

Universes in the category of cubical sets

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Introduction

The goal of this note is to give a definition of two universes [1] $p : \tilde{U} \rightarrow U$ and $p_F : \tilde{U}_F \rightarrow U_F$ in the category of cubical set. This first universe is a universe of cubical sets. The second universe is the universe of “fibrant” cubical sets, and provides a model of type theory with dependent product, sum, identity types and function extensionality.

Cubical sets

Definition of the base category

We assume a given (discrete) set of symbols/names/directions, not containing 0, 1. We let I, J, K, \dots denote finite sets of such symbols. We also assume a function $\text{fresh}(I)$ which selects a name not in I . Let \mathcal{C} be the following precategory. The objects are finite sets of names I, J, K, \dots . A morphism $J \rightarrow I$ is a monotone map $2^J \rightarrow 2^I$. Equivalently, a morphism $J \rightarrow I$ can be seen as a map $f : I \rightarrow \mathsf{D}(J)$ where $\mathsf{D}(J)$ is the free bounded distributive lattice on J . (This follows from the fact that the free bounded distributive lattice on J is the lattice of monotone maps $2^J \rightarrow 2$.)

We write $1_I : I \rightarrow I$ the identity map. If $f : J \rightarrow I$ and $g : K \rightarrow J$ we write $fg : K \rightarrow I$ their composition.

A *cubical set* is a presheaf on \mathcal{C} , i.e. a functor $\mathcal{C}^{opp} \rightarrow \mathbf{Set}$.

We shall need a few notions and properties of the base category that are listed in Appendix I.

A cubical set X is thus given by a family of sets $X(I)$ together with a restriction map

$$\begin{aligned} X(I) &\rightarrow X(J) \\ u &\longmapsto uf \end{aligned}$$

such that $u1_I = u$ and $(uf)g = u(fg)$. We write uf for what is usually written $X(f)(u)$.

If X is a presheaf on the slice category \mathcal{C}/I we let $\Gamma(X)$ be the set $X(I, 1_I)$. If X and Y are two presheaves on \mathcal{C}/I , we can consider their exponential $X \rightarrow Y$ and an element of $\Gamma(X \rightarrow Y)$ is given by a family of maps $w_{(J,f)} : X(J, f) \rightarrow Y(J, f)$ such that $(w_{(J,f)} u)g = w_{(K,fg)} ug$ for $g : K \rightarrow J$. We also can consider the presheaf of *paths* $P(X)$, with $P(X)(J, f) = X(j, J, fl_j)$ where $j = \text{fresh}(J)$. We can then define the set $\mathbf{Iso}(X, Y)$ as a subset of

$$\Gamma((X \rightarrow Y) \times (Y \rightarrow X) \times (X \rightarrow P(Y)) \times (Y \rightarrow P(X)))$$

The universe of cubical sets

We fix a *set* V of small sets. Each element a in V determines a small set $El(a)$. The pair V, El defines then a (pre)category by taking the set of morphisms between a and b to be $El(a) \rightarrow El(b)$. If \mathcal{D} is a (pre)category, we define the *set* of V -valued presheaves on \mathcal{D} to be the set of functors from \mathcal{D}^{opp} to this precategory.

Given I , we can consider the set of V -valued presheaves on the slice (pre)category \mathcal{C}/I . Such a functor A is given by a family of sets $A(J, f)$ in V and restriction maps $El(A(J, f)) \rightarrow El(A(K, fg))$. If A is such a functor, we can define a new functor $P(A)$ by taking $P(A)(I, f)$ to be $A(i, I, f\iota_i)$ where $i = \text{fresh}(I)$. If p is in $P(A)(I, f)$ we can consider $p0 = p(i0)$ and $p1 = p(i1)$ in $A(I, f)$. If a is in $A(I, f)$ we consider the constant path $\bar{a} = a\iota_i$ in $P(A)(I, f)$.

We define $U(I)$ to be the set of all V -valued presheaves on \mathcal{C}/I . The restriction map $U(I) \rightarrow U(J)$, $A \mapsto Af$ is defined by letting Af be the composition of A and the functor $\mathcal{C}/J \rightarrow \mathcal{C}/I$.

If A is an element of the set $U(I)$ we write $\Gamma(A)$ the set of ‘‘global element’’ of A , which is the set $A(I, 1_I)$. If $f : J \rightarrow I$, we have $\Gamma(Af) = A(J, f)$ and restriction maps $\Gamma(A) \rightarrow \Gamma(Af)$, $u \mapsto uf$.

We let $\tilde{U}(I)$ be the set of pairs A, u where A is an element of $U(I)$ and u an element of the set $\Gamma(A)$. We define $(A, u)f = (Af, uf)$. We define the natural transformation $p : \tilde{U} \rightarrow U$ by $p(A, u) = A$.

