

# Lecture 2

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The following notes are a rush transcript of Rachel's lecture. Any mistakes or typos are mine, and please point these out so that I can post a corrected copy online.

### 1 Foliations

**Definition 1.** A  $k$ -dimensional foliation of an  $n$ -manifold  $M$  is a decomposition of  $M$  into  $k$ -manifolds with the following property: there exists an atlas of charts with the property that each chart neighborhood  $U$  locally decomposes as  $U = \mathbb{R}^k \times \mathbb{R}^{n-k}$

That is, there exists a a foliation atlas such that for all  $p$ , there exists a neighborhood  $U$  containing  $p$  with  $U \approx \mathbb{R}^n = \mathbb{R}^k \times \mathbb{R}^{n-k}$ .

**Definition 2.** The  $k$ -manifolds of a foliation  $\mathcal{F}$  are called the *leaves* of the foliation.

### 2 Examples

Eventually we'll be particularly interested in the case  $n = 3, k = 2$ .

1.  $M^n = A^k \times B^{n-k}$  where  $A, B$  are manifolds.
2.  $T^2 = S^1 \times S^1$  can be foliated by circles in one of the factors.
3. Consider the universal cover of the torus,  $\mathbb{R}^2$ . Foliate the plane by lines of a fixed slope. Under the covering map from  $\mathbb{R}^2 \rightarrow T^2$ ,

$$(s, t) \mapsto (e^{2\pi is}, e^{2\pi it})$$

This gives a foliation of the torus. If the slope of lines in  $\mathbb{R}^2$  is rational, then we get a foliation by circles on the torus. (See the last HW problem from the first day.) If the slope is irrational, the curves will never close up; each leaf is a copy of  $\mathbb{R}$  which is dense in  $T^2$ .

4. Begin with the cylinder  $S^1 \times [0, 1]$  where  $\phi : S^1 \rightarrow S^1$  is a homeomorphism of the circle. Consider a foliation of the cylinder by vertical segments  $\{x\} \times [0, 1]$ .  $\phi$  can be chosen to yield a foliation by lines, simple closed curves of any slope, or combinations of both.

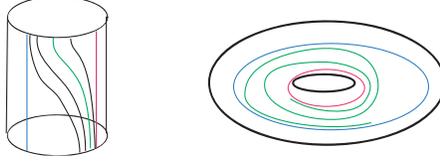


Figure 1: There is a foliation of  $T^2$  with two  $S^1$  leaves and two intervals' worth of  $\mathbb{R}$  leaves. A  $\phi$  that produces this foliation is suggested by the diagram on the left, and some example leaves are shown on the right.

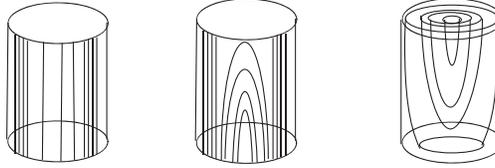


Figure 2: Left: This foliation of  $S^1 \times [0, 1]$  yields a foliation of  $T^2$  by circles. .Center: A different foliation of  $S^1 \times [0, 1]$ . Right: This foliation of the cylinder maps to the Reeb foliation of the torus.

5. As an example of the previous construction, consider a foliation of  $T^2$  with two  $S^1$  leaves and two intervals' worth of  $\mathbb{R}$ s , each of which “spirals in” on both circles.

Remark: One can find a simple closed curve  $\gamma$  in  $T^2$  such that  $\gamma$  is everywhere transverse to  $\mathcal{F}$  and  $\gamma$  meets every leaf of  $\mathcal{F}$ . For example, the meridian  $S^1 \times \{pt\}$  on the original cylinder becomes such a curve in  $T^2$ .

6. Again, begin with a foliation of the cylinder as shown in the middle diagram in Figure 2. In contrast to the example above, there is no simple closed curve on  $T^2$  that meets every leaf of  $\mathcal{F}$  transversely.
7. Now consider  $n = 3, k = 2$ . Begin with  $S^1 \times D^2$  and foliate it by a singled boundary torus and an infinite family of “cups” and cylinders as indicated in the right-hand diagram of Figure 2. The foliation meets each end of the cylinder in a collection of nested circles, as well as in a single point of  $D^2 \times \{0\}$  where one cup is tangent to the disc. Let  $\phi$  be an orientation-preserving homeomorphism of the disc which sends circles to circles; the quotient space under the identification  $(x, \{0\}) \sim (\phi(x), \{1\})$  is a foliation of the solid torus. This is an analog of Example 5; instead of curves spiralling towards  $S^1$  leaves, we see the cups spiralling toward the boundary  $T^2$ .

