

# Lecture 19X-Sheaves on Stone $\mathcal{C}$

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Let  $\mathcal{C}$  be an essentially small pretopos, which we regard as fixed throughout this lecture. In Lecture 15X, we constructed a fully faithful embedding

$$\mathrm{Shv}(\mathcal{C}) \hookrightarrow \mathrm{Shv}(\mathrm{Pro}(\mathcal{C})) \simeq \mathrm{Shv}(\mathrm{Pro}^{\mathrm{wp}}(\mathcal{C})) \simeq \mathrm{Shv}(\mathrm{Stone}_{\mathcal{C}}) \subseteq \mathrm{Fun}(\mathrm{Stone}_{\mathcal{C}}^{\mathrm{op}}, \mathrm{Set}).$$

The essential image of this embedding consists of those functors  $\mathcal{F} : \mathrm{Stone}_{\mathcal{C}}^{\mathrm{op}} \rightarrow \mathrm{Set}$  which commute with filtered colimits and are sheaves with respect to the Grothendieck topology of Lecture 18X. Any such functor must satisfy the following condition:

- (a) The functor  $\mathcal{F} : \mathrm{Stone}_{\mathcal{C}}^{\mathrm{op}} \rightarrow \mathrm{Set}$  preserves finite products: that is, it carries finite coproducts in  $\mathrm{Stone}_{\mathcal{C}}$  to finite products in the category of sets.

In Lecture 17X, we proved that if  $\mathcal{F}$  is a functor satisfying (a), then it commutes with filtered colimits if and only if it satisfies the following additional conditions:

- (b) For every object  $(X, \mathcal{O}_X) \in \mathrm{Stone}_{\mathcal{C}}$  and every point  $x \in X$ , the canonical map

$$\varinjlim_{x \in U} \mathcal{F}(U, \mathcal{O}_X|_U) \rightarrow \mathcal{F}(\{x\}, \mathcal{O}_{X,x})$$

is bijective; here the colimit is taken over all clopen neighborhoods  $U \subseteq X$  of the point  $x$ .

- (c) The composite functor

$$\mathrm{Mod}(\mathcal{C}) \hookrightarrow \mathrm{Stone}_{\mathcal{C}}^{\mathrm{op}} \xrightarrow{\mathcal{F}} \mathrm{Set}$$

commutes with filtered colimits.

Our goal in this section is to characterize those functors  $\mathcal{F} : \mathrm{Stone}_{\mathcal{C}}^{\mathrm{op}} \rightarrow \mathrm{Set}$  which are sheaves. It is easy to see that if  $\mathcal{F}$  is a sheaf, then it must satisfy condition (a) above. We will prove the following partial converse:

**Theorem 1.** *Let  $\mathcal{F} : \mathrm{Stone}_{\mathcal{C}}^{\mathrm{op}} \rightarrow \mathrm{Set}$  be a functor satisfying conditions (a) and (b). Then  $\mathcal{F}$  is a sheaf if and only if it satisfies the following further condition:*

- (d) *For every elementary morphism  $f : M \rightarrow N$  in  $\mathrm{Mod}(\mathcal{C})$ , we have an equalizer diagram*

$$\mathcal{F}(M) \rightarrow \mathcal{F}(N) \rightrightarrows \prod \mathcal{F}(P)$$

*where the product is taken over all commutative diagrams*

$$M \xrightarrow{f} N \rightrightarrows P$$

*in  $\mathrm{Mod}(\mathcal{C})$ .*

Here we identify  $\text{Mod}(\mathcal{C})$  with the full subcategory of  $\text{Stone}_{\mathcal{C}}^{\text{op}}$  spanned by those pairs  $(X, \mathcal{O}_X)$ , where  $X$  is a singleton.

**Warning 2.** In the formulation of condition (d), the product  $\prod \mathcal{F}(P)$  is an ill-defined object, because it is indexed by a proper class. However, the equalizer of the diagram  $\mathcal{F}(N) \rightrightarrows \prod \mathcal{F}(P)$  is still well-defined as a subset of  $\mathcal{F}(N)$ .

**Remark 3.** Condition (d) is equivalent to the requirement that the restriction  $\mathcal{F}|_{\text{Mod}(\mathcal{C})}$  is a sheaf on the category  $\text{Mod}(\mathcal{C})^{\text{op}}$ , where we consider a collection of morphisms  $\{M \rightarrow N_i\}_{i \in I}$  in  $\text{Mod}(\mathcal{C})$  to be a covering in  $\text{Mod}(\mathcal{C})^{\text{op}}$  if at least one of the maps  $M \rightarrow N_i$  is elementary (it follows from the amalgamation property of the previous lecture that this notion of covering defines a Grothendieck topology on  $\text{Mod}(\mathcal{C})^{\text{op}}$ ).

