a finite set of affine open embeddings, and $M \in Ob M(X)$. Then there exists $N \to M$ such that the perverse sheaves $H^{i}f|_{U_{\ell}} * (N_{U_{\ell}})$ on Y are zero for any U_{ℓ} and i < 0.

<u>Proof.3.3.1</u>. First assume that X is quasiprojective. Our N will be of the form j: (M_V) for a certain affine open embedding j : V \longrightarrow X. Consider a diagram X $\xleftarrow{k_X} \overline{X}$, where k_X is an affine open emf \downarrow \overrightarrow{f} \downarrow Y $\xleftarrow{k_X} \overline{Y}$

bedding and \overline{X} is projective. Then $k_{\ell} := k_{\chi}r_{\ell} : U_{\ell} \hookrightarrow \overline{X}$ are also affine, therefore $\overline{M} := k_{\chi\star}M$, $\overline{M}_{\ell} := k_{\ell\star}(M_{U_{\ell}})$ belong to $M(\overline{X})$. Find a hyperplane section $H \subset \overline{X}$ such that the following conditions hold (here $V := \overline{X} \setminus H \subset \overline{X}$).

JKev JKe Ve == V n Ue Je Ue

a_H. The canonical arrow $j_!(\vec{M}_V) = j_!j^*\vec{M} \longrightarrow \vec{M}$ is surjective b_H. The canonical arrows $j_!(\vec{M}_V v) = j_! k_V v_*(M_V v_P) \longrightarrow k_V v_* j_! (M_V v_P)$ are isomorphisms:

Suppose we have found such an H; then set $N = k_X^* j_! \tilde{M}_V = j_X!^M v_X$ (here $j_X : V_X := V \cap X \hookrightarrow X$); the condition a_H shows that the canonical arrow $N \to M$ is surjective, and condition b_H implies that $H^i(f)_{U_\ell^*}(N_{U_\ell}) = H^i(\bar{f}_*k_\ell*j_\ell! M_{U_\ell})_Y = H^i(\bar{f}_*j_!(\tilde{M}_\ell)_V)_Y = H^i((\bar{f} \cdot j)!(\tilde{M}_\ell)_V)_Y$ are zero for i < 0 (since $\bar{f} \cdot j$ is affine). Thus 3.3. in our case is proved.

To find H let us rewrite the conditions a_{μ} and b_{μ} as follows (here H (\dot{i}_{H}, \vec{x})):

a'_H. The objects $H^{a}i_{H}^{*}(\overline{M}) \in M(H)$ are zero for $a \neq -1$ b'_H. The canonical arrows $i_{H}^{*}k_{\ell}*(M_{U_{\ell}}) \longrightarrow k_{\ell}*i_{\ell}^{*}(M_{U_{\ell}})$ are isomorphisms.

(To see that b <=> b' use the octahedron of the commutative diag-

ram $k_{\ell} * M_{U_{\ell}} \xrightarrow{i_{H*}i_{H}^{*}k_{\ell}} \underbrace{(M_{U_{\ell}})}_{i_{H*}} \underbrace{(M_{U_{\ell}})}_{i_{H}} \underbrace{(M_{U_{\ell}})}$

Now let us consider all the hyperplane sections H simultaneously: they are parametrized by a projective space \mathscr{P} , and the above pictures for different H's are the fibers of the global picture over the scheme ${\mathcal P}$. For example we have canonical closed hyperplane section subspace i: $H_{\mathcal{P}} \longrightarrow \overline{X}_{\mathcal{P}} := \overline{X} \times \mathcal{P}$, the object $M_{\mathcal{P}} := P_{X}^{*}(M)[\dim \mathcal{P}]$ in $M(X_{n})$ and so on.

The statements a'_{H} and b'_{H} have obvious analogues a_{p} , b_{p} in this situation, which are clearly true, since the projection $H_{\mathcal{P}} \rightarrow \vec{X}$ is smooth. So a_{H} and b_{H} for a particular H would follow if we know that the objects from a_{H} and b_{H} coincide with the restriction of objects from a_p , b_p to the fiber over the closed point "H" of \mathcal{P} . But this is true over some Zariski open subset of ${\mathcal P}$ (see [4] th. 1.9. for the constructible case, the holonomic case is quite easy), so we are done (in fact, if the base field is finite, this Zariski open may have no points over our field, so first one has to pass to a certain finite extension, and then use the trace).

