

# The Total Surgery Obstruction (Lecture 37)

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Let  $X$  be a finite polyhedron,  $\zeta_X$  a spherical fibration on  $X$ , and  $R$  and  $A_\infty$ -ring with involution. Recall that we have a homotopy pullback diagram of spectra

$$\begin{array}{ccc} \mathbb{L}^q(X, \zeta_X, R) & \longrightarrow & \mathbb{L}^s(X, \zeta_X, R) \\ \downarrow & & \downarrow \\ \mathbb{L}^{vq}(X, \zeta_X, R) & \longrightarrow & \mathbb{L}^{vs}(X, \zeta_X, R), \end{array}$$

where the vertical maps are given by assembly. From now on, we will fix  $R$  to be the ring  $\mathbf{Z}$  of integers, and omit it from the notation (we could just as well take  $R$  to be the sphere spectrum). Let  $\widehat{\mathbb{L}}(X, \zeta_X)$  denote the cofibers of the horizontal maps, so that we have a diagram

$$\begin{array}{ccccc} \mathbb{L}^q(X, \zeta_X) & \longrightarrow & \mathbb{L}^s(X, \zeta_X) & \longrightarrow & \widehat{\mathbb{L}}(X, \zeta_X) \\ \downarrow & & \downarrow & & \downarrow \\ \mathbb{L}^{vq}(X, \zeta_X) & \longrightarrow & \mathbb{L}^{vs}(X, \zeta_X) & \longrightarrow & \widehat{\mathbb{L}}(X, \zeta_X). \end{array}$$

When the spherical fibration  $\zeta_X$  is trivial; we will omit it from the notation. If, in addition,  $X$  is a point, then the vertical maps are homotopy equivalences and we have a single fiber sequence

$$\mathbb{L}^q(\mathbf{Z}) \rightarrow \mathbb{L}^s(\mathbf{Z}) \rightarrow \widehat{\mathbb{L}}.$$

The upper row of the diagram above consists of functors which are excisive in  $X$ . We may therefore write  $\widehat{\mathbb{L}}(X, \zeta_X) = C_*(X, \widehat{\mathbb{L}}(\zeta_X))$ , where  $\widehat{\mathbb{L}}(\zeta_X)$  is the local system on  $X$  which assigns to each point  $x \in X$  the spectrum  $\widehat{\mathbb{L}}(\zeta_X(x))$ .

Suppose we are given a map of spaces  $i : \partial X \rightarrow X$ . We let  $\mathbb{L}^{vs}(X, \partial X, \zeta_X)$  denote the cofiber of the map  $\mathbb{L}^{vs}(\partial X, \zeta_X | \partial X) \rightarrow \mathbb{L}^{vs}(X, \zeta_X)$ , and define  $\mathbb{L}^{vq}(X, \partial X, \zeta_X)$  and  $\widehat{\mathbb{L}}(X, \partial X, \zeta_X)$  similarly. By functoriality, we obtain vertical maps fitting into a commutative diagram of spectra

$$\begin{array}{ccccc} \mathbb{L}^q(\mathbf{Z}) \wedge (X/\partial X) & \longrightarrow & \mathbb{L}^s(\mathbf{Z}) \wedge (X/\partial X) & \longrightarrow & \widehat{\mathbb{L}} \wedge (X/\partial X) \\ \downarrow & & \downarrow & & \downarrow \\ \mathbb{L}^{vq}(X, \partial X) & \longrightarrow & \mathbb{L}^{vs}(X, \partial X) & \longrightarrow & \widehat{\mathbb{L}}(X, \partial X). \end{array}$$

The right vertical map is a homotopy equivalence (by excision). If  $X$  and  $\partial X$  have the same fundamental groupoid, then the  $\pi$ - $\pi$  theorem implies that  $\mathbb{L}^{vq}(X, \partial X)$  vanishes. The above diagram then gives a canonical homotopy equivalence

$$\widehat{\mathbb{L}} \wedge (X/\partial X) \simeq \mathbb{L}^{vs}(X, \partial X).$$

