## On Lemma 6.10.12 in the HoTT book

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In the current version of the HoTT book there is a lemma of the following form:

**Lemma** Suppose  $P : \mathbf{Z} \rightarrow \mathcal{U}$  is a type family and that we have

d0: P(0),

 $d+: \prod(n:\mathbf{N})P(n) \rightarrow P(succ(n)), \text{ and } d-: \prod(n:\mathbf{N})P(-n) \rightarrow P(-succ(n))$ 

Then we have  $f : \prod(z : \mathbf{Z})P(z)$  such that  $f(0) \equiv d0$  and  $f(succ(n)) \equiv d + (f(n))$ , and  $f(-succ(n)) \equiv d - (f(-n))$  for all  $n : \mathbf{N}$ .

Where  $\equiv$  denotes definitional equality. The following note is a summary of my attempt to prove a non-dependent version of this lemma in Coq using the definition of integers "hz" given in the Foundations library.

First it is unclear what the condition of the form  $a(n) \equiv b(n)$  for all n is supposed to mean. Indeed there are two *different* interpretations. First that for any numeral n one has  $a(n) \equiv b(n)$ . Second that  $a \equiv b$  in the function type. In Coq the first condition is strictly weaker than the second. In what follows we consider the second meaning.

The non-dependent form of the lemma looks as follows<sup>1</sup>:

"Lemma l61012nd ( T : UU ) ( d0 : T ) ( dplus : forall n : nat , T -> T ) ( dminus : forall n : nat , T -> T ) : hz -> T ."

The conditions are equivalent to the acceptability by Coq of the following code:

"Lemma test1 ( T : UU ) ( d0 : T ) ( dplus : forall n : nat , T -> T ) ( dminus : forall n : nat , T -> T ) : paths ( l61012nd d0 dplus dminus ( nattohz 0 ) ) d0 . Proof . intros . apply idpath . Defined.

Lemma test2 (T : UU) (d0 : T) (dplus : forall n : nat ,  $T \rightarrow T$ ) (dminus : forall n : nat ,  $T \rightarrow T$ ) (n : nat) : paths (l61012nd d0 dplus dminus (nattohz (S n))) (dplus n (l61012nd d0 dplus dminus (nattohz n))). Proof . intros . apply idpath . Defined.

Lemma test3 (T : UU) (d0 : T) (dplus : forall n : nat ,  $T \rightarrow T$ ) (dminus : forall n : nat ,  $T \rightarrow T$ ) (n : nat) : paths (l61012nd d0 dplus dminus (hzsign (nattohz (S n)))) (dminus n (l61012nd d0 dplus dminus (hzsign (nattohz n)))). Proof . intros . apply idpath . Defined."

At the moment I am unable to find a proof of "161012nd" which would make these idpathproofs of "test1","test2" and "test3" to compile.

<sup>&</sup>lt;sup>1</sup>All of the Coq code in the note can be copy-pasted directly into Coq. Compilation requires the file hz.v from the Foundations library.

The reason is somewhat subtle and interesting. The first surprising fact is that such a proof of "161012nd" can be found if we add the additional assumption that "T" is an h-set. Namely, the following code does work:

"Lemma 161012aa ( T : UU ) ( d0 : T ) ( dplus : forall n : nat , T -> T ) ( dminus : forall n : nat , T -> T ) : forall nm: dirprod nat nat , T. Proof. intros. destruct nm as [n m ] . generalize m . clear m . induction n. intro m . induction m . apply d0. apply ( dminus m IHm ) . intro m . destruct m . apply ( dplus n (IHn O) ) . apply (IHn m) . Defined . Lemma 161012ab (T : hSet ) (d0 : T ) (dplus : forall n : nat ,  $T \rightarrow T$  ) ( dminus : forall n : nat , T -> T ) ( n m : nat ) : paths ( 161012aa T d0 dplus dminus ( dirprodpair n m ) ) ( 161012aa T d0 dplus dminus ( dirprodpair (Sn)(Sm))). Proof. intros. apply idpath. Defined. Lemma 161012a (T : hSet) (d0 : T) (dplus : forall n : nat ,  $T \rightarrow T$ ) ( dminus : forall n : nat , T -> T ) : hz -> T . Proof. intros T d0 dplus dminus. unfold hz . unfold commrigtocommrng. simpl . apply (setquotuniv (hrelabgrfrac (rigaddabmonoid natcommrig)) T (161012aa T d0 dplus dminus ) ). admit . Defined . Lemma testia ( T : hSet ) ( d0 : T ) ( dplus : forall n : nat , T -> T ) (dminus : forall n : nat , T -> T ) : paths (161012a T d0 dplus dminus ( nattohz 0 ) ) d0 . Proof . intros . apply idpath . Defined. Lemma test2a (T : hSet) (d0 : T) (dplus : forall n : nat , T -> T) ( dminus : forall n : nat , T -> T ) ( n : nat ) : paths ( 161012a T d0 dplus dminus ( nattohz ( S n ) ) ) ( dplus n ( 161012a T d0 dplus dminus ( nattohz n ) ) ) . Proof . intros . apply idpath . Defined. Lemma test3a (T : hSet ) (d0 : T ) (dplus : forall n : nat ,  $T \rightarrow T$  ) ( dminus : forall n : nat , T -> T ) ( n : nat ) : paths ( 161012a T d0 dplus dminus ( hzsign ( nattohz ( S n ) ) ) ) ( dminus n ( 161012a T d0 dplus dminus (hzsign (nattohz n )))). Proof . intros . apply idpath . Defined." The best I can do for a general "T" is to provide a proof of "161012nd" for which the idpathproofs of "test1" and "test2" work and a proof of "test3" requires a "destruct" or a proof for which idpath-proofs of "test1" and "test3" work and the proof of "test2" requires a detract. Here is the code for the first case: "(\* We define the subtraction on natural numbers in two different ways. Our first definition [minus1] coincides with the one given in the standard library. It has the property that [minus1 O n] is definitionally equal to

[0] but not that [minus1 n 0] is definitionally equal to [n]. The other definition [minus2] has a complimentary set of properties - [minus2 n 0] is definitionally equal to [n] but [minus2 0 n] is not definitionally equal to [0]. \*)

Definition minus1 ( n m : nat ) : nat . Proof. intro n . induction n .

intro m . apply O . intro m . destruct m . apply ( S n ) . apply (IHn m). Defined. Definition minus2 ( n m : nat ) : nat . Proof. intros . generalize n . clear n . induction m . intro n . apply n . intro n . destruct n . apply O . apply (IHm n). Defined. (\* The two minus functions are used to define a section of the projection [ dirprod nat nat -> hz ] \*) Definition rnatnat : dirprod nat nat -> dirprod nat nat := fun nm => dirprodpair (minus2 (pr1 nm) (pr2 nm)) (minus1 (pr2 nm) (pr1 nm)). Definition rhz : hz -> dirprod nat nat . Proof . unfold hz . unfold commrigtocommrng. simpl . apply (setquotuniv (hrelabgrfrac (rigaddabmonoid natcommrig)) (setdirprod natset natset ) rnatnat ). admit . Defined. Definition 161012nd (T : UU ) (d0 : T ) (dplus : forall n : nat , T -> T ) ( dminus : forall n : nat , T -> T ) ( z : hz ) : T := 161012aa T d0 dplus dminus ( rhz z ) . Lemma test1 ( T : UU ) ( d0 : T ) ( dplus : forall n : nat ,  $T \rightarrow T$  ) ( dminus : forall n : nat , T -> T ) : paths ( 161012nd T d0 dplus dminus ( nattohz 0 ) ) d0 . Proof . intros . apply idpath . Defined. Lemma test2 ( T : UU ) ( d0 : T ) ( dplus : forall n : nat ,  $T \rightarrow T$  ) ( dminus : forall n : nat , T -> T ) ( n : nat ) : paths ( 161012nd T d0 dplus dminus ( nattohz ( S n ) ) ) ( dplus n ( 161012nd T d0 dplus dminus ( nattohz n ) ) ) . Proof . intros . apply idpath . Defined." The idpath-proof "Lemma test3 ( T : UU ) ( d0 : T ) ( dplus : forall n : nat , T -> T ) ( dminus : forall n : nat , T  $\rightarrow$  T ) ( n : nat ) : paths ( 161012nd T d0 dplus dminus ( hzsign ( nattohz ( S n ) ) ) ) ( dminus n ( 161012nd T d0 dplus dminus ( hzsign ( nattohz n ) ) ) ) ." does not work. The best one can get is a destruct-idpath-idpath-proof: "Proof . intros . destruct n . apply idpath . apply idpath . Defined."

which among other things implies that the idpath-proof would work if we substitute for the variable "n:nat" in "test3" any numeral (e.g. 5).