3.3.2. To get Lemma 3.3. without quasiprojectivity assumption on X, one proceeds as follows. First, you may assume that for a certain open $r : U \hookrightarrow X$ such that U is affine one has $M = r_1 M_{11}$ (to see this choose an affine open covering $\{U_{ij}\}$ of X; then the canonical arthis choose an affine open covering $\tau_{\mathcal{V}}$, row $\bigoplus r_{\mathcal{V}!}M_{\mathcal{U}_{\mathcal{V}}} \longrightarrow M$ is surjective, so if $N_{\mathcal{V}} \longrightarrow r_{\mathcal{V}!}M_{\mathcal{U}_{\mathcal{V}}}$ satisfy 3.3 then $N = \bigoplus N_{\mathcal{V}} \longrightarrow M$ also fits 3.3.). Now take $U \xrightarrow{\widetilde{\mathcal{V}}} \chi$, where 3.3, ¶ is proper, \widetilde{X} is quasiprojective and \widetilde{r} is an open embedding. Apply 3.3.1. to $f \circ \P : \tilde{X} \to Y$, $\tilde{M} = \tilde{r}_{!}M_{U}$ and $\tilde{U}_{e} = \P^{-1}(U_{e})$. We get an affine $\tilde{j} : \tilde{V} \hookrightarrow \tilde{X}$ such that $\tilde{N} = \tilde{j}_{!}\tilde{M}_{V} = (\tilde{r} \cdot j)_{!}(M_{V}) - M$ (here j : V := $\tilde{V} \cap U \hookrightarrow U$) is surjective and $H^{i}(f \cdot \P)_{*}(\tilde{N}_{\tilde{U}_{\ell}})$ are zero for i < 0. Consider N := $(r \cdot j)_{*}(M_{V}) \longrightarrow M$: clearly this arrow is surjective (it coincides with $\tilde{N} \longrightarrow \tilde{M}$ on U and $r_{!}$ is exact) and $f_{*}(N_{U_{e}}) = (f \P)_{*}(\tilde{N}_{\tilde{U}_{e}})$ (since $\P_{*}\tilde{N} = \P_{!}\tilde{N} = N$) are acyclic in negative degrees. So we are done. 🗆

3.4. If f : X \rightarrow Y is an arbitrary morphism of schemes, then f_{*}

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may be neither left, nor right t-exact. To recover f_{*} (or f_{1}) from f_{*}° one may proceed as follows. Fix some open covering $\{U_{i}\}, U_{i}U_{i} = X$, such that every U_{i} is affine. We get the functor $f_{\{V_{i}\}}^{\circ} *: M(X) \rightarrow M(Y)^{\Delta}$ (= the category of cosimplicial objects in M(Y)) by the formula $f_{\{U_{i}\}*}^{\circ}$ (M)ⁿ = \bigoplus $f_{*}^{\circ}(M_{U_{i}},\dots,U_{i_{n}})$ with obvious face and degeneracy $(U_{i_{*}},\dots,U_{i_{n}})$ maps (this is f_{*}° applied to the Cech complex of M). Clearly, $f_{\{U_{i}\}*}^{\circ}$ is right exact and 3.3. implies that f_{*} = Tot L $f_{\{U_{i}\}*}^{\circ}$, where Tot : $D^{b}(M(Y_{i})) \rightarrow D^{b}(M(Y_{i}))$ is passing to the total complex functor. One may say this in a more invariant way, without fixing $\{U_{i}\}$. Consider the category $Sh_{X,Y}$ of M(Y)-valued sheaves on X_{Zar} ; we have the global section functor Γ : $Sh_{X,Y} \rightarrow M(Y)$ and its right-derived functor $R\Gamma$: $D^{b}(Sh_{X,Y}) \rightarrow D^{b}(M(Y_{i}))$. For $M \in M(X)$ let $f_{*}^{\circ}(M)$ be the sheaf that corresponds to the presheaf $U \mapsto f_{*}^{\circ}(M_{U})$; clearly $f_{*}^{\circ} : M(X_{i}) \rightarrow Sh_{X,Y}$ is a right-exact functor of finite cohomology dimension, and 3.3. shows that $f_{*} = R\Gamma \cdot Lf_{*}^{\circ}$.

Appendix. Filtered categories and realization functor

What follows is a variation on theme [1] (3.1). We deal with the following problem: given a t-category D with the heart \mathcal{C} ; construct the t-exact functor real : $D^{b}(\mathcal{C}) \rightarrow D$ that induces the identity functor on \mathcal{C} . To do this one needs some extra structure on D: namely one should fix a filtered category over D. Here are convenient definitions.