In particular, we can take  $X = D^n$  and  $\partial X = S^{n-1}$  for  $n \geq 3$ , to obtain a homotopy equivalence

$$\widehat{\mathbb{L}} \simeq \Omega^n \mathbb{L}^{vs}(D^n, S^{n-1}).$$

Recall that the symmetric  $L$ -theory spectrum  $\mathbb{L}^s(\mathbf{Z})$  is an  $E_\infty$ -ring spectrum, with multiplication induced by the tensor product of chain complexes. If we have pairs of spaces  $(X, \partial X)$  and  $(Y, \partial Y)$ , there is a similar multiplication

$$\mathbb{L}^{vs}(X, \partial X) \wedge \mathbb{L}^{vs}(Y, \partial Y) \rightarrow \mathbb{L}^{vs}(X \times Y, \partial(X \times Y)),$$

where  $\partial(X \times Y)$  denotes the homotopy pushout  $(\partial X \times Y) \amalg_{\partial X \times \partial Y} (X \times \partial Y)$ . Taking  $X$  and  $Y$  to be disks of dimension  $\geq 3$ , this gives a multiplication

$$\widehat{\mathbb{L}} \wedge \widehat{\mathbb{L}} \rightarrow \widehat{\mathbb{L}}.$$

Using more elaborate reasoning along the same lines, we see that  $\widehat{\mathbb{L}}$  also has the structure of an  $E_\infty$ -ring spectrum, and that the canonical map  $\mathbb{L}^s(\mathbf{Z}) \rightarrow \widehat{\mathbb{L}}$  can be promoted to a map of  $E_\infty$ -ring spectra.

Now suppose that  $(X, \partial X)$  is a Poincaré pair with Spivak fibration  $\zeta_X$ , so that the visible symmetric signature  $\sigma_X^{vs} \in \Omega^\infty \mathbb{L}^s(X, \partial X, \zeta_X)$  is defined. Let  $\widehat{\sigma}_X$  denote the image of  $\sigma_X^{vs}$  in  $\Omega^\infty \widehat{\mathbb{L}}(X, \partial X, \zeta_X)$ .

Let us now suppose that  $\partial X = S^{n-1}$  is a sphere, and that  $X = D^n$  is the cone on  $\partial X$ . Then the Spivak bundle of  $X$  is canonically equivalent to the constant sheaf whose value is an invertible spectrum  $E$ , given by the *inverse* of  $\Sigma^\infty(X/\partial X)$ . Then  $\widehat{\mathbb{L}}(X, \partial X, \zeta_X)$  can be identified with the spectrum  $E^{-1} \wedge \widehat{\mathbb{L}}(*, E)$ . We can then identify  $\widehat{\sigma}_X$  with a map  $\widehat{\mathbb{L}}$ -modules  $\widehat{\phi} : E \wedge \widehat{\mathbb{L}} \rightarrow \widehat{\mathbb{L}}(E)$ , which is a homotopy equivalence. In Lecture 23, we discussed an analogous homotopy equivalence

$$\phi : E \wedge \mathbb{L}^s(\mathbf{Z}) \simeq \mathbb{L}^s(*, E).$$

These maps fit into a commutative diagram

$$\begin{array}{ccc} E \wedge \mathbb{L}^s(\mathbf{Z}) & \xrightarrow{\phi} & \mathbb{L}^s(*, E) \\ \downarrow & & \downarrow \\ E \wedge \widehat{\mathbb{L}} & \xrightarrow{\widehat{\phi}} & \widehat{\mathbb{L}}(*, E). \end{array}$$

However, there is an important difference: to write down the map  $\phi$ , we needed to realize the spectrum  $\mathbb{L}^s(X, \partial X, \underline{E})$  in terms of constructible sheaves on  $X$ ; the map  $\phi$  itself was given by choosing the constant sheaf on  $X$ , which is Verdier-self dual (up to a twist). Consequently,  $\phi$  is functorial with respect to PL homeomorphisms of  $X = D^n$ . However, the construction of  $\widehat{\phi}$  depends only on the realization of  $(X, \partial X)$  as a Poincaré pair, and is therefore functorial with respect to all homotopy equivalences of  $\partial X = S^{n-1}$ .

Elaborating on the above construction, we obtain the following:

**Proposition 1.** *Let  $E$  be any invertible spectrum. Then there is a canonical homotopy equivalence*

$$E \wedge \widehat{\mathbb{L}} \simeq \widehat{\mathbb{L}}(*, E).$$

Now suppose we are given a point  $\eta \in G/PL = \text{fib}(\mathbf{Z} \times \text{BPL} \rightarrow \text{Pic}(S))$ . Then  $\eta$  classifies a stable PL bundle  $\zeta$  over a point, together with a trivialization of the underlying invertible spectrum  $E$ . Using  $\zeta$ , we can write down a homotopy equivalence of  $\mathbb{L}^s$ -modules

$$\phi_\zeta : E \wedge \mathbb{L}^s(\mathbf{Z}) \rightarrow \mathbb{L}^s(*, E).$$

