

PCP - Lecture 8

* Linearity Testing
* $NP \subseteq PCP[poly, 1]$

Note Title

4/15/2008

Our next goal in the course is to prove

$$NP \subseteq PCP \left[\begin{array}{c} \uparrow \\ \text{proximity} \end{array} \text{poly}, \textcircled{1} \right]$$

Note the constant query
+ binary alphabet

We will be able to use this verifier in a composition scheme to prove the PCP thm.

First however, we show a verifier not for NP but for a simpler language - consisting of all linear functions in n variables over $\{0,1\}$

Linearity Testing

Given $f: \{0,1\}^n \rightarrow \{0,1\}$

We wish to test whether f is linear. Making few queries to f .

Def: $f: \{0,1\}^n \rightarrow \{0,1\}$ is linear if
 $\forall x, y \in \{0,1\}^n \quad f(x) + f(y) = f(x+y)$ (addition modulo 2)
(of course, this def extends to any group G replacing $\{0,1\}$.)

Claim: f is linear iff $\exists a \in \{0,1\}^n$ s.t. $f(x) = \sum_i a_i x_i \pmod{2}$.

Proof: (\Leftarrow) clear. For (\Rightarrow): let $a_i = f(e_i)$ for $e_i = (0, \dots, 0, \overset{i}{1}, 0, \dots)$.
and the claim follows by linearity.

Clearly, # queries is at least 3. We now show a 3-query test:

BLR Test: Choose random $x, y \in \{0,1\}^n$.

$$\text{Test } f(x) + f(y) = f(x+y)$$

Clearly if f linear $\Rightarrow \Pr(\text{success}) = 1$.

What happens if f is not linear? Need to talk about distance

Def: Let $f, g: \{0,1\}^n \rightarrow \{0,1\}$, denote $\text{dist}(f, g) = \Pr[f(x) \neq g(x)]$

f, g are δ -far if $\text{dist}(f, g) \geq \delta$, δ -close if $\text{dist}(f, g) \leq \delta$

f is δ -far from linear if it is δ -far from all linear g .

Theorem: If f is δ -far from linear,

$$\text{then } \Pr[\text{Rejects } f] \geq \min\left(\frac{2}{9}, \frac{\delta}{2}\right) \geq \frac{2}{9}\delta$$

Comments: * "special case" of low degree test. Preceded it historically.

* can be extended to groups homomorphism testing.

\exists groups for which $\frac{2}{9}$ is tight!

* for our case, $\{0,1\}$, can prove, via Fourier analysis that $\Pr[\text{Rejects}] \geq \delta$.

let $f: \mathbb{Z}_9^n \rightarrow \mathbb{Z}_9$. $f(u) = 3k$ if $u_1 = 3k, 3k-1, 3k+1$

prove $\text{Pr}[\text{Rejects } f] = \frac{2}{9}$

$\text{Dist}(f, \text{lin}) = \frac{2}{9}$.

Proof: Note $f(x)+f(y) \neq f(x+y)$ iff $x, y = 1 \pmod{3}$ or $x, y = -1 \pmod{3}$
 this happens w. prob. $2/9$.
 $\text{Dist}(f, \text{Lin}) = 2/3; \dots$

Proof of Theorem: we use a correction to majority argument.

Idea: Define a "corrected" version of f , g :

For each x consider $f(y)+f(x+y)$ for all y .

Define $g(x) = 1$ if $\Pr_y[f(y)+f(x+y) = 1] \geq \frac{1}{2}$, and $g(x) = 0$ otherwise.

Also let $P_x = \Pr_y[f(y)+f(x+y) = g(x)]$. Clearly $\frac{1}{2} \leq P_x \leq 1$.

Claim 1: $\Pr[T \text{ rejects } f] \geq \frac{1}{2} \cdot \text{dist}(g, f)$

Proof:

$$\Pr[T_{\text{rej}}] = \underbrace{\Pr[g \neq f]}_{\geq \delta/2} \underbrace{\Pr[T_{\text{rej}} | g \neq f]}_{\geq \frac{1}{2}} + \Pr[g=f] \Pr[T_{\text{rej}} | g=f] \quad \square$$

Claim 2: If $\Pr(T_{\text{rej}}) < \frac{2}{9}$ then $\forall x \quad P_x \geq \frac{2}{3}$.

Proof: $\Pr_{y,z}[f(y)+f(x+y) = f(z)+f(x+z)] = (P_x)^2 + (1-P_x)^2$

$$\text{rearranging} = \Pr \left[\underbrace{f(y)+f(z)}_{=f(y+z) \text{ w. prob } \geq \frac{7}{9}} = \underbrace{f(x+y)+f(x+z)}_{=f(y+z) \text{ w. prob } \geq \frac{7}{9}} \right] > \frac{5}{9}$$

$$\text{so } (P_x)^2 + (1-P_x)^2 > \frac{5}{9} \implies P_x > \frac{2}{3} \quad \square$$

Claim 3: g is linear.