Definition A 1. a) A filtered triangulated category, or f-category for short, is a triangulated category together with two strictly full triangulated subcategories DF (≤ 0) and DF (≥ 0), an exact automorphism s : DF \rightarrow DF (called "shift of filtration"), and morphism of functors α : Id_{DF} \rightarrow s. The following axioms should hold (here DF($\leq n$):= sⁿDF(≤ 0), DF($\geq n$):= sⁿDF(> 0)):

(i) $DF(\ge 1) \subset DF(\ge 0)$, $DF(\le 1) \supset DF(\le 0)$, $\bigcup_{\substack{n \in \mathbb{Z} \\ n \in \mathbb{Z}}} DF(\ge n) = \bigcup_{\substack{n \in \mathbb{Z} \\ n \in \mathbb{Z}}} DF(\ge n) = DF(\ge$

(iii) For any X in $DF(\ge 1)$, Y in $DF(\le 0)$ one has Hom(X,Y) = 0and \blacktriangleleft induces isomorphisms $Hom(Y,X) = Hom(Y, s^{-1} X) = Hom(sY,X)$. (iv) For any X in DF there exists a distinguished triangle $A \longrightarrow X \longrightarrow B$ with A in $D(\ge 1)$ and B in $D(\le 0)$. b) An f-functor between f-categories is an exact functor that commutes with s and α and conserves $DF(\leqslant~0),~DF(\geqslant~0).$

c) Let D be a triangulated category. An f-category over D is an f-category DF together with equivalence of triangulated categories i : D \longrightarrow DF(\leq 0) \wedge DF(\geq 0). If ϕ : D₁ \longrightarrow D₂ is an exact functor between triangulated categories, and DF₁ is an f-category over D₁ (i = 1,2) then an f-lifting of ϕ is an f-functor ϕ F : DF₁ \rightarrow DF₂ such that i• ϕ = ϕ F•i.

Example A 2. Let A be ab abelian category, D'A its derived category, and DF(A) the filtered derived category of complexes with finite decreasing filtration. Then DF(A) is an f-category over D'(A): take D'F(A)(\geqslant n) = {(C', F) : $gr_F^i(C') = 0$ for i < n}, D'F(A)(\leqslant n) = = {(C', F) : $gr_F^i(C') = 0$ for i > n}; $s(C', F) = (C', F^{-1})$; $\alpha_{(C,F)} = id_{C} : (C', F) \rightarrow (C', F^{-1})$; i(C') = (C; Tr), where Tr is the trivial filtration : $gr_{Tr}^i = 0$ for $i \neq 0$. If T : $A_1 \rightarrow A_2$ is a left exact functor between abelian categories, and RT : D'(A_1) \rightarrow D'(A_2), RFT : $DF(A_1) \rightarrow DF(A)$ are corresponding right derived functors, then RFT is an f-lifting of RT; same for left derived functors.

Note that we have canonical exact functors $\mathfrak{S}_{\geq n}$: DF(A) \rightarrow DF(A)(>n), $\mathfrak{S}_{\leq n}$: DF(A) \rightarrow DF(A)($\leq n$) defined by formulas $\mathfrak{S}_{\geq n}(C, F) = F^{n}(C')$ with the induced filtration, $\mathfrak{S}_{\leq n}(C, F) = C'/F^{n+1}(C')$ with the induced filtration, and also the forgetting of filtration functor ω : DF(A) \rightarrow D(A). One may define them for arbitrary f-categories as follows.

Proposition A 3. Let DF,D be as in A 1.c. Then

(i) The inclusion of DF(\geqslant n) in DF has **tight** adjoint $\sigma_{\geqslant n} : DF \rightarrow DF(\geqslant n)$, and the inclusion of DF(\leqslant n) in DF has **left** adjoint $\sigma_{\leqslant n} : DF \rightarrow DF(\leqslant n)$. The functors $\sigma_{\leqslant n}$, $\sigma_{\geqslant n}$ are exact; they preserve subcategories DF(\leqslant a), DF(\geqslant a); there is the unique isomorphism $\sigma_{\leqslant a} \sigma_{\geqslant b} \xrightarrow{\sim} \sigma_{\geqslant b} \sigma_{\leqslant a}$ such that the diagram

$$\sigma_{g} X \xrightarrow{\sigma_{g}} \sigma_{g} X \xrightarrow{\sigma_{g}} \sigma_{g} X$$

es. Put $\operatorname{gr}_{F}^{n} := i^{-1} s^{-n} \sigma_{g} \sigma_{g} : DF \to D.$

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(ii) For any X in DF there exists unique morphism $d \in \operatorname{Hom}^1(\mathfrak{G}_{\leq 0}X, \mathfrak{G}_{\geq 1}X)$ such that the triangle $\mathfrak{G}_{\geq 1}X \longrightarrow X \longrightarrow \mathfrak{G}_{\leq 0}X \xrightarrow{d} \dots$

is distinguished; this is the only, up to unique isomorphism, triangle (A,X,B) with A in DF (\geq 1) and B in DF (\leq 0).