**Corollary 4.** The topos  $\text{Shv}(\mathcal{C})$  can be identified with the full subcategory of  $\text{Fun}(\text{Stone}_{\mathcal{C}}^{\text{op}}, \text{Set})$  spanned by those functors  $\mathcal{F}$  which satisfy conditions (a), (b), (c) and (d) above.

Before giving the proof of Theorem 1, it will be convenient to revisit a construction from Lecture 17X, which gives a convenient reformulation of conditions (a) and (b).

**Notation 5.** Let  $(X, \mathcal{O}_X)$  be an object of  $\text{Stone}_{\mathcal{C}}$ , and let  $\mathcal{F} : \text{Stone}_{\mathcal{C}}^{\text{op}} \rightarrow \text{Set}$  be a functor. Let  $\mathcal{U}_0(X)$  denote the collection of all clopen subsets of  $X$ . We define a functor

$$\mathcal{F}(\mathcal{O}_X) : \mathcal{U}_0(X)^{\text{op}} \rightarrow \text{Set}$$

by the formula

$$\mathcal{F}(\mathcal{O}_X)(U) = \mathcal{F}(U, \mathcal{O}_X|_U).$$

Note that the functor  $\mathcal{F}$  satisfies condition (a) above if and only if, for every object  $(X, \mathcal{O}_X) \in \text{Stone}_{\mathcal{C}}$ , the functor  $\mathcal{F}(\mathcal{O}_X) : \mathcal{U}_0(X)^{\text{op}} \rightarrow \text{Set}$  carries disjoint unions in  $\mathcal{U}_0(X)$  to products in  $\text{Set}$ . In this case,  $\mathcal{F}(\mathcal{O}_X)$  extends uniquely to a sheaf of sets on  $X$ , which we will also denote by  $\mathcal{F}(\mathcal{O}_X)$ .

By construction, the stalk of  $\mathcal{F}(\mathcal{O}_X)$  at a point  $x \in X$  is given by the direct limit  $\varinjlim_{x \in U} \mathcal{F}(U, \mathcal{O}_X|_U)$ . We therefore have a canonical map  $\mathcal{F}(\mathcal{O}_X)_x \rightarrow \mathcal{F}(\mathcal{O}_{X,x})$  (here we abuse notation by identifying the model  $\mathcal{O}_{X,x}$  with the object  $(\{x\}, \mathcal{O}_{X,x}) \in \text{Stone}_{\mathcal{C}}$ ). Condition (b) can then be restated as follows:

(b') For each  $(X, \mathcal{O}_X) \in \text{Stone}_{\mathcal{C}}$  and each point  $x \in X$ , the map  $\mathcal{F}(\mathcal{O}_X)_x \rightarrow \mathcal{F}(\mathcal{O}_{X,x})$  is a bijection.

**Remark 6.** Let  $(Y, \mathcal{O}_Y)$  be an object of  $\text{Stone}_{\mathcal{C}}$  and suppose we are given a map of Stone spaces  $f : X \rightarrow Y$ , so that  $f^* \mathcal{O}_Y$  is an  $X$ -model of  $\mathcal{C}$ . If  $\mathcal{F} : \text{Stone}_{\mathcal{C}}^{\text{op}} \rightarrow \text{Set}$  is a functor satisfying condition (a), then Notation 5 determines set-valued sheaves

$$\mathcal{F}(\mathcal{O}_Y) \in \text{Shv}(Y) \quad \mathcal{F}(f^* \mathcal{O}_Y) \in \text{Shv}(X)$$

together with a comparison map  $f^* \mathcal{F}(\mathcal{O}_Y) \rightarrow \mathcal{F}(f^* \mathcal{O}_Y)$  in  $\text{Shv}(X)$ . If  $\mathcal{F}$  satisfies condition (b), then this comparison map is an isomorphism of sheaves on  $X$  (this can be checked on stalks, where it follows from (b')).

**Remark 7.** Let  $\mathcal{F} : \text{Stone}_{\mathcal{C}}^{\text{op}} \rightarrow \text{Set}$  be a functor satisfying (a) and (b). Then, for each  $(X, \mathcal{O}_X) \in \text{Stone}_{\mathcal{C}}$ , the canonical map

$$\mathcal{F}(X, \mathcal{O}_X) \rightarrow \prod_{x \in X} \mathcal{F}(\{x\}, \mathcal{O}_{X,x})$$

is injective. This follows from the fact that a section  $s$  of the sheaf  $\mathcal{F}(\mathcal{O}_X) \in \text{Shv}(X)$  is determined by its stalks  $\{s_x\}_{x \in X}$ .