**Definition 3.** The foliation of  $S^1 \times D^2$  with a single torus leaf and  $S^1$ 's worth of  $\mathbb{R}^2$ 's is the *Reeb foliation* of the solid torus. (See Figure 2.)

8.  $S^3 = (S^1 \times D^2) \cup (S^1 \times D^2)$ . Foliating each solid torus with the Reeb foliation gives a two-dimensional foliation of  $S^3$ . In more standard language, we have a *codimension-one* foliation of  $S^3$ .

Let  $M$  be a closed orientable three manifold.

**Theorem 1.** *Any three manifold  $M$  has a codimension-one foliation  $\mathcal{F}$ .*



Figure 3: Modifying each fiber in a neighborhood of the  $T^2$  yields a foliation of  $M$ .

*Proof.* Recall the theorem of Alexander, Myers, and Gonzalez-Acuña that  $M$  can be written as the union of a solid torus and a fibered three manifold  $M_0$  whose boundary is a single torus. (See John Etnyre's notes on open book decompositions for a proof of this fact.) Foliate the  $S^1 \times D^2$  with the Reeb foliation. For the fibered piece,  $M_0 = F \times [0, 1]/(x, 0) \sim (\phi(x), 1)$ , so the fibers give a foliation of  $M_0$ . In a neighborhood of the boundary, this looks like  $S^1 \times [0, 1] \times S^1$ , and we modify the fibration locally by spiralling each copy of  $F$  about  $T^2$ . (See Figure 3.)  $\square$

A downside of this theorem is that knowing a three manifold has a codimension-one foliation tells us nothing about the manifold. But there's hope...

**Definition 4.** A surface  $F$  embedded in a three manifold is *incompressible* if for every simple closed curve  $\gamma$  embedded in  $F$  which bounds a disc in  $M$ ,  $\gamma$  also bounds an embedded disc in  $F$ . A surface which is not incompressible is called *compressible*.

**Definition 5.** A surface  $F$  is *essential* if  $F \neq S^2$  and  $F$  is incompressible.

**Definition 6.** Let  $\mathcal{F}$  be a codimension-one foliation of a three manifold. Then  $\mathcal{F}$  is *Reebless* if it contains no Reeb component. (I.e.,  $\mathcal{F}$  has no Reeb foliation of a solid torus.)

Remark: It is equivalent to say that a foliation is Reebless if there is no compressible  $T^2$  leaf of  $\mathcal{F}$ .

**Theorem 2.** (Reeb) Suppose  $\mathcal{F}$  is a foliation with an  $S^2$  leaf. Then  $M = S^1 \times S^2$ .

**Definition 7.**  $M$  is irreducible if every embedded  $S^2$  bounds a  $B^3$ .

**Theorem 3.** (Haefliger, Novikov, Rosenberg) Suppose  $M \neq S^1 \times S^2$  and  $M$  has a Reebless foliation. Then

1.  $M$  is irreducible;
2. The leaves of  $\mathcal{F}$  are incompressible;
3. If  $\gamma$  is a simple closed curve in  $M$  and  $\gamma \cap \mathcal{F}$  then  $[\gamma] \in \pi_1(M)$  is of infinite order.

**Theorem 4.** (Palmeira) Suppose  $M \neq S^1 \times S^2$  and  $M$  has a Reebless foliation. Then the universal cover  $\tilde{M}$  of  $M$  is homeomorphic to  $\mathbb{R}^3$ . If  $\tilde{\mathcal{F}}$  is the lift of  $\mathcal{F}$  to  $\tilde{\mathbb{R}}^2$ ,  $\mathbb{R}^3$  can be decomposed as  $\mathbb{R}^2 \times \mathbb{R}$  so that  $\tilde{\mathcal{F}}$  is a foliation of  $\mathbb{R}^2$  by lines.