(iii) There exists the only, up to unique isomorphism, exact functor ω : DF \twoheadrightarrow D such that

1). $\omega|_{\mathrm{DF}(\langle 0 \rangle)} : \mathrm{DF}(\langle 0 \rangle) \to \mathrm{D}$ is right adjoint to i : D $\to \mathrm{DF}(\langle 0 \rangle)$

2) $\omega|_{DF(>0)}$: DF(> 0) \rightarrow D is left adjoint to i : D \rightarrow DF(> 0)

3) For any X in DF the arrow $\omega(\alpha_X) : \omega(X) \longrightarrow \omega(SX)$ is an isomorphism.

4) For any A in DF(\leq O), B in DF(\geq O) we have ω : Hom(A,B) $\xrightarrow{\sim}$ Hom(ω A, ω B).

In fact, ω is uniquely determined by properties 1,3 or 2,3.

One may see that all the standard constructions in usual filtered derived categories may be carried over in the f-category framework. Exercise: do this for [1] (3.1.2.6).

<u>Definition A 4</u>. Let D, DF be as in 1.5.1., and assume that we are given t-structures on D and DF. Say that they are compatible if $i : D \longrightarrow DF$ is t-exact, and $s(DF^{\leq 0}) = DF^{\leq -1}$

<u>Proposition A 5</u>. a) Given a t-structure on D, there exists unique t-structure on DF compatible with it, namely $DF^{\leqslant 0} = \{X : gr_F^i(X) \notin D^{\leqslant i}\}, DF^{\geqslant 0} = \{X : gr_F^i(X) \notin D^{\geqslant i}\}$

b) Assume we are given compatible t-structures on D, DF with hearts \mathcal{C} , $\mathcal{C}F$ respectively; let $H : D \to \mathcal{C}$ be corresponding cohomology functor. Define the cohomology functor $H_F : DF \to C^{b}(\mathcal{C})$ by the formula $H_F(X)^{i} = H^{i}gr_{F}^{i}(X)$, the differential $H_F(X)^{i} \to H_F(X)^{i+1}$ comes from the distinguished triangle $\omega (\sigma_{\leq i+i} \varsigma_{j+i+1} - \sigma_{\leq i+1} \sigma_{\leq i+$

A 6. Let real : $C^{b}(\mathcal{E}) \to D$ be the composition $C^{b}(\mathcal{E}) \stackrel{\checkmark}{\longrightarrow} \mathcal{E}Fc$ DF $\stackrel{\omega}{\longrightarrow} D$, where $\alpha = H_{F}/\mathfrak{e}_{F}^{-1}$. One may see that $H \cdot real : C^{b}(\mathcal{E}) \to$ is a usual cohomology functor, and real factors (uniquely) through the t-exact functor real : $D^{b}(\mathcal{E}) \to D$, real/ $\mathfrak{e} = \operatorname{id}_{\mathfrak{E}}$. This is the functor we looked for.

A 7. This construction has the following functorial properties. Let D_i , i = 1,2, be triangulated categories, and DF_i some f-categories over D_i . Let $\boldsymbol{\phi} : D_1 \rightarrow D_2$ be an exact functor, and $\boldsymbol{\phi} \boldsymbol{F} : DF_1 \rightarrow D_2$ $\rightarrow DF_2$ an f-lifting of $\boldsymbol{\phi}$. Assume that we are given compatible tstructures on D_i, DF_i.

If Φ is t-exact, then it induces an exact functor $\Psi_{\mathbf{g}} : \mathcal{E}_1 \longrightarrow \mathcal{E}_2$, hence the t-exact functor $\mathbf{D}^{\mathbf{b}}(\mathcal{E}_1) \xrightarrow{\mathbf{D} \oplus \mathbf{c}} \mathbf{D}^{\mathbf{b}}(\mathcal{E}_2)$. Since, by 5.a,

₱ F is also t-exact, we get

Lemma A 7.1. In this situation real $D\Phi_e = \Phi$ real.