We can now prove the “easy” direction of Theorem 1. Let

$$\mathcal{F} : \text{Stone}_{\mathcal{C}}^{\text{op}} \rightarrow \text{Set}$$

be a sheaf (so that it satisfies condition (a)), and suppose that  $\mathcal{F}$  also satisfies condition (b). We wish to show that it satisfies condition (d). Suppose we are given an elementary morphism  $M \rightarrow N$  in  $\text{Mod}(\mathcal{C})$ . Then the induced map

$$(*, N) \rightarrow (*, M)$$

is a covering in  $\text{Stone}_{\mathcal{C}}$  (see Lecture 18X). It follows that the canonical map  $\mathcal{F}(M) \rightarrow \mathcal{F}(N)$  is injective, and that its image consists of those elements  $s \in \mathcal{F}(N)$  which satisfy the following condition:

- (\*) For every object  $(X, \mathcal{O}_X) \in \text{Stone}_{\mathcal{C}}$  equipped with a pair of maps  $(X, \mathcal{O}_X) \rightrightarrows (*, N)$  which are coequalized by  $(*, N) \rightarrow (*, M)$ , the element  $s$  belongs to the equalizer  $\text{Eq}(\mathcal{F}(N) \rightrightarrows \mathcal{F}(X, \mathcal{O}_X))$ .

To verify (d), we must show that it suffices to check the criterion of (\*) in the case where  $X$  is a single point. This follows from the injectivity of the map  $\mathcal{F}(X, \mathcal{O}_X) \rightarrow \prod_{x \in X} \mathcal{F}(\{x\}, \mathcal{O}_{X,x})$  (Remark 7).

We now tackle the hard direction. Assume that  $\mathcal{F} : \text{Stone}_{\mathcal{C}}^{\text{op}} \rightarrow \text{Set}$  satisfies conditions (a), (b), and (d); we wish to show that  $\mathcal{F}$  is a sheaf. Choose a covering  $\{(X_i, \mathcal{O}_{X_i}) \rightarrow (X, \mathcal{O}_X)\}_{i \in I}$  in the category  $\text{Stone}_{\mathcal{C}}$ . For every pair  $i, j \in I$ , we can identify  $(X_i, \mathcal{O}_{X_i})$ ,  $(X_j, \mathcal{O}_{X_j})$ , and  $(X, \mathcal{O}_X)$  with weakly projective pro-objects  $\Gamma(X_i; \mathcal{O}_{X_i})$ ,  $\Gamma(X_j; \mathcal{O}_{X_j})$ , and  $\Gamma(X; \mathcal{O}_X)$ . We can then form the fiber product

$$\Gamma(X_i; \mathcal{O}_{X_i}) \times_{\Gamma(X; \mathcal{O}_X)} \Gamma(X_j; \mathcal{O}_{X_j})$$

in  $\text{Pro}(\mathcal{C})$ . This fiber product might not be weakly projective. However, if we can choose a *covering* by a weakly projective pro-object, which we can then write in the form  $\Gamma(X_{ij}, \mathcal{O}_{X_{ij}})$  for some  $(X_{ij}, \mathcal{O}_{X_{ij}}) \in \text{Stone}_{\mathcal{C}}$ . In order to show that  $\mathcal{F}$  is a sheaf, we must verify that the diagram

$$\mathcal{F}(X, \mathcal{O}_X) \rightarrow \prod_i \mathcal{F}(X_i, \mathcal{O}_{X_i}) \rightrightarrows \prod_{i,j} \mathcal{F}(X_{ij}, \mathcal{O}_{X_{ij}})$$

is an equalizer diagram in the category of sets. Since every covering admits a finite subcover, it suffices to check this in the case where the set  $I$  is finite. In this case, we can form the coproducts

$$(Y, \mathcal{O}_Y) = \coprod_{i \in I} (X_i, \mathcal{O}_{X_i}) \quad (Z, \mathcal{O}_Z) = \coprod_{i,j \in I} (X_{ij}, \mathcal{O}_{X_{ij}}).$$

Using condition (a), we are reduced to showing that the diagram

$$\mathcal{F}(X, \mathcal{O}_X) \rightarrow \mathcal{F}(Y, \mathcal{O}_Y) \rightrightarrows \mathcal{F}(Z, \mathcal{O}_Z)$$

is an equalizer diagram of sets.