Proof:

$$\begin{array}{ccc}
 g(x) + g(y) & & g(x+y) \\
 \swarrow \quad \searrow & & \swarrow \quad \searrow \\
 f(z) \quad f(x+z) & f(z) \quad f(z+y) & f(z+x) \quad f(z-y) \\
 \underbrace{\hspace{2cm}} & \underbrace{\hspace{2cm}} & \underbrace{\hspace{2cm}} \\
 \text{for } > \frac{2}{3} \text{ z's} & \text{for } > \frac{2}{3} \text{ z's} & \text{for } > \frac{2}{3} \text{ z's}
 \end{array}$$

$\exists z^*$ for which all three hold. \Rightarrow

$$\underbrace{f(z^*) + f(x+z^*)}_{g(x)} + \underbrace{f(z^*) + f(y+z^*)}_{g(y)} = \underbrace{f(x+z^*) + f(z^*+y)}_{g(x+y)}$$

□

Conclusion: $\text{Prob}[T \text{ rejects}]$ is either $\geq \frac{2}{9}$ or

$$\text{Prob}[T \text{ rejects}] \geq \frac{\delta}{2} = \frac{\text{dist}(f, g)}{2} \geq \frac{\text{dist}(f, L_{\text{lin}})}{2}$$

g linear

Def: The Hadamard Code maps to each $a \in \{0, 1\}^h$ the linear function $L_a: \{0, 1\}^h \rightarrow \{0, 1\}$ defined by $L_a(x) = \sum_i a_i x_i \pmod{2}$.

It is an error correcting code $H: \{0, 1\}^h \rightarrow \{0, 1\}^{2^h}$ such that

- (a) $\forall a \neq b \quad \text{dist}(L_a, L_b) = \frac{1}{2} \cdot 2^h$. (relative distance = $\frac{1}{2}$)
- (b) Its rate is logarithmic (few bits are mapped to N bits)
- (c) It is locally testable with 3 queries.

it is a Locally Testable Code.

Research Question: Are there LTC's with good rate (linear?)

Thm #2: $\text{Prob}(T \text{ rejects } f) \geq \text{dist}(f, \text{Lin})$

Proof #2: (based on Fourier Analysis)

[we switch notation $0 \rightarrow 1$ $1 \rightarrow -1$ $a \rightarrow (-1)^a$]

so a linear function is now $f(x) \cdot f(y) = f(xy)$ pointwise

Fix a function $f: \{\pm 1\}^n \rightarrow \{\pm 1\} \subseteq \mathbb{R}$. The space of all functions $f \in \mathbb{R}^{\{\pm 1\}^n}$ is a vector space.

The standard basis is $\{e_w\}_{w \in \{\pm 1\}^n}$: $e_w(x) = \begin{cases} 1 & x=w \\ 0 & \text{otherwise} \end{cases}$

Another basis is the following

$\forall S \subseteq [n]$ let $\chi_S(x_1, \dots, x_n) = \prod_{i \in S} x_i$. Clearly $\chi_S: \{\pm 1\}^n \rightarrow \{\pm 1\}$.

Define an inner product $\langle f, g \rangle = 2^{-n} \sum_x f(x)g(x)$.

(a) $\langle \chi_S, \chi_S \rangle = 1$

(b) $S \neq T$ $\langle \chi_S, \chi_T \rangle = 2^{-n} \sum_x \prod_{i \in S} x_i \prod_{i \in T} x_i$

$$= 2^{-n} \sum_x \prod_{i \in S \Delta T} x_i = 0$$

by pairing x according to their val on some coord in $S \Delta T$.

so $\{\chi_S\}$ is a basis, and $\forall f$ $f = \sum_S \underbrace{\langle f, \chi_S \rangle}_{\text{notation: } \hat{f}_S} \cdot \chi_S$

(c) χ_S is linear: $\chi_S(x) \cdot \chi_S(y) = \prod_{i \in S} x_i y_i = \chi_S(xy)$.

① for any $f: \{\pm 1\}^h \rightarrow \{\pm 1\}$

$$\hat{f}_s = \langle f, \chi_s \rangle = \Pr(f = \chi_s) - \Pr(f \neq \chi_s) = 1 - 2 \text{dist}(f, \chi_s).$$

② for any $f: \{\pm 1\}^h \rightarrow \{\pm 1\}$ $\varepsilon (\hat{f}_s)^2 = 1$

$$\langle f, f \rangle = \varepsilon^h \sum_x (f(x))^2 = 1$$

$$\langle f, f \rangle = \left\langle \sum_s \hat{f}_s \chi_s, \sum_s \hat{f}_s \chi_s \right\rangle = \varepsilon (\hat{f}_s)^2$$

Now we want to relate $S = \Pr_{xy} (f(x)f(y) \neq f(xy)) = \Pr_{xy} (f(x)f(y)f(xy) \neq 1)$ to the F. coef. of f .