More generally, suppose that $\,\,\phi\,$ is left t-exact. Consider the left exact functor $\varphi_{\mathcal{C}} = H \varphi |_{\mathcal{C}_1} : \mathcal{C}_1 \rightarrow \mathcal{C}_2$ and the corresponding graded functor $\boldsymbol{\varphi}_{\boldsymbol{\mathcal{L}}}^{\bullet}$: $C^{\mathsf{b}}(\boldsymbol{\mathcal{C}}_{1}) \rightarrow C^{\mathsf{b}}(\boldsymbol{\mathcal{C}}_{2})$. Clearly, $\boldsymbol{\varphi}_{\mathsf{F}}$ is also left t-exact, and $\Psi_{\mathbf{F}} = H_{\mathbf{F}} \cdot \Phi_{\mathbf{F}} \cdot \mathbf{A}$, so for any C^{\bullet} in $C^{b}(\mathcal{C}_{1})$ we have the canonical arrow $d \Phi_{\omega}^{*}(C^{*}) = \mathcal{C}_{\langle 0} \Phi F_{\mathcal{A}}(C^{*}) \rightarrow \Phi F_{\mathcal{A}}(C^{*}).$ Applying ω we get the morphism $\widetilde{real} \xrightarrow{\Phi} \rightarrow \xrightarrow{\Phi} \widetilde{real}$ of D_{2} -valued functors on $C^{b}(\mathcal{C}_{1})$, or, passing to the derived functor $R \Rightarrow D^{b}(\mathcal{C}_{1}) \rightarrow \lim D^{b}(\mathcal{C}_{2})$, the morphism real R $\phi_{\mu}^{*} \rightarrow \phi$ real. This construction has an obvious analogue for the right t-exact functors.

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Complement to §3.

Here we will see how a variant of 3.3.1. gives a very concrete chain complexes that compute (:= direct image to a point) of perverse sheaves on projective variety.

fe These chain complexes will constructed by means of a pair of transversal flags (F, F') on \mathbb{P}^n . Clearly, such pairs are the same as systems of coordinate axes; they are parametrized by GL(n+1)/ diagonal matrices. We put $F = (F_n c \dots cF_o = IP^n)$, $F' = (F'_n c \dots cF'_o = IP^n)$, $i_{\nu}: F_{\nu} \hookrightarrow P^{n}, i_{\nu}^{\prime}: F_{\nu}^{\prime} \hookrightarrow P^{h}$

Let M be a perverse sheaf on \mathbb{IP}^n . Say that (F,F') is in a generic position with respect to M if for any pair (a,b) of indices (we may assume $0 \le a$, $b \le n$, $a+b \le n$) the following holds:

(ii) The natural arrow $\widetilde{M}_{g}^{a} \rightarrow M_{g}^{a}$ is isomorphism.

One may see, as in 3.3.1, that a generic (F,F') satisfies this conditions. Assume that our (F,F') is of such kind. Then for any (a,b) we have canonical commentative diagram of perverse sheaves, supported on $F_a \cap F'_b$, with exact rows and columns:

where $j: [P^n \times H \hookrightarrow P^n, j': P^n \times H' \hookrightarrow P^n, H, H' are hyperplanes such$ $that <math>H \wedge F_a \wedge F'_b = F_{a+1} \wedge F'_b, H' \wedge F_a \wedge F'_b = F_a \wedge F'_{b+1}, \text{ and } \mathcal{J}^a_{\ell}(M) :=$ $j'_! j'^* j_* j^* M = j_* j^* j'_! j'^* M^a_{\ell}$ (the property (ii) guarantees that these are the same objects). So the sheaves $\mathcal{J}^a_{\ell}(M)$ form a bicomplex with differentials $d = \mathscr{A}_{\mathcal{B}}$, $d' = \mathscr{A}'_{\mathcal{B}}'$. Clearly it is d-acyclic if $b \neq 0$, and d'-acyclic if $a \neq 0$. Let $\mathcal{F}'(M)$ be the corresponding total complex ($\mathfrak{I}^n = \mathfrak{A}_{\ell}^{\oplus})$. The diagram also shows that it is canonically quasiisomorphic to M (i.e. one has $H^1 = \mathcal{F}'(M) = 0$ for $i \neq 0, H^o \mathcal{F}'(M) = M$): the quasiisomorphism is $M = M_0^o \rightarrow (j_* j^* M_0^o \rightarrow j_* j^* M_0^4 \rightarrow \ldots) \stackrel{A}{\longrightarrow}$

 $\mathcal{F}'(M)$ (you may also use the O's column to get the same quis). Now note that for i#0 and any (a,b) Hⁱ $\int \mathcal{F}'_{\ell}^{a}(M) = 0$ (see 3.3.1.). So

 $(\mathcal{F}(M))$ is chain complex that represents (M).