Proposition 0.1 $p : \tilde{U} \rightarrow U$ defines a universe [1] in the category of cubical sets.

Indeed if X is a cubical set and $\sigma : X \rightarrow U$ then we can define the cubical set (X, σ) by taking $(X, \sigma)(I)$ to be the set of pairs x, u with x in $X(I)$ and u in $\Gamma(\sigma x)$.

Dependent sets

If X is a cubical set, the *category of element* of X has **for** object pair (I, ρ) with ρ in $X(I)$ and a morphism between J, ν and I, ρ is a map $f : J \rightarrow I$ such that $\nu = \rho f$. If X is a cubical set, a *dependent set* $X \vdash B$ over X is given by a V -valued presheaf on the category of element of X .

Such a dependent set defines a cubical set $X.B$ by taking $(X.B)(I)$ to be the set of pairs (ρ, v) with ρ in $X(I)$ and v in $B(I, \rho)$.

The universe of fibrant cubical sets

If A is an element of the set $U(I)$ we define the set of composition operations and the set of transport operations for A .

Composition

A *composition operation* for A is given by a family of operations **comp_f u p** element in $El(A(J, f))$, u in the set $El(A(J, f))$ and \vec{p} a system for $P(A)$ such that $u\alpha = p_\alpha 0$. We should have

1. **comp_f u [()** $\mapsto p$] = $p1$
2. *regularity*: **comp_f u** $(\vec{p}, \alpha \mapsto \overline{u\alpha}) = \text{comp}_f u \vec{p}$
3. *uniformity*: **(comp_f u p)** $g = \text{comp}_{fg} ug \vec{p}g$ if $g : K \rightarrow I$.

and a dual family of operations where we swap 0 and 1.

Transport

A *transport operation* for A is given by a family of operations **transp_fⁱ** in

$$El(A(J - i, f(i0))) \rightarrow El(A(J - i, f(i1)))$$

for $f : J \rightarrow I$ and i in J . Furthermore we should have

1. *regularity*: **transp_fⁱ u₀** = u_0 if we have $f = f(i0)\iota_i$
2. *uniformity*: **(transp_fⁱ u₀)g** = **transp_{f(g, i=j)}^j u₀g** if $g : J - i \rightarrow K$ and j not in K

and a dual family of operations where we swap 0 and 1.

We let $U_F(I)$ be the set of elements in $U(I)$ together with a composition and transport operation.

If $(\text{comp}_h^i, \text{transp}_h^i)$ is a composition and transport for A, V -valued presheaf on \mathcal{C}/I , then $(\text{comp}_{fg}^j, \text{transp}_{fg}^j)$ is a composition and transport for Af, V -valued presheaf on \mathcal{C}/J .

We define in this way a restriction map $U_F(I) \rightarrow U_F(J)$ and a cubical set U_F .

There is a projection map $U_F \rightarrow U$ but U_F is not a subpresheaf of U .

A particular case of regularity can be seen as a kind of α -conversion for transport

$$\text{transp}_f^i u_0 = \text{transp}_{f(1_K, i=j)}^j u_0$$

if $f : K, i \rightarrow I$ and j is not in K .

Kan cubical sets

Notice that an element of $U()$ is given by a V -valued presheaf on \mathcal{C} and each transport function

$$\text{transp}^i : A_{I-i} \rightarrow A_{I-i}$$

is constant by regularity.

A *Kan cubical set* is a cubical set X together with a composition operation $\text{comp}_I u \vec{p}$ in $X(I)$ with u in $X(I)$ and \vec{p} a system for X such that

1. $\text{comp}_I u [()] \mapsto p] = p1$
2. *regularity*: $\text{comp}_I u (\vec{p}, \alpha \mapsto \overline{u\alpha}) = \text{comp}_I u \vec{p}$
3. *uniformity*: $(\text{comp}_I u \vec{p})f = \text{comp}_J^j u f \vec{p}f$

and a dual family of operations where we swap 0 and 1.

Kan fibration

If X is a cubical set, a *Kan fibration* $X \vdash B$ over X is given by a V -valued presheaf on the category of element of X , that is a dependent set over X , which admits composition and transport operations. We should have

1. $\text{comp}_{I,\rho} u [()] \mapsto p] = p1$
2. *regularity*: $\text{comp}_{I,\rho} u (\vec{p}, \alpha \mapsto \overline{u\alpha}) = \text{comp}_{I,\rho} u \vec{p}$
3. *uniformity*: $(\text{comp}_{I,\rho} u \vec{p})f = \text{comp}_{J,\rho f}^j u f \vec{p}f$ if $g : J \rightarrow I$ and j is not in J

for the composition operation, and

1. *regularity*: $\text{transp}_{I,\rho}^i u_0 = u_0$ if we have $\rho = \rho(i0)\iota_i$
2. *uniformity*: $(\text{transp}_{I,\rho}^i u_0)g = \text{transp}_{(J,j),\rho(g,i=j)}^j u_0 g$ if $g : J \rightarrow I - i$ and j not in J

for the transport operation, together with the dual family of operations where we swap 0 and 1.