Since  $E$  is trivial, we can think of  $\phi_\zeta$  as an invertible map from  $\mathbb{L}^s(\mathbf{Z})$  to itself. This construction gives a map

$$G/PL \rightarrow \text{GL}_1(\mathbb{L}^s(\mathbf{Z}))$$

Since the automorphism of  $\widehat{\mathbb{L}}$  determined by  $\phi_\zeta$  depends only on the underlying spherical fibration of  $\zeta$ , this automorphism is trivial. Consequently, the composite map

$$G/PL \rightarrow \mathrm{GL}_1(\mathbb{L}^s(\mathbf{Z})) \rightarrow \mathrm{GL}_1(\widehat{\mathbb{L}})$$

is canonically nullhomotopic. We therefore obtain a map  $G/PL \rightarrow F$ , where  $F$  denotes the homotopy fiber of the map  $\mathrm{GL}_1(\mathbb{L}^s) \rightarrow \mathrm{GL}_1(\widehat{\mathbb{L}})$ . Note that  $F$  is the identity component of the space

$$L^q(\mathbf{Z}) = \Omega^\infty \mathrm{fib}(\mathbb{L}^s \rightarrow \widehat{\mathbb{L}}).$$

The above construction recovers the map  $\theta : G/PL \rightarrow L^q(\mathbf{Z})$  described in the previous lecture. Recall that this map is *almost* a homotopy equivalence: we have a fiber sequence  $K(\mathbf{Z}/2\mathbf{Z}, 3) \rightarrow G/PL \rightarrow L^q(\mathbf{Z})$ .

Now let  $X$  be any finite polyhedron and  $\zeta_X$  any spherical fibration on  $X$ . Using excision and Proposition 1, we obtain homotopy equivalences

$$\widehat{\mathbb{L}}(X, \zeta_X) \simeq C_*(X; \widehat{\mathbb{L}}(\zeta_X)) \simeq C_*(X; \zeta_X \wedge \widehat{\mathbb{L}}).$$

Here  $\widehat{\mathbb{L}}(\zeta_X)$  denotes the local system on  $X$  which assigns to each point  $x \in X$  the spectrum  $\widehat{\mathbb{L}}(\{x\}, \zeta_X(x))$ . In the special case where  $X$  is a Poincare space and  $\zeta_X$  is its Spivak bundle, we obtain

$$\widehat{\mathbb{L}}(X, \zeta_X) \simeq C^*(X; \widehat{\mathbb{L}}).$$

Under this homotopy equivalence, the point  $\widehat{\sigma}_X \in \Omega^\infty \widehat{\mathbb{L}}(X, \zeta_X)$  corresponds to the global section of  $\widehat{\mathbb{L}}$  given by the unit of  $\widehat{\mathbb{L}}$ .

A similar calculation gives  $\mathbb{L}^s(X, \zeta_X) \simeq C^*(X; \zeta_X^{-1} \wedge \mathbb{L}^s(\zeta_X))$ , where  $\mathbb{L}^s(\zeta_X)$  denotes the local system on  $X$  which assigns to each point  $x \in X$  the spectrum  $\mathbb{L}^s(\{x\}, \zeta_X(x))$ . In other words, we can identify  $\mathbb{L}^s(X, \zeta_X)$  with the  $\mathrm{Mor}(\zeta_X \wedge \mathbb{L}^s(\mathbf{Z}), \mathbb{L}^s(\zeta_X))$  in the  $\infty$ -category of local systems of  $\mathbb{L}^s(\mathbf{Z})$ -modules on  $X$ . Let  $\mathbb{L}^s(X, \zeta_X)^\times$  denote the subspace of  $\Omega^\infty \mathbb{L}^s(X, \zeta_X)$  corresponding to *isomorphisms*  $\zeta_X \wedge \mathbb{L}^s(\mathbf{Z}) \rightarrow \mathbb{L}^s(\zeta_X)$ . We have a map

$$\mathbb{L}^s(X, \zeta_X)^\times \rightarrow \Omega^\infty \widehat{\mathbb{L}}(X, \zeta_X).$$

The homotopy fiber of this map over  $\widehat{\sigma}_X$  can be identified with the space of sections of a fibration  $X' \rightarrow X$ , having fiber  $F = \mathrm{fib}(\mathrm{GL}_1(\mathbb{L}^s(\mathbf{Z})) \rightarrow \mathrm{GL}_1(\widehat{\mathbb{L}}))$ .