Let  $\mathcal{G}$  denote the direct image of  $\mathcal{F}(\mathcal{O}_Y) \in \text{Shv}(Y)$  along the projection map  $Y \rightarrow X$ , and let  $\mathcal{H}$  denote the direct image of  $\mathcal{F}(\mathcal{O}_Z)$  along the projection  $Z \rightarrow X$ . We then have a commutative diagram

$$\mathcal{F}(\mathcal{O}_X) \rightarrow \mathcal{G} \rightrightarrows \mathcal{H}$$

in  $\text{Shv}(X)$ , and we wish to show that it becomes an equalizer diagram in  $\text{Set}$  after taking global sections. To prove this, it will suffice to show that the above diagram is an equalizer in  $\text{Shv}(X)$ . This can be checked stalkwise: that is, we are reduced to showing that the map

$$\mathcal{F}(\mathcal{O}_X)_x \rightarrow \mathcal{G}_x \rightrightarrows \mathcal{H}_x$$

is an equalizer diagram of sets, for each point  $x \in X$ . Let  $Y_x \subseteq Y$  and  $Z_x \subseteq Z$  denote the inverse images of  $x$ . Using (b) (in the form of Remark 7), we are reduced to showing that the diagram

$$\mathcal{F}(\{x\}, \mathcal{O}_{X,x}) \xrightarrow{\psi} \mathcal{F}(Y_x, \mathcal{O}_Y|_{Y_x}) \rightrightarrows \mathcal{F}(Z_x, \mathcal{O}_Z|_{Z_x})$$

is an equalizer diagram of sets.

Since the map  $(Y, \mathcal{O}_Y) \rightarrow (X, \mathcal{O}_X)$  is a covering, Lecture 18X shows that we can choose a point  $y \in Y_x$  for which the map of stalks  $\mathcal{O}_{X,x} \rightarrow \mathcal{O}_{Y,y}$  is elementary. Using condition (d), we deduce that the composite map

$$\mathcal{F}(\{x\}, \mathcal{O}_{X,x}) \xrightarrow{\psi} \mathcal{F}(Y_x, \mathcal{O}_Y |_{Y_x}) \rightarrow \mathcal{F}(\{y\}, \mathcal{O}_{Y,y})$$

is injective, so that  $\psi$  is injective. We will complete the proof by showing that every element  $s$  of the equalizer

$$\text{Eq}(\mathcal{F}(Y_x, \mathcal{O}_Y |_{Y_x}) \rightrightarrows \mathcal{F}(Z_x, \mathcal{O}_Z |_{Z_x}))$$

belongs to the image of  $\psi$ . Let  $s_y \in \mathcal{F}(\{y\}, \mathcal{O}_{Y,y})$  denote the stalk of  $s$  at the point  $y$ . We first claim that  $s_y$  belongs to the image of the composite map

$$\mathcal{F}(\{x\}, \mathcal{O}_{X,x}) \xrightarrow{\psi} \mathcal{F}(Y_x, \mathcal{O}_Y |_{Y_x}) \rightarrow \mathcal{F}(\{y\}, \mathcal{O}_{Y,y}).$$

By virtue of (d), it will suffice to prove the following:

(\*) Given a model  $P \in \text{Mod}(\mathcal{C})$  and a commutative diagram of models

$$\mathcal{O}_{X,x} \rightarrow \mathcal{O}_{Y,y} \rightrightarrows P,$$

the stalk  $s_y$  belongs to the equalizer  $\text{Eq}(\mathcal{F}(\mathcal{O}_{Y,y}) \rightrightarrows \mathcal{F}(P))$ .

Let us identify  $P$  with an object of  $\text{Pro}(\mathcal{C})$ , and form a pullback diagram

$$\begin{array}{ccc} \tilde{P} & \longrightarrow & \Gamma(Z; \mathcal{O}_Z) \\ \downarrow & & \downarrow \\ P & \longrightarrow & \Gamma(Y; \mathcal{O}_Y) \times_{\Gamma(X; \mathcal{O}_X)} \Gamma(Y; \mathcal{O}_Y). \end{array}$$