Whenever $X \vdash B$ we can define the associated total space $X.B$ by taking $(X.B)(I)$ to be the set of pairs ρ, u with ρ in $A(I)$ and u in $B(I, \rho)$ and $(\rho, u)f = \rho f, u f$. We have a projection $p : X.B \rightarrow X$ defined by $p(\rho, u) = \rho$.

Definition of $\tilde{U}_F \rightarrow U_F$

By change of base of $p : \tilde{U} \rightarrow U$ along the projection $U_F \rightarrow U$ we get a map $\tilde{U}_F \rightarrow U_F$. Concretely, an element of $\tilde{U}_F(I)$ is given by an element A in $U(I)$, a composition and a transport operation on A , and an element of $\Gamma(A)$. This corresponds to a dependent set $U_F \vdash B$.

We define $\text{comp}_{I,A} u \vec{u}$ to be $\text{comp}_{1_I} u \vec{u}$ and $\text{transp}_{I,A}^i$ to be $\text{transp}_{1_I}^i$.

Proposition 0.2 *With these operations of composition and transport, $U_F \vdash B$ is a Kan fibration.*

Glueing operation on cubical sets

We now define an operation $\text{glue}_I A \vec{\sigma}$ in $U(I)$, for A in $U(I)$ and a system of maps σ_α in $T_\alpha \rightarrow A\alpha$, which satisfies

1. $\text{glue}_I A \vec{\sigma} = T$ if $\vec{\sigma}$ is $() \mapsto \sigma$ with $\sigma : T \rightarrow A$
2. $\text{glue}_I A \vec{\sigma} = A$ if $\vec{\sigma}$ is empty
3. uniformity: $(\text{glue}_I A \vec{\sigma})f = \text{glue}_J Af \vec{\sigma}f$ if $f : I \rightarrow J$

A system of maps $\vec{\sigma}$ is given by a sieve L in $\mathbf{S}(I)$ (as defined in Appendix I; in particular L is determined by a finite discrete set of faces on I) and a compatible family of maps $\sigma_{(J,f)}$ in $T_{(J,f)} \rightarrow Af$ indexed by (J, f) in L .

We assume that V, El is rich enough so that we can define the following operation. Given a system of element t_α in V and u in V we can form the element $\text{glue}(u, \vec{t})$ with

1. $\text{glue}(u, \vec{t}) = u$ if L is empty
2. $\text{glue}(u, \vec{t}) = t$ if L is the total sieve
3. $\text{glue}(u, \vec{t})$ is the tuple (u, \vec{t}) otherwise

We define then $(\text{glue}_I A \vec{\sigma})_{(J,f)}$ to be the set of elements $\text{glue}(u, \vec{t})$ with u in Af and \vec{t} a Lf -system compatible with u .

Any isomorphism in $\mathbf{lso}(A, T)$ defines in particular a map $T \rightarrow A$. So we can define a glueing operation $\text{glue}_I A \vec{\sigma}$ where now $\vec{\sigma}$ is a system of isomorphisms σ_α in $\mathbf{lso}(A\alpha, T_\alpha)$.

Given A and B in $U_F(I)$ we let $\mathbf{ld}(I, A, B)$ be the set of elements E in $U_F(I, i)$ satisfying $E(i0) = A$ and $E(i1) = B$, where $i = \text{fresh}(I)$. We also have defined already the set $\mathbf{lso}(I, A, B)$. This defines two dependent sets $U_F \times U_F \vdash \mathbf{ld}$ and $U_F \times U_F \vdash \mathbf{lso}$ over $U_F \times U_F$ that is two presheaves on the category of elements of $U_F \times U_F$.

Theorem 0.3 *We have a natural transformation $\mathbf{lso}(I, A, B) \rightarrow \mathbf{ld}(I, A, B)$.*

This uses the glueing operation defined above. We “lift” this glueing operation on cubical sets to an operation on Kan cubical sets. We define an operation $\text{glue}_I A \vec{\sigma}$ in $U_F(I)$, for A in $U_F(I)$ and a system of maps σ_α in $\mathbf{lso}(A\alpha, T_\alpha)$ which satisfies

1. $\text{glue}_I A \vec{\sigma} = T$ if $\vec{\sigma}$ is $() \mapsto \sigma$ with σ in $\mathbf{lso}(A, T)$
2. $\text{glue}_I A \vec{\sigma} = A$ if $\vec{\sigma}$ is empty
3. uniformity: $(\text{glue}_I A \vec{\sigma})f = \text{glue}_J Af \vec{\sigma}f$ if $f : I \rightarrow J$

This is the first main point to be formalized.