Let us consider now what are the issues which prevent us from getting a proof of "161012nd" with would make the idpath-proofs of all three test lemmas work. Much of what happens can be seen in terms of the following diagram:

where in our case  $X = \mathbf{N} \times \mathbf{N}$ , R is the equivalence relation such that  $\mathbf{Z} = (\mathbf{N} \times \mathbf{N})/R$ , r is defined in "rnatnat" and  $r_R$  in "rhz".

For the data "d0, dplus, dminus" we construct in "161012aa" a function  $f: \mathbf{N} \times \mathbf{N} \to T$  such that f(0, 0) = f(1 + x, 0) = dx loc(m, f(m, 0))

$$f(0,0) = d0 \ f(1+n,0) = dplus(n, f(n,0))$$
  
$$f(0,1+m) = dminus(m, f(0,m)) \ f(1+n, 1+m) = f(n,m)$$

To agree with the idpath-proofs of the three test lemmas we need a function  $f_R$  such that

$$\begin{split} f_R(p(0,0)) &= d0 \\ f_R(p(1+n,0)) &= dplus(n, f_R(p(n,0))) \\ f_R(p(0,1+m)) &= dminus(m, f_R(p(0,m))) \end{split}$$

The condition f(1 + n, 1 + m) = f(n, m) implies that it is compatible with R. Therefore when T is an h-set we may apply "setquotuniv" to obtain  $f_R$  which makes the corresponding triangle definitionally commutative and therefore satisfies all three required conditions.

When T is a general type we define  $f_R$  instead by  $f_R(z) = f(r_R(z))$ . In order for the conditions to be satisfied in this case we need the equations

$$\begin{split} f(r(0,0)) &= d0 \\ f(r(1+n,0)) &= dplus(n,f(r(n,0))) \\ f(r(0,1+m)) &= dminus(m,f(r(0,m))) \end{split}$$

which would follow if we could find r such that

$$r(n, 0) = (n, 0)$$
  
 $r(0, m) = (0, m)$   
 $r(1 + n, 1 + m) = r(n, m)$ 

or equivalently we need to construct  $r1: \mathbf{N} \times \mathbf{N} \to \mathbf{N}$  such that

$$r1(n, 0) = n$$
  
 $r1(0, m) = 0$   
 $r1(1 + n, 1 + m) = r1(n, m)$ 

The problem of this approach is that there seems to be no way in Coq to define a function r1 satisfying (definitionally) the first two of these conditions.

Another possibility would be to try to modify "setquotuniv" to obtain universality of setquotients of *h*-sets by equivalence relations with respect to compatible functions to all types. More generally, we can ask:

**Q1** Can we find a construction for set-quotients of h-sets by equivalence relations which would be universal with respect to compatible functions to all types?

I think this can be reduced to the case of the maximal equivalence relation leading to the following question:

Q2 Given "(X:hSet)(T:UU)(f:X->T)(is:forall (x1 x2 : X), paths (f x1) (f x2))" can we construct "fis:ishinh(X)->T" such that for all "x:X" one has "fis(hinhpr x) $\equiv$  f x".

A weaker version of the same question would only require a path equality between "fis(hinhpr x)" and "f x".

So far I see no way to either find a definition of "fis" or to show that it can not be done in general. For the later possibility we can ask the following:

Q3 Can we show that there is no proof for the following pair of lemmas

"Lemma fis\_UU (X:UU)(T:UU)(f:X->T)(is:forall (x1 x2:X), paths (f x1) (f x2)) : ishinh(X)->T."

"Lemma fis\_UU\_paths (X:UU)(T:UU)(f:X->T)(is:forall (x1 x2:X), paths (f x1) (f x2))(x:X): paths (fis\_UU X T f is (hinhpr x)) (f x)."