in  $\text{Pro}(\mathcal{C})$ . Here the right vertical map is an effective epimorphism, so the left vertical map is an effective epimorphism as well. The object  $\tilde{P}$  might not be weakly projective. However, we can choose an effective epimorphism  $Q \rightarrow \tilde{P}$ , where  $Q$  is weakly projective. We can then write  $Q = \Gamma(W, \mathcal{O}_W)$ , for some object  $(W, \mathcal{O}_W)$  in  $\text{Stone}_{\mathcal{C}}$ . By construction, the map  $(W, \mathcal{O}_W) \rightarrow (*, P)$  is a covering in  $\text{Stone}_{\mathcal{C}}$ . Using Lecture 18X, we see that there exists a point  $w \in W$  for which the map of models  $P \rightarrow \mathcal{O}_{W,w}$  is elementary. Using condition (d), we see that the map  $\mathcal{F}(P) \rightarrow \mathcal{F}(\mathcal{O}_{W,w})$  is injective. Consequently, to verify (\*), we are free to replace  $P$  by  $\mathcal{O}_{W,w}$ . Let  $z \in Z$  denote the image of  $w$  under the map  $(W, \mathcal{O}_W) \rightarrow (Z, \mathcal{O}_Z)$  in  $\text{Stone}_{\mathcal{C}}$ , so that the diagram of (\*) refines to a diagram

$$\mathcal{O}_{X,x} \rightarrow \mathcal{O}_{Y,y} \rightrightarrows \mathcal{O}_{Z,z} \rightarrow P.$$

We are therefore reduced to showing that  $s_y$  belongs to the equalizer  $\text{Eq}(\mathcal{F}(\mathcal{O}_{Y,y}) \rightrightarrows \mathcal{F}(\mathcal{O}_{Z,z}))$ , which follows from our assumption that  $s \in \text{Eq}(\mathcal{F}(Y_x, \mathcal{O}_Y |_{Y_x}) \rightrightarrows \mathcal{F}(Z_x, \mathcal{O}_Z |_{Z_x}))$ .

The above argument shows that we can write  $s_y$  as the image of an element  $\bar{s} \in \mathcal{F}(\{x\}, \mathcal{O}_{X,x})$ . We will complete the proof by showing that  $\psi(\bar{s}) = s$ . For this, it will suffice to show that  $\psi(\bar{s})$  and  $s$  have the same image in the stalk  $\mathcal{F}(\{y'\}, \mathcal{O}_{Y,y'})$  for each point  $y'$  in the fiber  $Y_x$ . Using the amalgamation property of the previous lecture, we see that there exists a commutative diagram of models  $\sigma$  :

$$\begin{array}{ccc} \mathcal{O}_{X,x} & \longrightarrow & \mathcal{O}_{Y,y} \\ \downarrow & & \downarrow \\ \mathcal{O}_{Y,y'} & \longrightarrow & N \end{array}$$

where the bottom horizontal map is elementary. As above, we can form a pullback diagram

$$\begin{array}{ccc}
\tilde{N} & \longrightarrow & \Gamma(Z; \mathcal{O}_Z) \\
\downarrow & & \downarrow \\
N & \longrightarrow & \Gamma(Y; \mathcal{O}_Y) \times_{\Gamma(X; \mathcal{O}_X)} \Gamma(Y; \mathcal{O}_Y)
\end{array}$$

in  $\text{Pro}(\mathcal{C})$  and choose an effective epimorphism  $\Gamma(V, \mathcal{O}_V) \rightarrow \tilde{N}$  for some  $(V, \mathcal{O}_V) \in \text{Stone}_{\mathcal{C}}$ . The map  $(V, \mathcal{O}_V) \rightarrow (*, N)$  is a covering, so there exists some point  $v \in V$  for which the map of models  $N \rightarrow \mathcal{O}_{V,v}$  is elementary. Our assumption that  $s$  belongs to the equalizer  $\text{Eq}(\mathcal{F}(Y_x, \mathcal{O}_Y|_{Y_x}) \rightrightarrows \mathcal{F}(Z_x, \mathcal{O}_Z|_{Z_x}))$  then implies that the stalks  $s_y = \psi(\bar{s})_y$  and  $s_{y'}$  have the same image in  $\mathcal{F}(\{v\}, \mathcal{O}_{V,v})$ . It follows that  $\psi(\bar{s})_{y'}$  and  $s_{y'}$  have the same image in  $\mathcal{F}(\{v\}, \mathcal{O}_{V,v})$ . Since the composite map  $\mathcal{O}_{Y,y'} \rightarrow N \rightarrow \mathcal{O}_{V,v}$  is elementary, assumption (d) guarantees the injectivity of  $\mathcal{F}(\{y\}, \mathcal{O}_{Y,y'}) \rightarrow \mathcal{F}(\{v\}, \mathcal{O}_{V,v})$ , so that we must have  $\psi(\bar{s})_{y'} = s_{y'}$ , as desired.