Once this operation is defined, for any isomorphism σ in $\mathbf{lso}(A, T)$ we can consider

$$\text{glue}_{I,i} A t_i [(i1) \mapsto \sigma]$$

which is an element of $\mathbf{ld}(I, A, T)$.

Appendix I: Properties of the base precategory

A map $f : J \rightarrow I$ can be seen as a map $I \rightarrow \mathbf{D}(J)$. We think of f as a substitution and can thus consider the element $f(i)$ in $\mathbf{D}(J)$ for i in I and the element ψf in $\mathbf{D}(J)$ if ψ is an element in $\mathbf{D}(I)$. We say that a map $f : J \rightarrow I$ is *strict* if $f(i)$ is neither 0 nor 1 for all i in I .

Lemma 0.4 *If $f : J \rightarrow I$ is strict and ψ in $\mathbf{D}(I)$ such that $\psi f = b$ (where b is 0 or 1) then already $\psi = b$.*

A face map $\alpha : I\alpha \rightarrow I$ is a map such that $\alpha(i)$ is either 0, 1 or i for all i in I . We write $I\alpha$ the subset of element i such that $\alpha(i) = i$, and $\text{dom}(\alpha) = I - I\alpha$ is the *domain* of α . If $\iota_\alpha : I\alpha \rightarrow I$ is the inclusion, we have $\iota_\alpha \alpha = 1$ and hence any face map α is *mono*. If $f : J \rightarrow I$ we write $f \leq \alpha$ to mean that there exists a map f' (uniquely determined) such that $f = \alpha f'$. This means that $f(i) = \alpha(i)$ for all i in the domain of α . This defines a poset structure on the set of face maps $\alpha : I\alpha \rightarrow I$ and this poset is a partial meet-semilattice: if α and β are compatible then they have a meet $\gamma = \alpha \wedge \beta$ with $I\gamma = I\alpha \cap I\beta$.

Corollary 0.5 *If $fg \leq \alpha$ and g is strict then $f \leq \alpha$.*

Proof. For any i in the domain of α we have $\alpha(i) = g(f(i))$ and so $\alpha(i) = f(i)$ since $\alpha(i) = 0$ or 1 and by Lemma 0.4. \square

Any map $f : J \rightarrow I$ can be written uniquely as the composition $f = \alpha h$ of a face map $\alpha : I\alpha \rightarrow I$ and a map $h : J \rightarrow I\alpha$ which is strict.

If i not in I we write $\iota_i : I, i \rightarrow I$ the projection $2^{I,i} \rightarrow 2^I$. If i is in I we write $(i0) : I - i \rightarrow I$ and $(i1) : I - i \rightarrow I$ the two face operation for i .

Lemma 0.6 *If we have $\alpha f = \beta g$ with $f : J \rightarrow I\alpha$ and $g : J \rightarrow I\beta$ then α and β are compatible. If γ is the meet of α and β , then there exists a unique $h : J \rightarrow I\gamma$ such that $\alpha f = \gamma h = \beta g$. If we write $\alpha\alpha_1 = \gamma = \beta\beta_1$ then $\alpha_1 f = h = \beta_1 g$.*

Systems

We define $S(I)$ to be the set of sieves L over I such that f is in L whenever fg is in L and g is strict. Such a sieve L is completely characterised by its subset of face maps $\alpha : I\alpha \rightarrow I$, and we require this subset to be decidable. This defines a cubical set S .

If A is a presheaf on the slice category \mathcal{C}/I and L in $S(I)$ a *L-system for A* is given by a family $a_{(J,f)}$ in Af for (J, f) in L such that $a_{(J,f)}g = a_{(K,fg)}$ for all $g : J \rightarrow K$.

If $f : I \rightarrow J$ and we have a *L-system* \vec{a} we define a *Lf system* $\vec{b} = \vec{a}f$ by taking $b_{(K,g)} = a_{(K,fg)}$.

A *L-system* for A is completely determined by the family $[\alpha \mapsto a_\alpha]$ for α face in L . If L is the union of M and N , and we have a *M-system* \vec{a} and a *N-system* \vec{b} that coincide on $M \cap N$ then they define a system \vec{a}, \vec{b} on the union M, N .

References

- [1] V. Voevodsky. Notes on type systems. github.com/vladimirias/old_notes_on_type_systems, 2009-2012.