Any stable PL structure on the bundle  $\zeta_X$  gives an isomorphism of local systems of  $\mathbb{L}^s(\mathbf{Z})$ -modules  $\zeta_X \wedge \mathbb{L}^s(\mathbf{Z}) \rightarrow \mathbb{L}^s(\zeta_X)$ , corresponding to a point of  $\mathbb{L}^s(X, \zeta_X)^\times \times_{\Omega^\infty \widehat{\mathbb{L}}(X, \zeta_X)} \{\widehat{\sigma}_X\}$ . The collection of such PL structures is classified by the space of sections of a fibration  $X \times_{\mathrm{Pic}(S)} (\mathbf{Z} \times \mathrm{BPL}) \rightarrow X$ , having fiber  $G/PL$ . We have a map of spaces over  $X$

$$X \times_{\mathrm{Pic}(S)} (\mathbf{Z} \times \mathrm{BPL}) \rightarrow X'$$

having homotopy fiber  $K(\mathbf{Z}/2\mathbf{Z}, 3)$ . Consequently, every section  $s$  of the map  $X' \rightarrow X$  determines a fibration  $Y \rightarrow X$  with fiber  $K(\mathbf{Z}/2\mathbf{Z}, 3)$ , where  $Y = X \times_{X'} (X \times_{\mathrm{Pic}(S)} (\mathbf{Z} \times \mathrm{BPL}))$ . This fibration is classified by a map  $X \rightarrow K(\mathbf{Z}/2\mathbf{Z}, 4)$ , which depends on the choice of section  $s$ . We therefore obtain a map

$$\mathbb{L}^s(X, \zeta_X)^\times \times_{\Omega^\infty \widehat{\mathbb{L}}(X, \zeta_X)} \{\widehat{\sigma}_X\} \rightarrow K(\mathbf{Z}/2\mathbf{Z}, 4)^X,$$

whose fiber can be identified with the structure space  $\mathbb{S}^{tn}(X)$ .

Let  $\mathbb{S}'(X)$  denote the homotopy fiber product  $\mathbb{L}^s(X, \zeta_X)^\times \times_{\Omega^\infty \mathbb{L}^{vs}(X, \zeta_X)} \{\sigma_X^{vs}\}$ . Recall that if  $M$  is a manifold equipped with a homotopy equivalence  $f : M \rightarrow X$ , then  $f$  determines a lifting of  $\sigma_X^{vs}$ , giving a point of  $\mathbb{S}'(X)$ . Elaborating on this construction, we get a map  $\mathbb{S}(X) \rightarrow \mathbb{S}'(X)$ , which fits into a commutative diagram

$$\begin{array}{ccccc} \mathbb{S}(X) & \longrightarrow & \mathbb{S}^n(X) & \longrightarrow & \Omega^\infty \mathbb{L}^{vq}(X, \zeta_X) \\ \downarrow & & \downarrow & & \downarrow \\ \mathbb{S}'(X) & \longrightarrow & \mathbb{L}^s(X, \zeta_X)^\times \times_{\Omega^\infty \widehat{\mathbb{L}}(X, \zeta_X)} \{\widehat{\sigma}_X\} & \longrightarrow & \Omega^\infty \mathbb{L}^{vq}(X, \zeta_X). \end{array}$$

Assume that the dimension of  $X$  is at least 5. The main theorem of this course asserts that the upper row is a fiber sequence, and the bottom row is obviously a fiber sequence. Since the right vertical map is a homotopy equivalence, we see that the square on the left is homotopy Cartesian. Combining this with the above analysis, we obtain:

**Theorem 2.** *Let  $X$  be a Poincare space of dimension  $\geq 5$ . Then we have a fiber sequence of spaces*

$$\mathbb{S}(X) \rightarrow \mathbb{S}'(X) \rightarrow K(\mathbf{Z}/2\mathbf{Z}, 4)^X,$$

where  $\mathbb{S}'(X) = \mathbb{L}^s(X, \zeta_X)^\times \times_{\Omega^\infty \mathbb{L}^{vs}(X, \zeta_X)} \{\sigma_X^{vs}\}$ .

**Remark 3.** It is possible to prove the main results of this course in the setting of topological, rather than piecewise linear manifolds. However, things work slightly differently in low degrees: we actually get a homotopy equivalence from  $G/\text{Top}$  to the base point component of  $L^q(\mathbf{Z})$ . The analysis above shows that  $\mathbb{S}'(X)$  can be identified with the *topological* structure space of  $X$ , parametrizing  $h$ -cobordism classes of compact topological manifolds in the homotopy type of  $X$ . The map  $\psi : \mathbb{S}'(X) \rightarrow K(\mathbf{Z}/2\mathbf{Z}, 4)^X$  is a version of the *Kirby-Siebenmann obstruction*: it assigns to every topological manifold  $M$  of dimension  $\geq 5$  a cohomology class  $\eta \in H^4(M; \mathbf{Z}/2\mathbf{Z})$ , which vanishes if and only if  $M$  admits a PL structure.