$$\text{Let } e = \mathbb{E}_{xy} (f(x)f(y)f(xy)) \text{ then } e = S - (1-S) = 1 - 2S.$$

$$e = \mathbb{E}_{xy} \left[\sum_s \hat{f}_s \chi_s(x) \sum_T \hat{f}_T \chi_T(y) \sum_u \hat{f}_u \chi_u(xy) \right]$$

$$= \mathbb{E}_{xy} \sum_{sTu} \hat{f}_s \hat{f}_T \hat{f}_u \prod_{i \in s} \chi_i \prod_{i \in T} \chi_i \prod_{i \in u} \chi_i$$

$$= \mathbb{E}_{xy} \sum_{sTu} \hat{f}_s \hat{f}_T \hat{f}_u \prod_{i \in s \cup u} \chi_i \prod_{i \in T \cup u} \chi_i = \sum_s (\hat{f}_s)^3$$

$$\leq \max_s \hat{f}_s \cdot \overset{=1}{\sum_s \hat{f}_s^2} = \max_s \hat{f}_s = \hat{f}_{s_0} \text{ (denoting } s_0 \text{ a maximal coef)}$$

$$\Rightarrow S = \frac{1-e}{2} \geq \frac{1 - \hat{f}_{s_0}}{2} = \frac{1 - (1 - 2 \text{dist}(f, \chi_{s_0}))}{2} = \text{dist}(f, \chi_{s_0}) = \text{dist}(f, \text{Lin}) \quad \blacksquare$$

This completes our analysis of the BLR linearity testing.

Self - Correction

As in the low degree case, the Had code allows self correction.

Lemma: $f: \{0,1\}^n \rightarrow \{0,1\}$, $\text{dist}(f, \text{lin}) \leq \delta < \frac{1}{4}$.

Then (a) There is a unique linear $g: \{0,1\}^n \rightarrow \{0,1\}$ that is closest to f .

(b) There is a randomized two-query procedure S that guarantees for every x : $\Pr[S(x) = g(x)] \geq 1 - 2\delta > \frac{1}{2}$.

Proof: (a) If there were g_1, g_2 linear, both δ -close to f then (by Δ inequality):

$$\frac{1}{2} \leq \text{dist}(g_1, g_2) \leq \text{dist}(g_1, f) + \text{dist}(f, g_2) \leq 2\delta < \frac{1}{2}$$

contradiction.

(b) $S(x)$: choose random $y \in \{0,1\}^n$, output $f(y) + f(x+y)$.

Since y is unif. dist. it hits the set $\text{BAD} = \{x \mid g(x) \neq f(x)\}$ with prob. $\leq \delta$, and similarly $x+y$. Altogether:

$$\text{Prob}(f(y) + f(x+y) = g(x)) \geq \text{Prob}(y \notin \text{BAD} \text{ OR } x+y \notin \text{BAD}) \geq 1 - 2\delta > \frac{1}{2}$$

... good for program checking. ■

Def: An ecc $C: \{0,1\}^k \rightarrow \{0,1\}^n$ is locally decodable with q -queries if there is a randomized procedure D such that, given $w \in \{0,1\}^n$, $\text{dist}(w, C) \leq \delta$, on input i D outputs x_i where $C(x)$ is the codeword closest to w , s.t. D makes only $\leq q$ queries into w .

Claim: The Hadamard Code is locally decodable with 2 queries.

Rec: On input $i \in D$ runs $S(e_i)$ where $e_i = (0 \dots 0, \overset{i}{\downarrow} 1, 0 \dots 0)$.

research question: are there locally decodable codes with good rate?
(polynomial?) with 2-queries \leftarrow no!
3-queries ???

this is also a "popular" lower bound question

In fact, the Hadamard code allows one to read "correctly" not only the value of x_i , but also the value of $l(x)$ for all linear functions l . (by the "self correction" property).

We will now slightly strengthen this property to all $q(x)$ for all quadratic functions $q(x_1, \dots, x_n) = \sum a_{ij} x_i x_j + \sum b_i x_i + c_0$.

Def: The quadratic functions encoding $Q: \{0,1\}^n \rightarrow \{0,1\}^{n^2}$ maps $(a_1, \dots, a_n) \in \{0,1\}^{n-1}$ into $H(a \otimes a)$ where $a \otimes a \in \{0,1\}^{n^2}$ is defined by $(a \otimes a)_y = a_i \cdot a_j$ and $a' \in \{0,1\}^n$ is the vector $(1, a_1, \dots, a_n)$.

- The distance of this code is $\geq \frac{1}{2}$.
- The rate is $\sqrt{1/n} \dots$
- locally testable? locally decodable?

Claim 1: \mathcal{Q} is locally decodable. Moreover, for any quadratic function $g(x_1, \dots, x_n)$ there is a two-query procedure that whp gives $g(x_1, \dots, x_n)$ if given oracle access to $f \in \{0, 1\}^{n^2}$ s.t. $\text{dist}(f, \mathcal{Q}) \leq \delta < \frac{1}{4}$.

Proof: Since $\text{In}(\mathcal{Q})$ is a subset of $\text{In}(\text{Had})$ the local decoding procedure for Had works for \mathcal{Q} . Moreover, every quadratic function g on \vec{a} can be expressed as a linear function on $b = a' \otimes a'$.
So by the strong self-correction of \mathcal{Q} we get the result. \blacksquare

Claim 2: \mathcal{Q} is locally Testable.

